



# CITY OF SAN JOSÉ

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## FY 2013-14 Annual Action Plan



Prepared by:  
City of San José  
Department of Housing  
200 E. Santa Clara Street, 12<sup>th</sup> Floor  
San José CA 95113  
408-535-3860

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# **Section 1**

## **Executive Summary**

## Section 1: Executive Summary

### EXECUTIVE SUMMARY

The City of San José is committed to facilitating the provision of housing opportunities that are affordable, safe, and accessible for its families, households, and workforce. By leveraging both public and private resources, the City has facilitated the production of approximately 21,000 housing opportunities through its new construction, acquisition & rehabilitation, and homebuyer program. A vital source of funding comes from the US Department of Housing & Urban Development (HUD). As an entitlement jurisdiction of these federal funds, which include the Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), HOME Investment Partnerships (HOME), and Housing Opportunities for Persons with AIDS (HOPWA) programs, the City produces a Five-Year Consolidated Plan and Annual Action Plans that describe San José's housing policies and programs.

Staff estimates that total funding levels for the four federal programs will total \$11,370,526 in FY 2013-14, down five percent from the \$11,968,975 received in FY 2012-13. This reduction is due to the sequestration that triggered mandatory, across-the-board spending cuts for federal discretionary programs.

The Consolidated Plan's primary goals and the respective annual expected outcomes for 2013-14 are as follows:

- **Assist in the creation and preservation of affordable housing for lower-income and special needs households** by committing to provide 101 newly constructed affordable rental housing units, including 15 special needs units; providing 191 affordable units through the inclusionary housing program; and providing rehabilitation assistance to 320 lower-income homeowners including 25 seniors and special needs households.
- **Support activities to end homelessness** by providing Tenant-Based Rental Assistance to [232-237](#) homeless households and by providing short-term emergency shelter.
- **Support activities that provide basic services, eliminate blight and strengthen neighborhoods** by providing: school readiness/third-grade literacy programs, neighborhood clean-up services and targeted blight elimination in the three Place-Based Neighborhoods; services to combat isolation among the City's senior population; responding the foreclosure crisis; ending homelessness; and providing housing rehabilitation and minor/emergency repair services to low-income homeowners and mobilehome owners.
- **Provide economic opportunities** for 50 homeless individuals
- **Promote fair housing choice** through on-going education and outreach, enforcement activities, fair housing testing in local apartment complexes and ensuring that local ordinances are in compliance with federal and state fair housing laws.
- **Promote Environmental Sustainability** by the installation of energy and water efficiency measures in 101 newly-constructed affordable housing units and in 12 rehabilitated homes.

The City of San José has been a leader in the creation of programs and policies that seek to improve, preserve and increase the affordable housing stock in San José. The City received a grade of A+ for exceeding its Regional Housing Needs Allocation (RHNA) for 1999-2006 and received special mention as a top performer in the Bay Area Council report titled *Bay Area Housing Profile 2006*. However, this level of achievement has become harder to replicate, given the tough economic climate, and the elimination of redevelopment funding. Additionally, San José's RHNA for the current planning period (from January 2007 through June 2014) is 34,721 housing units—an increase of 33 percent from the prior RHNA planning period. While this annual allocation significantly exceeds the annual production of housing that the City has ever achieved on any sort of sustained basis – even during strong economic conditions – the City is committed to facilitating the production of housing across income categories and to mitigating both governmental and non-governmental constraints that may unduly limit such production. To achieve these objectives, San José's housing strategy includes careful planning for residential land uses at appropriate locations and densities and maximizing housing opportunities on infill parcels already served by the City's existing infrastructure and at locations served by transit. The housing strategy also seeks to provide sufficient housing opportunities for new workers in order to encourage and support continued economic

## Section 1: Executive Summary

development. San José is also planning for demographic and market shifts that indicate greater future demand for more urban forms of housing and neighborhoods.

The City's Housing Department partners with the development community and provides gap financing and technical assistance in order to facilitate the production, rehabilitation, and preservation of affordable units for lower- and moderate-income households. The Housing Department also collaborates with public, private, and non-profit partners in order to end homelessness, to serve the special needs population, to fight foreclosures and help families stay in their homes, and to ensure fair housing practices. In addition, the City operates limited first-time homebuyer programs, homeowner rehabilitation assistance programs and neighborhood improvement projects.

In 2012, the housing market stabilized and showed its first signs of recovery. Median housing prices increased after two consecutive years of decline, and the housing inventory has tightened. Residential permits issued are at their highest level since the beginning of this RHNA period in 2007. Interest rates continue to remain at historic lows and foreclosure rates have slowed. However, while the economic recovery has benefitted certain segments of the workforce, particularly in technology sectors, other segments of the workforce, particularly those in lower-skill, lower-wage job, continue to face a weak labor market at risk of job or wage reductions. This latter group continues to struggle with finding housing that is affordable, and is disproportionately impacted by foreclosures. Budget challenges remain across all levels of government. This is especially true for public sector affordable housing programs, given the loss of redevelopment funds and decreases in State and federal funding. Additionally, San José's inclusionary housing ordinance has yet to be implemented due to pending litigation. However, the City remains optimistic that its inclusionary citywide ordinance will be upheld. Staff continues to implement existing affordable housing programs, while exploring new tools, funding mechanisms, and partnerships to help support the City's commitment for facilitating the provision of affordable housing in order to achieve its housing goals.

Every five years, the City also develops a Housing Investment Plan (HIP), which is a San José-specific plan reflecting policies and priorities for the coming period as determined by Council, City staff, expert external stakeholders and residents. The HIP contains similar priorities as the five-year federal Consolidated Plan. But while the Consolidated Plan focuses on the City's use of federal funds, the HIP puts in place policies and procedures that will provide the framework for the next HUD Consolidated Plan. City staff has initiated the process of developing the 2013-2017 HIP and will be submitting a draft document to the City Council for adoption in Summer/Fall 2013.

**Section 2**  
**Annual Action Plan 2013-14**

## Section 2: Annual Action Plan 2013-2014

### **INTRODUCTION**

The City of San José approved its **2010-2015 Consolidated Plan** in May 2010 and the U.S. Department of Housing and Urban Development (HUD) approved the report in July 2010. The document that follows is an annual update to the Consolidated Plan - *Fiscal Year 2013-14 Annual Action Plan*.

As defined by the U.S. Department of Housing and Urban Development guidelines, the City of San José's *2010-2015 Consolidated Plan* outlines the community's strategy for addressing its housing and community development needs. Developed through a collaborative process, the *Consolidated Plan* identifies the City's unified vision for actions to meet those housing and community development needs over a five-year period.

**The *Consolidated Plan* is based on the priorities identified by HUD for providing decent housing, providing a suitable living environment, and expanding economic opportunities for lower-income households.**

#### **The Five-Year *Consolidated Plan* Serves Four Primary, Integrated Functions.**

- It is a planning document, based on citizen input, which provides information for other jurisdictions.
- It is a federal funding application for four of the U.S. Department of Housing and Urban Development (HUD) formula grant programs: HOME Investment Partnership Act (HOME), Housing Opportunities for Person with HIV/AIDS (HOPWA), Emergency Shelter Grant (ESG), and Community Development Block Grant (CDBG).
- It is a strategy to carry out HUD programs.
- It is an action plan, which provides the foundation for performance assessment and evaluation.

The ***Annual Action Plan*** is completed every year in order to provide program-specific information about the activities for the upcoming year. To conform to HUD requirements, an Annual Action Plan must contain the following components:

- Program Resources, including federal, state and local
- Housing for Homeless and Other Special Needs Populations
- Geographic Distribution
- Specific Program Requirements
- Monitoring
- Form Application (Standard Form 424).

This one-year *Annual Action Plan* describes the eligible activities that the jurisdiction intends to undertake in Fiscal Year 2013-14 to address the needs and implement the strategies identified in the adopted 2010-2015 *Consolidated Plan*.

### **CONTEXT**

The City of San José is the tenth largest city in the United States, with a population of 971,372, according to the California Department of Finance January 2012 estimates. It has one of the highest median incomes in the nation—\$105,500 for a family of four. The U.S. Bureau of Economic Analysis identified Santa Clara County as having the ninth highest aggregate personal income in the country in 2011. Yet San José still finds itself with significant income disparity, with people earning high salaries and stock options living beside families on fixed incomes and people earning minimum wage.

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The City has a strong commitment to ensuring that all of its residents have a variety of housing options, both in terms of housing type and affordability. While San José is a vibrant and successful community, many of the City's residents, especially those with lower incomes, need assistance to be able to afford decent, safe and appropriate housing. Unfortunately, the gap between income and housing affordability continues to widen.

San José's rental market has gained considerable strength in the past year. San José experienced 10% increases in market rents from 2011 to 2012. The average market rent in San José (\$2,098 per month for a 2BA/2BR in the 4<sup>th</sup> Quarter of 2012) far exceeds the maximum affordable rent for very low- and extremely low-income households (based on 2012 income eligibility levels published by the State Department of Housing and Community Development – HCD), causing these households to have to spend substantially more than the recommended 30% of their gross income on housing. According to the Center for Housing Policy's *Housing Landscape 2012* report, at least 28% of San José's working households were severely housing cost burdened in 2010, paying more than 50% of their income for housing costs. These high rents lead to overcrowding—about 10% of the City's households (5% of owners and 17% of renters) were reported to live in overcrowded conditions per ACS 2010 data. The overcrowding problem will likely worsen given continued rent increases.

The *2013 Index of Silicon Valley*, published by Joint Venture Silicon Valley Network, reports that the proportion of renters with housing costs greater than 35% of their income reached a peak of 39% in Silicon Valley in 2012. Only about 2% of all new residential development in 2012 was classified as affordable, the lowest percent recorded in the last fifteen years, thus compounding housing affordability challenges for Silicon Valley's middle and lower income residents. There is still a critical need for housing assistance so that service providers such as nurse's aides, janitors, retail workers, police officers and teachers can afford to live and work in our neighborhoods. Further, despite decreases in for-sale housing prices, Silicon Valley is reported to be the least affordable region for first-time homebuyers in California, with only about 58% of the first-time homebuyers being able to afford a median-priced home. (Silicon Valley includes all of Santa Clara and San Mateo Counties and adjacent parts of Santa Cruz and Alameda Counties.)

Another important factor that is significantly affecting affordability in the region is transportation costs. California gas prices have reached historic highs and average almost \$4 per gallon. At the same time, vehicle miles traveled (VMT) has continued to increase for Silicon Valley residents. Less than 50% of all jobs are currently accessible from regional rail or high frequency bus or light rail stations. Growing transportation costs are particularly burdensome to moderate and low-income households, leaving even less income for basic expenses such as food, education, healthcare and savings. San José recognizes the need and importance of Transit Oriented Developments that are located near homes and jobs.

The high unemployment rate and economic downturn have adversely affected low-income residents disproportionately. Low-income homeowners are finding it harder to pay their mortgages. Low-income renters are currently reporting rent increases of 30-50% from private landlords as the rental market has heated up. These renters can also be displaced while renting in foreclosed buildings, sometimes without adequate notice, and experience loss of their security deposits and difficulty finding another place to live. Experts generally agree that though the housing market will make some recovery in 2013, the economic downturn and foreclosures will continue to have a significant impact on San José's low and moderate income families, households, and communities.

As part of the *Action Plan*, the federal government requires jurisdictions to evaluate their community's housing and development needs and provide strategies to address these needs. The "Housing and Community Development Needs" section of the 2010-15 Five-Year Plan reviewed U.S. Census data for the year 2000, and more recent data where possible, to provide characteristics of San José's population and housing stock. The data indicated that residents with incomes below the area median have a significant need for affordable and appropriate housing. Some of the key statistics included:

- **Population and Housing Growth** – Since 2000, San José's population increased by approximately 51,000 persons. The number of households grew by approximately 24,768.

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- **Income** – Over one-third of San José’s households were low- or very low-income, compared with 31% for Santa Clara County as a whole. Elderly and large families were more likely to be low- or very low-income, 59% and 37% respectively.
- **Homeless Population** – According to the 2011 Homeless Census and Survey, San José had 4,034 homeless residents, the majority of whom were living unsheltered. Almost 37% of the County’s homeless residents were considered “chronically homeless,” 30% experienced mental illness, 47% had substance abuse issues, and 10% were Veterans.

The City of San José participated in a countywide collaborative to develop its *2010-2015 Five-Year Consolidated Plan*. Out of that process, the following were identified as goals in the five-year plan:

- Assist in the creation and preservation of affordable housing for lower-income and special needs households.
- Support activities to end homelessness.
- Support activities that provide basic services, eliminate blight, and/or strengthen neighborhoods.
- Expand economic opportunities for low-income households.
- Promote fair housing choice
- Promote environmental sustainability.

At a series of community meetings in August 2011, the following priorities were identified by those in attendance:

- Provide and/or increase the availability of prevention and/or intervention services to individuals and families who are vulnerable to or already experiencing homelessness.
- Provide and/or increase the availability of affordable and accessible housing (emergency, transitional and permanent).
- Rehabilitate apartments, homes and neighborhoods by leveraging skilled labor, trained volunteers and the under/unemployed, resulting in job creation.
- Promote self-sufficiency related to the provision of supportive services, housing and job creation.

These goals and neighborhood priorities shape the development of each Annual Action Plan.

### **CITIZEN PARTICIPATION**

The United States Department of Housing and Urban Development (HUD) requires that each jurisdiction hold at least two public hearings—one before the *Action Plan* is prepared to gain public comments on the community’s needs, and one after the *Action Plan* is published for citizen review. A 30-day review period is also required, during which public comments are accepted and written into the Plan. The City of San José routinely holds more hearings than the minimum required by HUD during the development of its *Consolidated Plan* and *Annual Action Plans*.

Prior to developing the *Annual Action Plan 2013-14*, the City held three public hearings at the Housing and Community Development Advisory Commission (HCDAC) meetings in January, February and March 2013, to identify housing needs and priorities.

Three additional public hearings will be held to finalize the *Annual Action Plan* — on April 9 at the City Council meeting, on April 11 at the HCDAC meeting, and on April 30 at the City Council meeting. (See Appendix D for a copy of the public notice published in newspapers). In addition, there will be a 30-day public review period, as mandated by HUD, from March 29, 2013 through April 30, 2013.

The following schedule of hearings was published in the *San José Mercury News* and in *La Oferta* (Spanish newspaper), *China Press* (Chinese newspaper), *Thoi Bao* (Vietnamese newspaper) and *Philippine News* (Filipino newspaper).

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newspaper) on December 21, 2012. In addition, the hearing notices were mailed electronically and via the U.S. Postal Service to over 400 addresses, and also will be posted on the Housing Department's website.

What	Who	Where	When
Hearing on San José's Housing and Community Development Needs for FY 2013-14 CAAP	Housing and Community Development Advisory Commission	San José City Hall 200 E. Santa Clara Street <b>Room W-118,119 (Wing)</b>	<b>January 10,</b> 2013 5:45 p.m.
Opportunity for Public Input on Needs & Funding for FY 2013-14 CAAP	Housing and Community Development Advisory Commission	San José City Hall 200 E. Santa Clara Street <b>Room W-118,119 (Wing)</b>	<b>February 14,</b> 2013 5:45pm
Opportunity for Public Input on Needs & Funding for FY 2013-14 CAAP	Housing and Community Development Advisory Commission	San José City Hall 200 E. Santa Clara Street <b>Room W-118,119 (Wing)</b>	<b>March 14,</b> 2013 5:45 p.m.
Release of Draft FY 2013-14 CAAP for public review and begin 30-day public comment period	Visit the Housing Department's website at <a href="http://www.sjhousing.org/report/conplan.html">http://www.sjhousing.org/report/conplan.html</a> to find electronic copies or call (408) 793-5542 or (408) 294-9337 (TTY) for paper copies	City of San José - Housing 200 E. Santa Clara St.-12 <sup>th</sup> Floor, San José, CA 95113	<b>March 28,</b> 2013 to <b>April 30,</b> 2013
First Council Hearing of the Draft FY 2013-14 CAAP	San José City Council	San José City Hall 200 E. Santa Clara Street <b>City Council Chambers</b>	<b>April 2,</b> 2013 1:30 p.m.
Review and approval of the draft FY 2013-14 CAAP	Housing and Community Development Advisory Commission	San José City Hall 200 E. Santa Clara St. <b>Room W – 118, 119 (Wing)</b>	<b>April 11,</b> 2013 5:45 p.m.
Second Council Hearing and approval of the FY 2013-14 CAAP	San José City Council	San José City Hall 200 E. Santa Clara Street <b>City Council Chambers</b>	<b>April 30,</b> 2013 1:30 p.m.

The City had to re-notice the public hearings as a result of the cancellation of the City Council meeting on April 2, 2013. The following notice was published in the *San José Mercury News* and in *La Oferta* (Spanish newspaper), *China Press* (Chinese newspaper), *Thoi Bao* (Vietnamese newspaper) and *Philippine News* (Filipino newspaper) on March 22, 2013. In addition, the hearing notice was mailed electronically and via the U.S. Postal Service to over 400 addresses, and also was posted on the Housing Department's website.

### Revised Schedule of Hearings & Meetings for Public Comment on the FY 2013-14 Consolidated Annual Action Plan

What	Who	Where	When
Release of Draft FY 2013-14 CAAP for public review and begin 30-day public comment period	Visit the Housing Department's website at <a href="http://www.sjhousing.org/report/conplan.html">http://www.sjhousing.org/report/conplan.html</a> to find electronic copies or call (408) 793-5542 or (408) 294-9337 (TTY) for paper copies	City of San José - Housing 200 E. Santa Clara St.-12 <sup>th</sup> Floor, San José, CA 95113	<b>March 28,</b> 2013 to <b>April 30,</b> 2013
First Council Hearing of the Draft FY 2013-14 CAAP	San José City Council	San José City Hall 200 E. Santa Clara Street <b>City Council Chambers</b>	<b>April 9,</b> 2013 1:30 p.m. (originally scheduled for April 2, 2013)
Review and approval of the draft FY 2013-14 CAAP	Housing and Community Development Advisory Commission	San José City Hall 200 E. Santa Clara St. <b>Room W – 118, 119 (Wing)</b>	<b>April 11,</b> 2013 5:45 p.m.
Second Council Hearing and approval of the FY 2013-14 CAAP	San José City Council	San José City Hall 200 E. Santa Clara Street <b>City Council Chambers</b>	<b>April 30,</b> 2013 1:30 p.m.

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In addition to the public hearings listed above, the City noticed and held an additional public hearing on potential funding reductions in the Public Service Category of the FY 2013-14 Community Development Block Grant Program due to the Federal Sequestration, on April 2, 2013. The following notice was published in the *San José Mercury News* and in *La Oferta* (Spanish newspaper – online version only), *China Press* (Chinese newspaper), *Thoi Bao* (Vietnamese newspaper) and *Philippine News* (Filipino newspaper) on March 29, 2013. In addition, the hearing notice was mailed electronically and via the U.S. Postal Service to over 400 addresses, and also was posted on the Housing Department's website.



### NOTICE OF PUBLIC MEETING ON IMPACTS OF FEDERAL SEQUESTRATION FOR THE CITY OF SAN JOSE FY 2013-2014 FEDERAL ANNUAL ACTION PLAN

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**This notice announces the addition of one (1) public hearing for the City's FY 2013-14 Federal Annual Action Plan process in order to seek public input on alternatives in responding to the federal sequestration. The City previously published a schedule of public hearings for the Plan. The full schedule – including this special meeting – is provided below and on the City's website at [www.sihousing.org](http://www.sihousing.org).**

On March 1, the federal sequester took effect as a result of Congress's inability to reach agreement on deficit reduction. The sequester triggered automatic spending cuts on military and domestic discretionary spending. Staff is currently assessing the potential impacts of these cuts and what they may mean for San Jose's housing and community development programs as it prepares the draft Plan for release for public comment. A public hearing will be held to seek public input at a special meeting of the City's Housing & Community Development Advisory Commission at the following time and location:

**April 2, 2013 @ 5:45pm  
Roosevelt Community Center, Classroom 1  
901 East Santa Clara Street  
San Jose, CA 95116**

Public input regarding sequestration will be considered in the draft Plan, which will be released March 28, 2013 for public comment and will close on April 30, 2013. See the schedule below for additional opportunities for public comment.

For questions regarding the FY 2013-14 CAAP, please contact Daniel Murillo at 408-793-4195 or [daniel.murillo@sanjoseca.gov](mailto:daniel.murillo@sanjoseca.gov). Public comments can be submitted in the form of statements made at the above listed meetings, in writing to the City of San José Housing Department, 200 E. Santa Clara Street, 12<sup>th</sup> Floor, San José, California 95113, or submitted via electronic mail to the email address listed here. To request an accommodation for any of the meetings or an alternative format for any related printed materials, please call (408) 294-9337 (TTY) as soon as possible, but at least three business days before the meeting. All public meetings are accessible to those with mobility impairments.

Schedule of Public Hearings for the FY 2013-14 Annual Action Plan:

- January 10, 2013 – HCDAC
- February 14, 2013 – HCDAC
- March 14, 2013 – HCDAC
- March 28, 2013 – April 30, 2013 – Public Comment Period
- April 2, 2013 – HCDAC (Special meeting)
- April 9, 2013 – City Council
- April 11, 2013 – HCDAC
- April 30, 2013 – City Council

## **Section 3**

# **Program Resources**

## Section 3: Program Resources

### FEDERAL RESOURCES

#### 1. Entitlement Grants from the U S Department of Housing and Urban Development

Entitlement jurisdictions are those central cities of Metropolitan Statistical Areas (MSAs); other metropolitan cities with populations of at least 50,000; and qualified urban counties with populations of at least 200,000 (excluding the population of entitled cities). These qualifying areas are “entitled” to receive an annual grant from the U.S. Department of Housing and Urban Development (HUD). HUD determines the amount of each entitlement grant by a statutory formula which uses several objective measures of community needs, including the extent of poverty, population, housing overcrowding, age of housing and population growth lag in relationship to other metropolitan areas.

The City of San José is an entitlement jurisdiction and receives and administers these federal funds. The total amount of these entitlement grants for FY 2013-14 is expected to decrease by 5% from the prior year levels due to sequestration. The anticipated funding for these programs (accounting for sequestration and excluding program incomes) is \$11,370,526 and is broken down into the following programs:

- ◆ Community Development Block Grant Program (**CDBG**) - \$7,448,270
- ◆ HOME Investment Partnership Program (**HOME**) - \$2,335,136
- ◆ Emergency Solutions Grant Program (**ESG**) - \$752,833
- ◆ Housing Opportunities for People with HIV/AIDS Program (**HOPWA**) - \$834,287

The proposed uses of these entitlement funds for Fiscal Year 2013-14 are described below.

##### a. Community Development Block Grant Program (CDBG)

Without an increase in the size of the federal entitlement grant and the continued reliance on this funding source to make Section 108 debt service payments, the amount of funding available for Public Services and Community Development Improvements (CDI) is constrained. To address this situation, the City will continue to use a Place-Based strategy to target CDI funds to three neighborhoods. Additionally, the City will continue to limit its Public Services funding in FY 2013-14 to the following identified city priorities: school readiness/third-grade literacy programs in the three Place-Based neighborhoods; services to combat isolation among the City’s senior population; responding the foreclosure crisis; and ending homelessness.

##### Proposed Uses of CDBG funds for FY 2013-14

Proposed Uses of CDBG Funds for 2013-14			
Uses	Prior Year Funds not Committed or unused	Expected Funding in 2013-2014*	Total Expected Funding Available for
Community Development Initiatives	\$183,350	\$5,190,324	<b>\$5,373,674</b>
Public Services**	NA	\$1,289,740	<b>\$1,289,740</b>
Fair Housing	NA	\$385,000	<b>\$385,000</b>
Section 108 Debt Service	NA	\$2,178,381	<b>\$2,178,381</b>
Administrative Costs***	NA	\$1,243,724	<b>\$1,243,724</b>
<b>TOTAL</b>	<b>\$183,350</b>	<b>\$10,287,169</b>	<b>\$10,470,519</b>

\* Includes program income and fund balance in addition to the federal allocation of \$7,448,270 for FY 2013-14.

## Section 3: Program Resources

\*\* The Public Services amount is based on an estimated FY 2012-13 program income amount of \$1,150,000

\*\*\* Administrative Costs are based on an estimated FT 2012-13 program income of \$700,000

### Description of CDBG programs:

- *Community Development Initiative*

The City of San José will employ a place-based, neighborhood focused strategy for the use of most of its CDI funds in Fiscal Year 2013-14. The strategy was the result of challenges faced by San José on how to address and coordinate the needs of its low-income neighborhoods given current budget constraints. The initial three targeted low-income neighborhoods were selected on the basis of demonstrated need, neighborhood strengths and assets, opportunities for change, and existing strong partnerships. The neighborhoods are as follows:

- Santee/McKinley in partnership with the Franklin McKinley Children's Initiative
- Mayfair in partnership with Somos Mayfair
- Five Wounds/Brookwood Terrace in partnership with CommUniverCity.

The City will fund the following categories of CDI activities:

- Economic Development
- Housing Rehabilitation
- Minor and Emergency Housing Repairs
- Infrastructure and Facility Improvements in the three Place-Based neighborhoods

- *Public Services (15% limit)*

The City will strategically target its Public Services funding to four specific categories of activities. They are as follows:

1. Homeless services
2. Senior Services
3. Foreclosure Response services
4. School Readiness/ 3<sup>rd</sup> grade literacy services within the three Place-Based neighborhoods.

- *Section 108 Debt Service*

The former Redevelopment Agency (RDA) borrowed funds under the Section 108 program intending to make amortized payments on the three loans from its share of the redevelopment tax increment. With the dissolution of RDA's statewide, another source of funding is necessary to take on that debt service. Since the City's General Fund is experiencing its own revenue shortfalls, CDBG funds are utilized to cover the debt service obligation. Of the three Section 108 loans, the proceeds from one were loaned by the Agency to developers who are making repayments; proceeds from the other two were disbursed to developers in the form of grants. In FY 2013-14 the City will partially offset the \$2,178,381 Section 108 loan payment with \$443,000 in loan repayments paid by those developers who were loaned, not granted, Section 108 loan proceeds.

- *Fair Housing and Administrative Costs (20% limit)*

The City will program funding from its Administrative funds to support a consortium of agencies that will provide Fair Housing services. Services will include: outreach and education on fair housing issues; conducting fair housing testing; enforcing fair housing laws through litigation; and providing technical assistance to the Housing

## Section 3: Program Resources

Department on how to monitor City-financed developments for fair housing compliance. Additionally, the costs to the City to administer the CDBG programs will come out of this allocation.

### b. HOME Program

#### Proposed Uses of HOME funds for FY 2013-14

The City anticipates being able to utilize \$7,047,605 in HOME funds for FY 2013-14. The amount includes an expected FY2013-14 HOME Grant Allocation of \$2,335,136, approximately \$3,712,469 of previously uncommitted HOME funds, and approximately \$1,000,000 in Program Income. The City of San José plans to disburse the HOME funds in the following manner:

Proposed Uses of HOME Funds for 2013-14	
Budgeted Uses	Total Expected Funding Available for 2013-2014
Rental Housing Development	\$5,064,091
Administrative Costs *	\$245,091
CHDO Operating Expenses	\$100,000
Homeownership (Habitat for Humanity)	\$750,000
CHDO Set-Aside (HUD-automated set-aside for CHDOs) **	
Tenant Based Rental Assistance (TBRA) ***	\$900,000
<b>TOTAL</b>	<b>\$7,059,182</b>

\* The administrative dollars represent HUD automated 10% of allocations set aside for administrative costs. Unused funds are rolled over into the next fiscal year and are available to use.

\*\* The City of San José will be asking HUD to not automatically set aside the estimated \$368,706 for Community Housing Development Organizations (CHDOs). The City of San José has already set aside approximately 37% of its HOME allocation since program inception. This is above the required 15% set-aside for CHDOs per HOME regulations.

\*\*\* TBRA is partially funded out of previous HOME allocations.

#### Description of HOME programs:

- Rental Housing Development / CHDO Reserve Funds (Rental Housing Construction)*

Consistent with the City's goal to assist in the creation and preservation of affordable housing for lower-income households, the City proposes to use HOME funds for property acquisition and new construction of rental units, and/or acquisition/rehabilitation of rental units for ELI/VLI/LI households as well as for special needs populations, such as single parents, seniors, disabled persons, people living with HIV/AIDS, emancipated youth, and other people at risk of homelessness. For any HOME funds used to develop new housing units, the City will comply with the Federal Fair Housing Act and Section 504 of the Rehabilitation Act of 1973. Participation in the HOME Program will enhance the City's funding sources for new construction, while at the same time providing flexibility in the use of funds for an overall gap-financing program.

- Administrative Costs*

Up to 10% of the total HOME grant allocation (approximately \$233,514) will be used for reasonable planning and administrative costs associated with the administration of the HOME program and other related federal requirements. Approximately, \$518,163 of previously committed administrative monies will be carried over in FY 2013-14 and be available to disburse, if needed.

## Section 3: Program Resources

- *CHDO Operating Expenses*

In compliance with HOME regulations, the City of San José will commit up to 5% of its annual HOME allocation to Certified CHDOs with operating expenses. CHDOs must be currently under construction of a HOME project and/or be able to show that, within 24 months of receiving said funds, they will identify and begin construction of HOME units. The City will be committing approximately \$100,000 for this purpose.

- *Homeownership*

In collaboration with Habitat for Humanity, the City of San José will be using HOME funds to assist in the acquisition and/or rehabilitation of single-family homes to be purchased and rehabilitated by Habitat for Humanity in order to sell them to low-income (80% AMI or below) residents.

- *CHDO Set-Aside Funds*

The City of San José has set aside 37% of its total allocation since program inception for the development of low-income housing units by Community Housing Development Organization. This percentage is well above the HOME requirement of 15%. The City plans on submitting a request to HUD to not automatically set-aside CHDO Reserve (CR) funds this coming fiscal year in order to make them available to the other programs.

- *Tenant-Based Rental Assistance (TBRA)*

Consistent with the City's goal to assist in the creation and preservation of affordable housing for lower-income households, the City will use HOME funds to provide tenant-based rental subsidies targeting homeless individuals and families. In all of its funded TBRA programs the City collaborates with outside agencies to provide intensive case management services. Combined with rental assistance, such services improve the ability of the program clients to not only successfully transition out of homelessness, but also retain housing and increase their self-sufficiency after exiting the TBRA program. In FY 2013-14 the City anticipates having 120 TBRA coupons in use by homeless individuals. For more information on the City's TBRA programs please see Goal #2 – Support activities to end homeless in San José and Santa Clara County.

### c. Emergency Solutions Grant Program (ESG)

#### Proposed Uses of ESG funds for FY 2013-14

Proposed Uses of ESG Funds for 2013-14			
Uses	Prior Year Funds not Committed or unused	Expected Funding in 2013-2014	Total Expected Funding Available for
Street Outreach	NA	\$249,023	<b>\$249,023</b>
Service to Youth & Families	NA	\$357,348	<b>\$357,348</b>
HMIS	NA	\$90,000	<b>\$90,000</b>
Administrative Costs	NA	\$56,461	<b>\$56,461</b>
<b>TOTAL</b>	<b>NA</b>	<b>\$752,833</b>	<b>\$752,833</b>

## Section 3: Program Resources

### Description of ESG programs

With the introduction of the new Emergency Solutions Grant program, the City of San José will shift the use of the funds from such categories as Shelter Operations and Essential Services to categories in alignment with the new regulations. ESG will fund the following categories of activities:

- *Street Outreach*

The City of San José will continue to combine a portion of its CDBG Public Service allocation with its ESG allocation to fund full-service multidisciplinary outreach and engagement programs for homeless individuals. The two main purposes of these programs are:

- 1) To provide a consistent presence on the streets and other outdoor locations throughout San José to build rapport and trust with unsheltered homeless residents with the goal of moving them into and keeping them in permanent housing; and
- 2) To provide an avenue to alleviate resident concerns about homeless residents living in their neighborhoods or other areas of San José.

- *Services to Youth and Families*

The City of San José will continue to combine a portion of its CDBG Public Service allocation with its ESG allocation to fund full-service program(s) for homeless families and youth (including victims of domestic violence). Services will include the provision of shelter beds, case management, deposit/rental assistance, and other services as appropriate. The goal of this program is to provide all of the services and support that the participating families and youth need to become and remain permanently housed.

- *HMIS*

The ESG program will continue to fund the Homeless Management Information System (HMIS) program. The City of San José requires the use of HMIS to monitor outcomes and performance measures for all of its funded homeless service agencies, except for populations that are specifically excluded from entering information into the database such as victims of domestic violence. In the coming years as the City of San José and its partners work to end homelessness, HMIS will play an increasingly critical role in illuminating the characteristics and needs of the area's homeless residents. The data collected in HMIS will also help to inform on the success of specific strategies or programs in permanently housing homeless residents. The City will allocate \$90,000 in 2013-14 for the support of HMIS.

- *Administrative Costs*

The ESG program will set aside the maximum 7.5% administrative allocation for FY 2013-14. This will amount to \$56,462.

- *Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH)*

In FY 2013-14, the City, as the local recipient of ESG funds, will continue coordinating with its public and private partners to ensure that the local Continuum of Care (CoC) is meeting all HEARTH requirements with respect to ESG funds, including:

- Evaluating the outcomes of projects funded under ESG and reporting them to HUD;
- Establishing and operating either a centralized or coordinated assessment system that provides an initial, comprehensive assessment of the needs of individuals and families for housing and services, including a

### Section 3: Program Resources

policy on how its system will address the needs of survivors of domestic violence seeking shelter or services from non-victim service providers;

- Establishing and consistently following standards for providing CoC assistance, including policies and procedures for:
  - Evaluating individuals' and families' eligibility for assistance
  - Determining and prioritizing which eligible individuals and families will receive transitional housing assistance
  - Determining and prioritizing which eligible individuals and families will receive rapid re-housing assistance
  - Determining what percentage or amount of rent each program participant must pay while receiving rapid re-housing assistance
  - Determining and prioritizing which eligible individuals and families will receive permanent supportive housing assistance
- Planning for the allocation of ESG funds and reporting on and evaluating the performance of ESG recipients and subrecipients.

#### d. Housing Opportunities for People with AIDS Program (HOPWA)

##### Proposed Uses of HOPWA funds for FY 2013-14

Proposed Uses of HOPWA Funds for 2013-14			
Uses	Prior Year Funds not Committed or unused	Expected Funding in 2013-2014	Total Expected Funding Available for
Health Trust	NA	\$761,758	<b>\$761,758</b>
San Benito	NA	\$47,500	<b>\$47,500</b>
Administrative Costs	NA	\$25,029	<b>\$25,029</b>
<b>TOTAL</b>	<b>NA</b>	<b>\$834,287</b>	<b>\$834,287</b>

##### Description of HOPWA programs:

- *The Health Trust*

The City anticipates awarding \$761,758 of its HOPWA entitlement grant to the Health Trust for its HIV/AIDS Services program. The Health Trust is a charitable foundation with a focus of advancing wellness in the Silicon Valley community. The program will provide rent subsidies and supportive services to help low-income residents living with HIV/AIDS secure and maintain housing.

- *San Benito*

The City anticipates awarding \$47,500 of its HOPWA entitlement grant to San Benito County to provide utility and rental subsidies, and nutritional and dental assistance to low-income clients living with HIV/AIDS.

- *Administrative Costs*

The City will allocate \$25,029 or 3% of the entitlement grant to administrative costs associated with managing with the HOPWA grant.

## Section 3: Program Resources

### 2. Competitive Grants from HUD

#### a. HOPWA Special Programs of National Significance (SPNS)

##### Proposed Uses of HOPWA SPNS funds for FY 2013-14

Proposed Uses of HOPWA SPNS Funds for 2013-14			
Uses	Prior Year Funds not Committed or unused	Expected Funding in 2013-2014*	Total Expected Funding Available for
Rental subsidies and support services	NA	\$380,436	<b>\$380,436</b>
Resource identification	NA	\$40,000	<b>\$40,000</b>
Administrative Costs - for both the City and its Subrecipient	NA	\$39,600	<b>\$39,600</b>
<b>TOTAL</b>	<b>NA</b>	<b>\$460,036</b>	<b>\$460,036</b>

In January 2013, the City received notification of the opportunity to renew its Housing Opportunities for Persons with AIDS (HOPWA) Permanent Supportive Housing Program [Special Project of National Significance (SPNS)] competitive grant for an additional 3 years.

The City anticipates receiving a total of \$1,306,800 for FY 2013-2016 and will continue to contract with the Health Trust to administer the grant. This will include allocating \$1,271,160 (\$448,156 for the first year and \$410,528 and \$412,476 for the following two years) to the Health Trust to provide long-term rental subsidies and supportive services to low-income persons living with HIV/AIDS in Santa Clara County. A one-time allocation of \$40,000 is included in the first year of the grant for "resource identification" costs that will include activities aimed at increasing coordination between the local Continuum of Care and the HOPWA SPNS program. Of the total HOPWA SPNS grant, \$35,640 (\$11,880 per year) will be allocated to the City of San José Housing Department to cover administrative costs.

#### b. Neighborhood Stabilization Program (NSP2)

##### Proposed Uses of NSP2 funds for FY 2013-14

## Section 3: Program Resources

<b>Proposed Uses of NSP2 Funds for 2013-14</b>	
<b>Uses</b>	<b><i>Expected Funding in 2013- 2014*</i></b>
25% Set-Aside Program	\$4,400,000
Dream Home Program (Acq, Rehab and Resale)	\$800,000
Administrative Costs	\$333,000
<b>TOTAL</b>	<b>\$5,533,000</b>

In February 2010, the San José NSP Consortium, consisting of the Housing Trust Silicon Valley, the City of San José, and Neighborhood Housing Services Silicon Valley, received an NSP2 grant award of \$25 million under the American Recovery and Reinvestment Act (ARRA) of 2009. The grant is intended to restore neighborhoods adversely impacted by foreclosures and subprime loans in 35 target census tracts in San José.

The City of San José administers the San José Dream Home Program to acquire, rehabilitate, and resell foreclosed and abandoned homes to low- and moderate-income households in 35 target census tracts. In addition to providing basic health and safety upgrades, energy efficient and eco-friendly upgrades are incorporated whenever feasible. In December 2010, the City executed agreements with two development entities, Community Rehabilitation Partners and the Cypress Group, to implement the Dream Home program. Program Developers have purchase 5 new properties through February of the 2012-13 fiscal year bringing the program total to 38 home purchased under NSP2. The program will end once the total program goal of 43 homes has been acquired but no later than June 30, 2013. Rehabilitation and resale activities will continue until all homes have been resold to income eligible households.

The City of San José also implements the 25% Set-Aside Program to comply with the NSP2 requirement to designate a minimum of 25% of grant funds and program income to housing for very low-income (VLI) households earning up to 50% of the Area Median Income (AMI). In FY 2011-2012, the City met its 25% Set-Aside obligation by committing nearly \$10.5 million in NSP2 funds to two development projects, including \$5.25 million for the acquisition and rehabilitation of 58 units at the Taylor Oaks Apartments on Kollmar Avenue, and \$5.21 million for the development of 18 special needs units on a vacant, City-owned parcel located on Ford Road east of Monterey Highway. In November 2012, the City committed NSP2 funds to the Ford Road Family development. This additional commitment will provide an additional 74 very low-income units. The total of 150 VLI units in these three projects exceeded the Consortium's goal of 41 VLI units, as proposed in the grant application. The consortium anticipates the release of an RFP in the Summer of 2013 to allocate the remaining NSP2 funds.

### c. Section 202 and 811 Grants

HUD 202 & HUD 811, federal production programs for seniors and disabled residents, are not anticipated to fund new developments in the coming year, as no new capital commitments are expected in these programs in FY 2013-14 due to federal budget cuts. However, the State of California is administering a HUD 811 demonstration program in which it will award five-year, renewable rental vouchers for the use of disabled households. The City plans to work with the Silicon Valley Independent Living Center on an application for as many as 50 rental vouchers to be used in restricted affordable multifamily buildings.

## 3. Other Federal Funding Sources

### a. Public Housing Programs and Rental Vouchers

## Section 3: Program Resources

Acting as the City of San José Housing Authority, the City entered into an agreement with the Housing Authority of the County of Santa Clara (HACSC) to administer and manage the Section 8 Voucher program and public housing programs within the City of San José. The HACSC receives federal funding to run the programs below:

- *Housing Choice Voucher Program (HCV)*

This program provides rental assistance to very low and low-income families, including several special programs outlined below. Currently there are 21,006 families on the Section 8 waiting list for the HCV program in Santa Clara County. City staff estimates that the Housing Authority will administer 6,800 vouchers on behalf of the City of San José in 2013-14 based on information provided by the Housing Authority that includes sequester impacts. The City will continue to work with the HACSC and local homeless service providers to ensure that one-third of all vouchers that are turned over in FY 2013-14, or a minimum of 100 vouchers, are set-aside for homeless individuals and families. The agencies will also work together to develop a priority system for the distribution of the set-aside vouchers and mechanisms to ensure that the voucher recipients receive the case management and other support they need to maintain their housing.

- *Shelter Plus Care*

This is a rental assistance program funded by McKinney Homeless monies for homeless persons with a disability—particularly mental illness, chronic alcohol and/or drug addiction, and HIV/AIDS. Participants receive on-going case management and access to supportive services.

- *Family Self-Sufficiency Program*

This is a five-year program to assist current Section 8 participants to improve their employment opportunities in an effort to become economically independent and self-sufficient. As a family's rent portion increases due to an increase in their earned income, HUD matches that amount in an escrow account in the family's name. At the end of five years, if the family has met their goals, remained off welfare assistance for the prior 12 months, and sought and maintained employment, the escrow money is awarded to them.

- *Veterans Affairs Supportive Housing (VASH)*

This program provides assistance for up to 535 homeless veterans in Santa Clara County.

- *The Family Unification Program*

This program provides voucher assistance to families who have been separated due to a lack of adequate housing

- *Non-Elderly Disabled (NED) Vouchers*

These vouchers allow non-elderly disabled people to transition out of care-giving institutions.

- *Moderate Rehabilitation Program*

The moderate rehabilitation program provides 37 project-based rental assistances for low income families. Assistance is limited to properties previously rehabilitated pursuant to a housing assistance payments (HAP) contract between an owner and a Public Housing Agency (PHA).

### Section 3: Program Resources

In January 2008, HUD designated the HACSC as a “Moving to Work” agency through June 30, 2018. The goal of the MTW program is to increase cost effectiveness, promote self-sufficiency, and expand housing options for low-income families. The MTW designation provides more flexibility in use of funding sources and will support the the creation of more efficient programs. The City will continue to partner with the HACSC to identify MTW activities that may benefit low-income families of the community.

The HACSC further plays a direct role in developing affordable housing units. Acting as a nonprofit housing developer, the Housing Authority applies for funds from the City and a variety of state, federal, and private sources for its various development projects.

#### b. Mobilehome Seismic Retrofit Program

Proposed Uses of Mobilehome Seismic Retrofit for 2013-14			
Uses	Prior Year Funds not Committed or unused	Expected Funding in 2013-2014	Total Expected Funding Available for
Mobilehome Seismic Retrofit Program	\$800,000		\$800,000
Admininstration	\$215,000		\$215,000
<b>TOTAL</b>	<b>\$1,015,000</b>		<b>\$1,015,000</b>

\* In addition to the FEMA grant, City Matching Funds of \$200,000 will also be available for spending;

The Mobilehome Seismic Retrofit Program is funded by a grant from the Federal Emergency Management Agency (FEMA) and is administered through the California Emergency Management Agency (CalEMA). Through a competitive application process, the City of San José was awarded a \$3,000,000 grant with a \$1,000,000 match fund requirement to seismically upgrade approximately 650 mobilehomes in San José. The program targets 10 mobilehome parks in San José and serves homeowners of all income levels.

The Program administered 234 mobilehome retrofits during the 2012-13 fiscal year and anticipates completing a total of 300 before the end of the fiscal year. Combined with the previous years total the program has administered an overall total of 440 Mobilehome retrofits. The Program is on target to meet the program goal of retrofitting 650 mobilehomes by the original grant deadline of October 2013. As a result of cost savings and efficient production, FEMA granted the City a one year time extension to expand the program goal from 650 homes to 800 homes.

#### c. Rental Housing Development Programs

In San José, developers of affordable housing typically leverage City subsidies using a range of other federal programs:

- Tax-exempt bonds, authorized by the IRS code, administered by the California Debt Limit Allocation Committee (CDLAC), and issued by the City or other public issuer
- Low Income Housing Tax Credits, administered by the California Tax Credit Allocation Committee (TCAC)

## Section 3: Program Resources

- Affordable Housing Program (AHP) funds from the federal government-sponsored enterprise, the Federal Home Loan Bank.

### STATE RESOURCES

#### Proposed Sources/Uses of State funds for FY 2013-14

Sources	Expected Funding Commitment 2013-14
Prop 1C – BEGIN	\$1,400,000
Prop 1C – CalHome	\$2,740,000
MHSA	\$1,620,000
<i>TOTAL</i>	<b>\$4,260,000</b>

#### 1. Proposition 1C

California Proposition 1C, approved by a statewide election in 2006 and funded by State bond issuances, has funded a number of housing programs. While funds are exhausted for the main Proposition 1C multifamily programs, and the future of the remaining Prop 1C pots is uncertain, San José is fortunate to still have committed funds from three of its housing programs in the coming year.

- *Building Equity and Growth in Neighborhoods Program (BEGIN)*

The BEGIN Program makes grants to cities and counties that reduce local regulatory barriers to affordable ownership housing. The grants are used to provide down payment assistance loans to qualifying first-time low- and moderate-income buyers of homes in newly constructed single family developments that have benefited from barrier reduction. The City is the largest user of BEGIN in the State, having received 18 competitive awards totaling over \$27,000,000. The Housing Department will continue to provide Begin loans to homebuyers seeking ownership in the two remaining developments not yet fully occupied.

- *CalHome*

The CalHome Program enables low- and very-low income households to become or remain homeowners. Grants are made to local public agencies and nonprofit developers to assist individual households with deferred-payment homebuyer and home repair loans. Loans can be made to local public agencies and nonprofit developers to develop affordable multiple-unit ownership projects. Through the CalHome program, the State provides funds to

## Section 3: Program Resources

local public agencies or nonprofit corporations for first-time homebuyer down payment assistance, home rehabilitation, homebuyer counseling or mortgage assistance programs.

### 2. Mental Health Services Act (MHSA)

The State of California's Mental Health Services Act (MHSA) program creates an ongoing source of funds that is administered at the county level to serve low-income mentally ill clients. The County of Santa Clara's Office of Mental Health and its Office of Affordable Housing has used several million dollars in the past four years to fund housing units affordable to extremely low-income persons with mental illness. While production funds are almost exhausted, it is possible that the County will make additional MHSA funds available for housing production.

## LOCAL RESOURCES

### Proposed Sources/Uses of Local funds for FY 2013-14

Sources	Expected Funding 2013-14
20% Funds	N/A
Low- and Moderate-Income Housing Asset Fund (Loan Repayments from former 20% and 80% Funds)	\$10,500,000
Inclusionary Housing In-Lieu Fees	\$260,349
Negotiated Fee Payments	\$2,600,000
City of San José Housing Trust Fund	\$1,100,000
<b>TOTAL</b>	<b>\$14,460,349</b>

### 1. 20% Redevelopment Funds

On June 28, 2011, the California Legislature adopted two bills that directly impacted Redevelopment Agencies throughout the State. The first bill, ABX1 26, directed that all Redevelopment Agencies be dissolved. The second bill, ABX1 27, permitted dissolved agencies to reestablish themselves upon payment to the State of a required remittance. The State was sued in the California Supreme Court after enacting these laws. The Supreme Court's ruling issued on December 29, 2011 upheld the constitutionality of ABX1 26, thereby dissolving all of the State's Redevelopment Agencies, but struck down ABX1 27, which would have enabled agencies to stay in existence if they paid a fee based on each Agency's receipt of tax increment.

As a result of this decision, all Redevelopment Agencies throughout the State of California were dissolved effective February 1, 2012. With this dissolution, new 20% Redevelopment Funds ceased and are no longer available to run affordable housing programs.

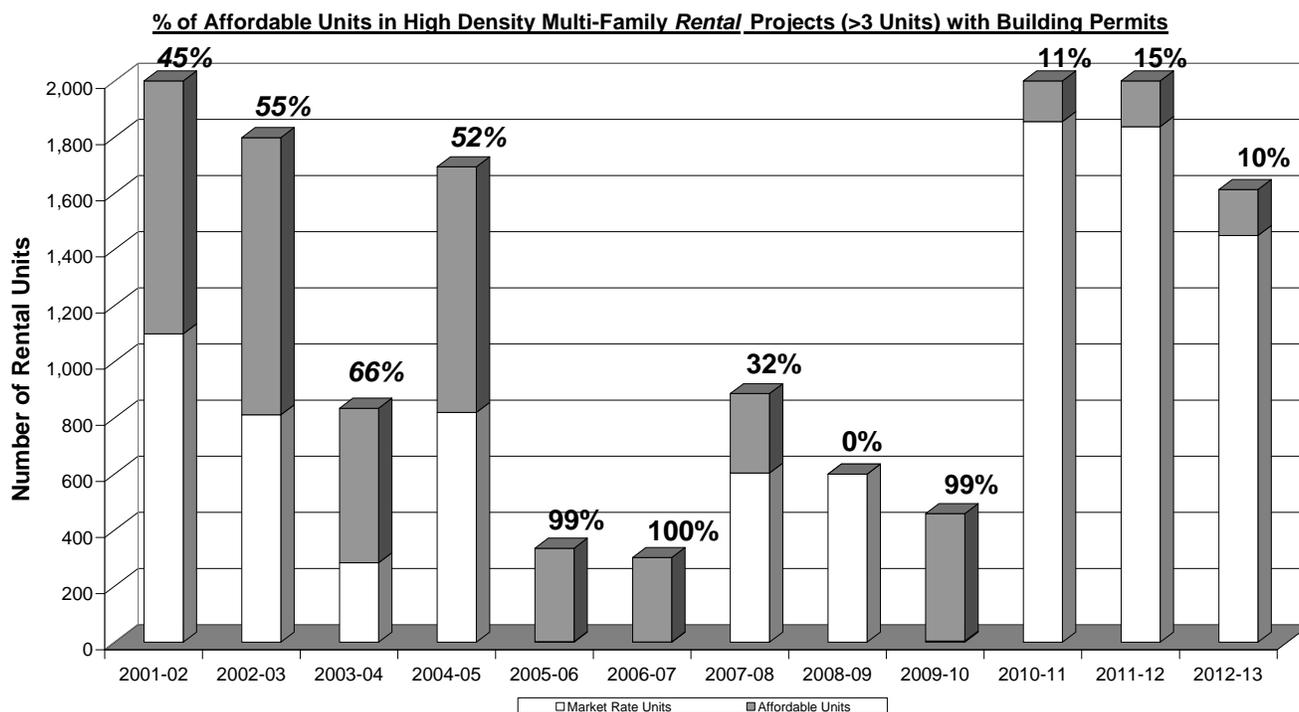
On February 1, 2012, the City of San José became the Successor Agency to the San Jose Redevelopment agency to administer the dissolution and winding down of its activities. Additionally, the City's Housing

## Section 3: Program Resources

Department became the legal successor to the former Agency's 20% Housing Program responsible for monitoring all housing assets and enforcing affordability restrictions of the former Redevelopment Agency.

After February 1, 2012, only existing enforceable obligations can be paid from tax increment. Therefore, the City's use of what used to be known as 20% Redevelopment Funds is limited to paying down debt previously incurred and secured by this revenue stream (\$25.2 million) and performing on legally binding enforceable contracts. All remaining funds will be allocated to cover additional debt incurred by 80% Redevelopment Program. No new tax increment will be used to fund any housing projects or programs. However, the Housing Assets of the former Redevelopment Agency including the receivables of the loan portfolio of the former 20% Program, were transferred to the City.

The loss of ongoing tax increment is a devastating blow to San José's housing program. Virtually all affordable projects over the past 20 years have been assisted by local 20% Redevelopment Funds, and these units have represented a significant percentage of the rental housing production taking place in the City. Over the last 12 years, about 36% of all new rental housing developments have been affordable housing developments. The chart below illustrates that subsidized rental housing has been a major source of development in the City, particularly during recent downturns in housing construction.



Despite the loss of tax increment for affordable housing, the City is committed to finding ways to foster development of units however it can—until a new permanent funding source is established in California and locally. While no HUD-funded projects have been stopped by the loss of RDA funds, the State Department of Finance determined that one of the City-assisted developments (Ford & Monterey) receiving NSP dollars was not an enforceable obligation. This required the City backfill \$1.4M of former RDA funds with other sources, which it was able to do.

### 2. Low- and Moderate-Income Housing Asset Fund

## Section 3: Program Resources

State Assembly Bills ABX1 26 and Assembly Bill 1484 both allowed for the transfer of all affordable housing assets to the Successor Housing Agency. This action allowed the Housing Department to retain land, long-term leases, and a portfolio of over \$800 million in loans made over time from 20% Redevelopment Funds. The proceeds of this portfolio now serve as the primary source of funding for the new Low- and Moderate-Income Housing Asset Fund which will finance the Housing Department's administration of affordable housing programs as well as affordable housing construction and rehabilitation, homebuyer assistance, and other programs, such as homebuyer education services. Regular, ongoing revenue from the fund is expected to be approximately \$6.5 million annually. Additionally, transactions within the portfolio such as refinances and payoffs are expected to provide additional one-time funds. Proceeds from this fund will be used for administration of the Housing Department and to fund the development of new, affordable rental housing. .

### 3. Inclusionary Housing Programs and In-lieu Fees

Inclusionary housing programs are established through local policies and ordinances that require market-rate residential developers to set aside a certain portion of units in a development for income-restricted affordable housing, or satisfying their obligation through payment of an in-lieu fee. The local jurisdiction, in turn, directs these fees towards other affordable housing activities.

The City currently has an Inclusionary Housing Program in place in redevelopment project areas, which requires that 20% of residential for-sale units be affordable or that other options are pursued, including the payment of in-lieu fees to the City. In January 2010, San José adopted a Citywide Inclusionary Housing Ordinance in order to provide additional opportunities for affordable housing in the City. The Citywide ordinance was expected to go into effect no later than January 1, 2013; however, given a pending legal challenge, its implementation is currently on hold. When it goes into effect, it will require that 15% of a project's units built be restricted affordable if the affordable units are constructed on-site, which increases to 20% of units if the affordable units are constructed off-site. Alternatively, the developer may also choose to pay an in-lieu fee instead of building affordable units, or take advantage of other defined alternatives. When the Citywide program takes effect, it will subsume the Inclusionary Housing Program now in operation in redevelopment project areas.

At the time that the San José City Council approved its Citywide Inclusionary Housing Ordinance, an affordability requirement for rental housing projects was included in the recommendations. However, on July 22, 2009, the 2nd District Court of Appeals decided a case called *Palmer/Sixth Street Properties v. City of Los Angeles*, 175 Cal.App.4th 1396 (2009). Although *Palmer* is not legally binding upon the City of San José's current inclusionary housing program, it calls into question the validity of inclusionary housing mandates upon rental housing projects. As a result, until this decision is overturned or reversed by case law or the State legislature, the City will only implement inclusionary requirements on for-sale projects and on rental projects seeking concessions from the City or entering into a Development Agreement. A bill has been introduced into the State legislature, AB 1229 (Atkins), which would have the effect of overturning *Palmer* for rental projects in California.

In previous years, San José has been able to leverage additional funds for down payment assistance for the purchasers of affordable units created through the City's Inclusionary Housing Program. However, given that single family home sales prices have not yet surpassed their previous highs, prior to the weakened housing market over the last several years, a limited number of new construction for-sale units are likely to be created or pay in-lieu fees through the City's Inclusionary Housing Program in FY 2013-14.

### 4. Negotiated Fee Payments

The Housing Department occasionally negotiates with market-rate developers to provide funds for affordable housing in return for receiving other concessions in their land use entitlements. The Department expects to receive a one-time repayment of \$2.6 million in FY 2013-14. Note that *Palmer* does not affect negotiated agreements with developers via Development Agreements.

### 5. City of San José Housing Trust Fund

## **Section 3: Program Resources**

In June 2003, the City of San José established a Housing Trust Fund (HTF), which is administered by the Department of Housing. The purpose of the HTF (originally the Housing and Homeless Fund) is to ensure ongoing funding for affordable housing programs that seek to end and prevent homelessness, in part by creating a vehicle eligible to compete for outside funding sources. In Fiscal Year 2013-14, it is anticipated that \$1,100,000 in HTF will be used towards efforts to end and prevent homelessness including: City staff compensation and non-personnel costs; Destination: Home administrative and programmatic costs; a Downtown Homeless Outreach and Engagement Program to connect homeless residents with services and shelter with the goal of helping them attain permanent housing; as the match for federal, State and regional grants; and emergency funds for persons displaced by fires or other disasters.

### **6. City-Owned Land**

The City also has used its past resources to purchase surplus land from other public agencies to meet its housing and community development goals. The Housing Department would pursue purchase of land in strategic locations where funds to become available for the purpose of supporting the development of restricted affordable multifamily units. The most recent parcel purchase was in 2006 and Department staff is focusing on efforts to get development started on three existing City-owned sites at this time. Such land constitutes a resource for potential new developments to proceed with a minimum of additional subsidy outlay. Ongoing ownership of the land by the City and the use of long-term ground leases to affordable housing projects also provide greater certainty that affordable units will continue to operate in key locations throughout the City.

### **7. Other Local Resources**

The Housing Trust Silicon Valley (formerly the Housing Trust of Santa Clara County) is a nonprofit organization that was created by Silicon Valley businesses and related organizations in 2001, and now is supported by local jurisdictions across the Bay Area as well as lenders, foundations, and private donors. The Housing Trust Silicon Valley makes available loans for first-time homebuyers and provides downpayment assistance funded by State CalHOME dollars. The Housing Trust Silicon Valley also makes short- to medium-term loans to support affordable housing developments which must be retired by another funding source. It also makes loans and grants for homelessness prevention and is the lead agency for the ForeclosureHelp Center.

The County of Santa Clara, which manages funds such as CDBG and HOME as well as the City's MCC program, is a key partner in funding affordable housing developments and homeless programs.

Neighborhood Housing Services Silicon Valley (NHSSV), a 501 (c) (3) nonprofit corporation headquartered in San José, primarily serves Santa Clara and Alameda Counties. Chartered by NeighborWorksAmerica®, NHSSV leverages resources from several private and public sources to promote affordable housing and responsible homeownership. With its primary focus on Low and Moderate income families, NHSSV lines of business provide a full spectrum of homeownership services such as first mortgage lending, subordinate financing, homebuyer education and real estate sales. Services also include homebuyer readiness screening, credit counseling and foreclosure prevention counseling. As a NeighborWorksAmerica® organization NHSSV also engages in community building activities throughout San José.

On an ongoing basis, the City of San José, in partnership with Project Sentinel, NHSSV, the Housing Trust Silicon Valley and other groups, provides information on existing homeownership assistance programs that are available to low-and moderate-income households. The City will rely on its partners to augment this ongoing effort by conducting targeted outreach programs.

## **PRIVATE RESOURCES**

### Section 3: Program Resources

Private lenders and investors are key partners in the financing of affordable housing, particularly in multifamily development programs. Conventional construction and permanent loans from commercial banks and nonprofit lenders, and tax credit equity from private investors, leverage federal, State, and local program resources that are listed above.

#### LEVERAGING

For the City’s housing production program, historically, the Housing Department has leveraged each dollar invested in a project with two-and-a-half to three dollars from outside public and private resources.

In the federal grant programs, the City requires leverage funds from nonprofit sub recipients as follows:

- In both the CDBG and HOPWA programs, sub-recipients are required to contribute at least 20% of the program/activity/service cost from non-federal sources (that is, \$1 of non-federal funds for every \$4 of federal funds).
- In the ESG program, there is a 1-to-1 match (that is, \$1 of non-federal funds for every \$1 of ESG funds).

#### ANTICIPATED RESOURCES FOR FISCAL YEAR 2013-14

The table below indicates estimated funding amounts expected from all sources for the upcoming fiscal year. The figures represented here pertain just for expected program commitments in the coming fiscal year, and do not include resources for those projects which already received a past City commitment or are uncertain to proceed in the coming year.

The City anticipates a grand total of about \$415 million in resources available from local, state, federal, and private sources over the next year for commitments to the development, preservation, or rehabilitation of affordable housing, and to the operation of programs to benefit the homeless and the broader community. While the City expects to receive approximately \$48 million and plans to commit it to projects and programs, the vast majority of resources come directly to the City’s partners—developers, service providers and other organizations that operate locally—for the benefit of the City’s residents.

Fiscal Year 2013-14 Anticipated Funding Sources	City-Programmed Funds	Non-City Funds
<b>Federal Formula Funds plus program income</b>		
CDBG	\$10,470,519	\$0
HOME	\$7,047,605	\$0
ESG	\$752,833	\$0
HOPWA	\$834,287	\$0
<b>SUBTOTAL—Federal Formula</b>	<b>\$19,105,244</b>	<b>\$0</b>
<b>Federal Competitive Programs</b>		
American Recovery & Reinvestment Act & Housing & Economic Recovery Act	\$0	\$0
CDBG-R	\$0	\$0
HPRP	\$0	\$0
HUD 811	\$0	\$200,000

### Section 3: Program Resources

Fiscal Year 2013-14 Anticipated Funding Sources	City-Programmed Funds	Non-City Funds
HOPWA Competitive (SPNS)	\$460,036	\$0
NSP	\$5,533,000	\$0
Shelter Plus Care	\$0	\$0
Section 8 - Rental Vouchers – Tenant-based & Project-based	\$0	\$87,600,000
<b>SUBTOTAL—Federal Competitive</b>	<b>\$5,993,036</b>	<b>\$87,800,000</b>
<b>State Competitive Programs</b>		
Emergency Housing Shelter Program (EHAP)	\$0	\$0
Low-Income Housing Tax Credits (9%) Equity	\$0	\$71,606,915
Low-Income Housing Tax Credit (4%) Equity	\$0	\$21,217,240
Lead-Based Paint Abatement	\$0	\$0
Mobilehome Retrofit Program	\$215,000	\$800,000
Mortgage Credit Certificates (55% of County Allocation)	\$0	\$5,500,000
Proposition 1C – Multifamily Housing Program (MHP)	\$0	\$0
Proposition 1C – Transit Oriented Development Funds	\$0	\$0
Proposition 1C – Infill Infrastructure Grant Program	\$0	\$0
Proposition 1C – Homeless Youth Supportive Housing	\$0	\$0
Proposition 1C – CalHOME program	\$2,740,000	\$0
Proposition 1C – BEGIN program	\$1,400,000	\$0
Proposition 63 – Mental Health Services Act (MHSA)	\$0	\$3,082,500
<b>SUBTOTAL—State Competitive</b>	<b>\$4,355,000</b>	<b>\$102,206,655</b>
<b>Local Funds</b>		
Housing Trust Fund	\$1,100,000	\$0
In-lieu Fees	\$260,349	\$0
Low- and Moderate-Income Housing Asset Fund	\$15,140,000	\$0
Negotiated Fee Payments	\$2,600,000	\$0
<b>SUBTOTAL—Local</b>	<b>\$19,100,349</b>	<b>\$0</b>
<b>Private Funds</b>		
Conventional Construction Financing	\$0	\$126,069,710
Conventional Permanent Financing	\$0	\$44,213,865
Federal Home Loan Bank – Affordable Housing Program	\$0	\$0
Private Equity	\$0	\$6,152,220
<b>SUBTOTAL—Private</b>	<b>\$0</b>	<b>\$176,435,795</b>
<b>GRAND TOTAL</b>	<b>\$48,553,629</b>	<b>\$366,422,450</b>

## **Section 4**

# **Priority Needs and Geographic Areas Served**

## Section 4: Priority Needs and Geographic Areas Served

### HOUSING NEEDS AND GOALS

As noted in the Strategic Plan section of the City's 2010-2015 Consolidated Plan, there are several priority needs that the City intends to address. The chart below illustrates the number of households in San José, by income, paying more than 30% of their income on housing. Furthermore, the City has identified five-year and one-year goals to help respond to the need of residents for more affordable housing options. Table 2A below helps to demonstrate the overall need identified in the Consolidated Plan 2010-2015 and the goals for addressing this need.

**Table 2A**  
**Priority Housing Needs / Investment Plan Table & Goals**  
**Five-Year Goal**

Priority Housing Needs – Households	Income Levels (# of Households)	Priority Need Level – High, Medium, and Low	Unmet Need based on Cost Burden	5 – Year Goal 2010-2015	Actual 2010- Present	1-Year Goal 2013- 2014
<b>Small Related Renters</b>  (0-80%) - 20,974  (2-4 persons)	0-30%MFI (7,470)	H	6,125	70	0	6
	31-50%MFI (7,365)	H	5,523	47	0	80
	51-80%MFI (6,139)	M	2,701	150	0	0
<b>Large Related Renters</b>  (0-80%) – 12,968  (5+ persons)	0-30%MFI (4,600)	H	3,956	9	84	20
	31-50%MFI (4,715)	H	2,923	25	180	250
	51-80%MFI (3,653)	M	876	0	49	307
<b>Elderly Renters</b>  (0-80%) – 8,182	0-30%MFI (5,659)	H	3,723	0	94	49
	31-50%MFI (1,685)	H	1,078	0	0	147
	51-80%MFI (838)	M	444	0	0	0
<b>Other Renter Households</b>  (12,533)	0-30%MFI (4,955)	H	3,518	344	0	15
	31-50%MF I (3,454)	H	2,798	0	19	0
	51-80%MFI (4,124)	M	2,557	0	0	0
<b>Total Owner Households</b>  (0-80%) (38,411)	0-30% MFI (10,755)	H	7,528	509	209	80
	31-50% MFI (12,489)	H	7,118	508	165	128
	51-80%MFI (15,167)	M	8,190	611	168	132
<b>Total</b>			<b>59,058</b>	<b>2,273</b>	<b>968</b>	<b>1,214</b>

## Section 4: Priority Needs and Geographic Areas Served

### **GEOGRAPHIC DISTRIBUTION**

#### **Geographic Distribution of Housing and Services Race & Ethnicity Make-Up of San José**

The City of San José is one of the most diverse jurisdictions in the nation. According to the 2010 Census, San José's Hispanic and Asian population exceeded the population for Whites. The three ethnic groups represent 33%, 32%, and 29% of the population respectively. The City works to disperse affordable housing to avoid concentrations of low-income and minority populations by helping ensure services and affordable housing are available throughout the City.

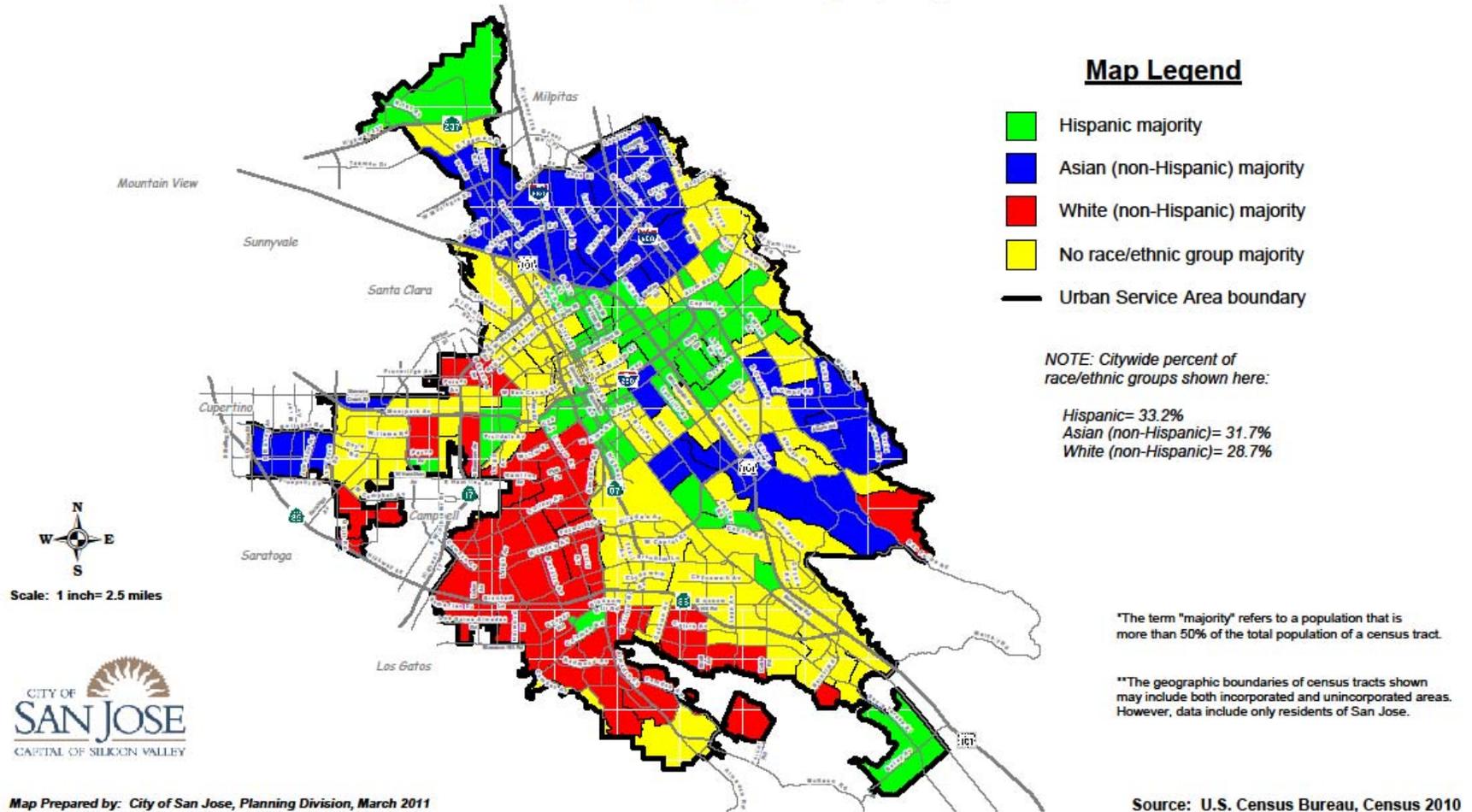
According to the 2010 Census, the non-Hispanic White population declined to 28.7 % of the total population, down from 36% of the total population in the 2000 Census. Conversely, strong growth occurred within the Asian population, rising from approximately 238,378 persons in 2000 (26.6% of the City's population) to 300,967 persons in 2010 (31.7%).

The City's Hispanic population experienced tremendous increase throughout the course of the last twenty years, more than doubling in size from about 140,000 persons in 1980 to about 318,000 persons in 2010. As a proportion of the City's total population, the Hispanic population rose steadily from 22.3% in 1980, to 26.6% in 1990, 30.2% in 2000 and then to 33.2% in 2010. Hispanics are the most geographically concentrated major race/ethnic group in San José. According to the 2010 Census, Hispanic persons comprised over two-thirds of the population in nineteen census tracts, many of which are primarily within or near the Downtown area.

San José currently has a single race/ethnic group majority in seven of its ten City Council Districts. Namely, Districts 6,9 and 10 contain a White majority (62.6%, 68.9% and 56.6% respectively), Districts 3 and 5 each contain a Hispanic majority (51.6 % and 61.8%, respectively), and Districts 4 and 8 contain an Asian majority (61.4% and 53.3% respectively). With no single race/ethnic group majority, the remaining three City Council Districts (1, 2, and 7) thus contain a diverse mix of the aforementioned and other race/ethnic groups. (Source - <http://www.sanjoseca.gov/DocumentCenter/View/5251>).

The map below demonstrates the race/ethnic make-up of San José.

## City of San Jose Race/Ethnic Group Majority\*, by Census Tract\*\*



## Section 4: Priority Needs and Geographic Areas Served

### Eligible Population for a Language Access Plan (LAP)

HUD has established criteria for determining the eligible population of Limited-English Proficiency (LEP) persons to be served by an LAP. LAP provisions are applicable to language groups that are “More than 5 percent of the eligible population or beneficiaries and more than 50 in number.” Based on Census 2000 data for San José, there are three language groups that meet these provisions: Spanish, Vietnamese, and Chinese speakers. For the LAP, “Chinese” will include both Mandarin and Cantonese dialects. The LAP sets forth a framework of the language services and procedures that the Housing Department will implement in order to meet the needs of LEP persons. Starting in FY 2012-13, the Housing Department included Tagalog translation in its public notices for the Annual Consolidated Plan process, for the Consolidated Annual Performance and Evaluation Report process, and for other actions related to federal funding decisions.

### Place-Based Neighborhood Focused Strategy

In light of current budget limitations, San José recognizes the importance of a coordinated effort to provide services to its neighborhoods. The underlying framework of the new place-based neighborhood-focused strategy is that maximum impact can be achieved by integrating federal funds with other public and private grant funds and other City programs and funds.

The strategy has been initiated in three neighborhoods where there is a demonstrated need, opportunity to make substantial changes and strong community partnerships to sustain that progress. They include:

1. **Santee/McKinley** in partnership with Franklin McKinley Children’s initiative
2. **Mayfair** in partnership with Somos Mayfair
3. **Five Wounds / Brookwood Terrace** in partnership with CommUniverCity (with the potential for expansion as CommUniverCity expands to other Downtown neighborhoods)

The Initiative’s Goals are:

1. Connect resources and support the neighborhoods’ Community Base Organizations (CBO’s), police, code enforcement, housing, parks and recreation and other services in the Place-Based neighborhoods
2. Continue to access to funds and resources from the Community Development Block Grant (CDBG) program and other new partners to implement priority projects and neighborhood action. Portion of CDBG funds will continue to be allocated in the three neighborhoods
3. Create a supporting strong, capable network within the Place-Based Neighborhoods

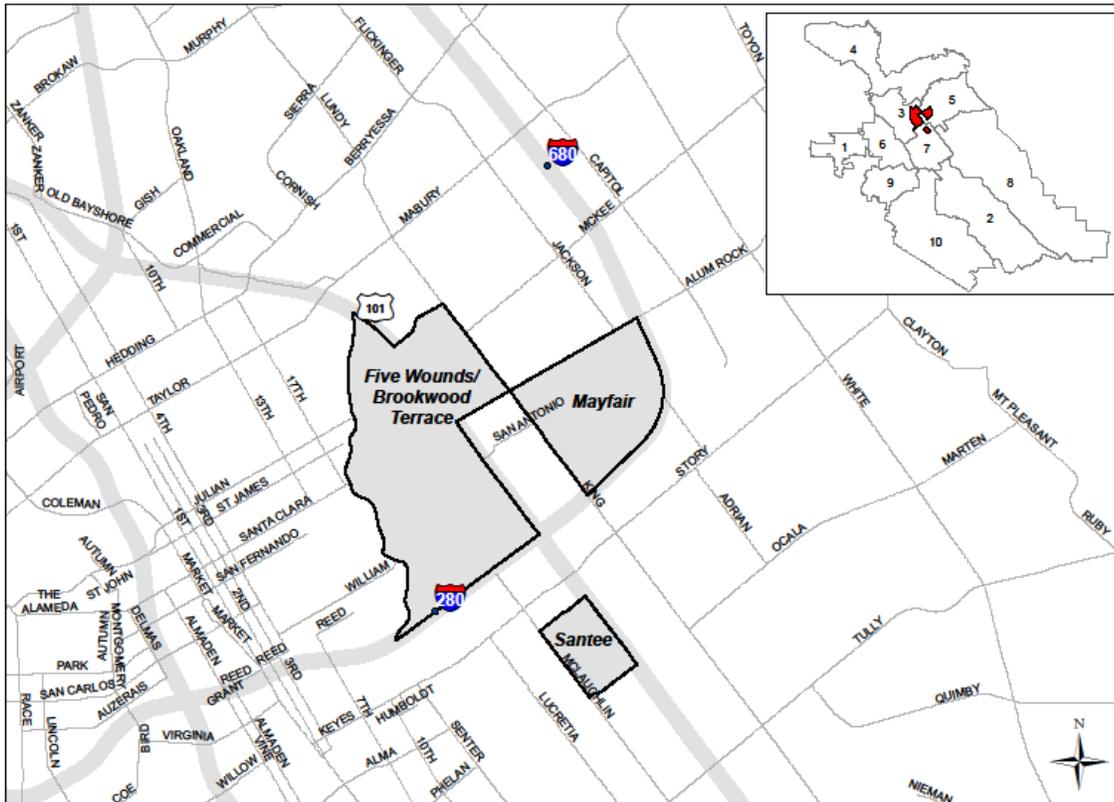
The primary focus of the Place-Based Strategy is to address the needs of the selected neighborhoods by creating a coordinated effort to provide services that create clean, safe, and engaged communities. All aspects of neighborhood condition are explored, including crime, blight, and infrastructure. Some CDBG funds will be targeted to these neighborhoods, while other funds will come from programs that assist residents Citywide.

Place-Based funding is not expected to be a long-term funding source for these neighborhoods. Rather, it is expected that they will be provided “Interim Funding” for a period of two to five years. The program is starting to establish criteria to enable new communities to be identified as Place-Based Neighborhoods.

The Place-Based Strategy builds on the Strong Neighborhoods program that was funded for many years by the Redevelopment Agency. Now that the Agency no longer exists, the City is re-directing some programs – including a portion of CDBG resources –in order to realize significant improvements a few neighborhoods at a time.

## Section 4: Priority Needs and Geographic Areas Served

### CITY OF SAN JOSE PLACE BASED NEIGHBORHOODS



### Dispersion Policy

The City's Housing Dispersion Policy is a positive statement of encouraging affordable housing development throughout the City. Pursuant to this policy, the Council's approvals of City-financed affordable housing development are made in the context of the goal to balance and promote economic integration.

Pursuant to 24 CFR 91.225 and 570.601, no area of the City is arbitrarily precluded from consideration as a site for affordable housing. However, the City recognizes that certain census tracts contain a disproportionate number of lower-income households. The Dispersion Policy is meant to track affordable housing by impacted census tracts. Impacted census tracts are defined as those census tracts in which over 50% of residents are low-income. In November 2004, the Housing Department revised the list of impacted census tracts, based on a special Census 2000 tabulation request obtained from the Census Bureau. As a result of that study, 22 tracts were identified as impacted. These tracts were located in Council Districts 3 (13 tracts), 7 (4 tracts), 5 (2 tracts), 6 (2 tracts) and 2 (1 tract).

Based on a recent review, 26% of all newly constructed low-income affordable units were located in impacted areas. The remaining 74% were built outside of "impacted Census tracts". The chart below shows the distribution of the City's low-income affordable housing developments (1988-89 through present), by impacted census tracts.

## Section 4: Priority Needs and Geographic Areas Served

### *Citywide Dispersion of Affordable Housing – Tracked by Developments (1988-89 to February 2013 Completed and Under Construction)*

<i>Impacted Tracts in San José</i>	<i>Council District</i>	<i>Total LI Units (Incl Beds)</i>
5120.18	2	188
5001.00	3	127
5009.02	3	63
5010.00	3	9
5014.00	3	80
5015.01	3	83
5015.02	3	0
5016.00	3	113
5031.12	3	422
5031.13	3	66
5036.01	3	46
5037.09	3	419
5008.00	3,6	231
5017.00	3,6	30
5037.02	5	350
5037.06	5	54
5019.00	6	228
5020.02	6	0
5031.05	7	199
5031.10	7	129
5032.13	7	0
5032.14	7	270
<b>Total For Impacted Census Tracts in San José</b>		<b>3,107</b>
<b>Total For Non-impacted Census Tracts in San José</b>		<b>8,956</b>
<b>Total For Census Tracts in San José</b>		<b>12,063</b>
<b>% Impacted Units</b>		<b>26%</b>

\* *Impacted tracts are those tracts where more than 50% of the households are low-income households*  
 \* *Includes only new construction of non-moderate, affordable units; excludes acq/rehabs, inclusionary and RDA assisted projects*

## **Section 5**

# **Goals and Strategies**

## Section 5: Goals and Strategies

Through the Annual Action Plan, the Mayor and City Council approves the use of federal funds for programs and project activities designed to meet six broad goals contained in the 2010 -2015 Five-Year Consolidated Plan. The six goals are as follows:

1. Assist in the creation and preservation of affordable housing for lower-income and special needs populations
2. Support activities to end homelessness in San José and Santa Clara County
3. Support activities that provide basic services, eliminate blight, and/or strengthen neighborhoods
4. Expand economic opportunities for low-income households
5. Promote fair housing choice – affirmatively further fair housing
6. Promote environmental sustainability.

Section 5 identifies the strategies and actions for each of the six goals. It also includes tables to track annual goals and actual outcomes. As one reads the section below, please note the following:

- The *Need* analysis under each strategy is taken directly from the adopted 2010-2015 Consolidated Plan document.
- The 5-Year Goal refers to the target set in the 5-Year Plan
- The abbreviations used in the *Outcome Code* row in the tables correspond to HUD’s definitions below:

	<b>Availability / Accessibility</b>	<b>Affordability</b>	<b>Sustainability</b>
<b>Decent Housing</b>	DH-1	DH-2	DH-3
<b>Suitable Living Environment</b>	SL-1	SL-2	SL-3
<b>Economic Opportunity</b>	EO-1	EO-2	EO-3

## Section 5: Goals and Strategies

### GOAL #1 – ASSIST IN THE CREATION AND PRESERVATION OF AFFORDABLE HOUSING FOR LOWER-INCOME AND SPECIAL NEEDS HOUSEHOLDS

#### Strategy #1A: Assist in the production of affordable rental housing

##### Need (As identified in the 2010-15 Consolidated Plan document):

*Affordability of rental housing varies significantly by jurisdiction. However, across the Entitlement Jurisdictions, the average market rate rent far exceeds the maximum affordable rent for very low- and extremely low-income households. Moreover, the current economic recession and unemployment further exacerbate affordability concerns for many households.*

##### Five-Year Goal:

Action 1.A.1 Financial & technical assistance for affordable rental housing - Production Goal							
Performance Measure	Number of lower-income units with funding committed						
5-Year Plan Goal	129						
FY 2013-14 Funding Source	HOME, Affordable Housing Investment Fund, Tax Credits, Negotiated Developer Funds						
Outcome Code	DH-1,2						
	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14	Year 5 2014-15	Total To- Date	% of 5- Year Goal
Annual Plan Goal	105	705	249	397		1,456	1128.7%
Actual Annual Outcome	354	74				428	331.8%

#### Production of Rental Housing

The units financed by the City of San José's affordable housing program represent a very significant part of the housing production taking place in the City. Over the last ten years, about 31% of the permits issued in the City of San José were for affordable housing projects.

It is the policy of the City of San José to provide financial assistance for affordable housing development in the form of low-interest loans. Moreover, the City emphasizes gap financing, rather than primary financing, as a means to leverage its funds; the City requires that project sponsors leverage City funds with funds from non-City sources to maximize the total investment in affordable housing. The Department's focus is also on originating loans and collecting repayments, while grants are provided in very limited circumstances.

The tables below identify expected completions and commitments of City-subsidized rental homes affordable to lower-income households that are expected for the fiscal year 2013-14:

#### ***Fiscal Year 2013-14 Potential New Commitments for Rental Housing - New Construction and Acquisition/Rehab***

Project Name	NC or A/R	Council District	ELI, VLI, LI Units	Mod Units
Donner Lofts	NC	3	101	0
Leigh & Southwest	NC	6	63	0

## Section 5: Goals and Strategies

Project Name	NC or A/R	Council District	ELI, VLI, LI Units	Mod Units
Expwy				
Second Street Studios	NC	3	133	0
The Met	NC	7	100	0
<b>TOTAL</b>			<b>397</b>	<b>0</b>

### *Fiscal Year 2013-14 Potential Completions for Rental Housing - New Construction and Acquisition/Rehab*

Project Name	NC or A/R	Council District	ELI, VLI, LI Units	Mod Units
Ford & Monterey Special Needs	NC	2	19	0
<b>TOTAL</b>			<b>19</b>	<b>0</b>

### **Action 1.A.1 Financial & technical assistance for affordable rental housing - Inclusionary Goal**

<b>Performance Measure</b>	Number of units with recorded restrictions						
<b>5-Year Plan Goal</b>	150						
<b>FY 2013-14 Funding Source</b>	Private Developers' funds						
<b>Outcome Code</b>	DH-1,2						
	<b>Year 1 2010-11</b>	<b>Year 2 2011-12</b>	<b>Year 3 2012-13</b>	<b>Year 4 2013-14</b>	<b>Year 5 2014-15</b>	<b>Total To- Date</b>	<b>% of 5- Year Goal</b>
<b>Annual Plan Goal</b>	0	0	354	477		831	554.0%
<b>Actual Annual Outcome</b>	0	0					

\* Yearly totals include negotiated affordable rental units

### **Inclusionary and Negotiated Affordable Goals for Rental Housing**

The City does not expect any new rental units to be constructed by right under the Inclusionary Housing Program as a result of the *Palmer* case, which had the effect of suspending the inclusionary housing requirements for rental housing in California until such time as the limitation in the *Palmer* decision is overturned or modified by court or by the legislature.

However, through existing negotiated development agreements, the City estimates that approximately 477 restricted affordable rental units without City subsidy will be committed and begin construction in 2013-14. Moreover, through more recent negotiated development agreements, the City expects to receive \$2,600,000 in revenue which will be reinvested to fund other affordable housing developments.

Project Name	NC or A/R	Council District	ELI, VLI, LI Units	Mod Units
Lennar/Hitachi 10&12	NC	10	191	0
Hitachi Lot 6	NC	10	47	0
Hitachi Lot 8	NC	10	95	0
I-STAR	NC	10	144	0
<b>TOTAL</b>			<b>477</b>	<b>0</b>

## Section 5: Goals and Strategies

### Assistance in Searches for Affordable Rental Units

In September of 2010, the City and Santa Clara County launched a housing search website, SCChousingsearch.org, which was created to streamline access to real-time information on affordable rental units. The City worked with Social Serve, a nonprofit housing location database provider, to develop the database specific to the region. It is also anticipated that the Housing Authority will begin listing their rental partners, which will increase the number of listings on the site. In FY 2013-14, the City will continue working with Social Serve and local affordable housing property management companies to increase the number of affordable housing units listed in the database.

### Strategy #1B: Support affordable ownership housing

#### Need (As identified in the 2010-15 Consolidated Plan document):

*Although the current housing market downturn has led to falling sales prices in virtually all the Entitlement Jurisdictions, ownership housing in North County and Central West County remains largely unaffordable to lower-income households. In contrast, home values in Central and South County are somewhat more affordable. It is also important to note, however, that credit markets have tightened in tandem with the decline in home values. As such, although homes have generally become more affordable, lender requirements for a minimum down payment or credit score present a greater obstacle for buyers. Considering these factors, homeownership for lower-income households remains an important goal.*

#### Current Year Update

The National Association of Home Builders released nationwide housing affordability data for the fourth quarter of 2012. The study identified that San José-Sunnyvale-Santa Clara area as the ninth least affordable area in the nation (218<sup>th</sup> out of 226 metropolitan areas) with only 48.5% of the homes sold being affordable to those earning the area's median income. The inventory of for-sale housing is also very tight, thus increasing both demand and prices of homes and making San José an even less affordable place to live in.

#### Five-Year Goal

<b>Action 1.B.1 Direct financial assistance to help lower-income households purchase homes</b>							
<b>Performance Measure</b>	Number of non-duplicated lower-income homebuyers assisted						
<b>5-Year Plan Goal</b>	357						
<b>FY 2013-14 Funding Source</b>	CalHome, BEGIN, NSP						
<b>Outcome Code</b>	DH-2						
	<b>Year 1 2010-11</b>	<b>Year 2 2011-12</b>	<b>Year 3 2012-13</b>	<b>Year 4 2013-14</b>	<b>Year 5 2014-15</b>	<b>Total To- Date</b>	<b>% of 5- Year Goal</b>
<b>Annual Plan Goal</b>	77	96	64	20		257	72.0%
<b>Actual Annual Outcome</b>	39	65				104	29.1%

\* An additional 16 moderate-income homebuyers will also be assisted in the purchase of homes

## Section 5: Goals and Strategies

The City of San José uses CalHome, BEGIN, and NSP funds as available to assist low- and moderate-income households to purchase homes. The City works with various partner first-time homebuyer agencies to administer these homebuyer programs.

Due to rising home prices, lack of available inventory, and a very competitive sales market, first time homebuyers and other low- and moderate-income homebuyers are struggling to compete for available homes. As a result, the City's homebuyer programs have experienced a significant drop in new applications over the past year. It is anticipated that this trend will continue through the next fiscal year.

### Direct Financial Assistance Programs

The City of San José offers two homeownership programs – mortgage assistance programs, in which buyers are able to select a home of their choice (citywide), and mortgage assistance programs that are targeted to specific developments and homes.

- *Welcome Home Program*

In August 2008, the City started the Welcome Home program, which provides 30-year second mortgages of up to \$25,000 for lower-income homebuyers in the form of a deferred repayment loan. This loan may be layered with other forms of down payment assistance to help homebuyers purchase homes within San José's municipal boundaries. In 2013-14, the City will continue to administer this program with the intent to assist approximately 20 low-and moderate-income homebuyers to purchase new or re-sale homes. The Program will have a budget of approximately \$1.6 million, jointly funded by \$1.2 million from Proposition 1C Calhome funds and approximately \$400,000 of NSP2 funds.

### Development-Specific Homeownership Programs

- *BEGIN Developments*

The BEGIN program, funded by funds authorized by the statewide ballot Proposition 1C through California's Department of Housing and Community Development (HCD), allows the City to provide deferred payment loans to qualifying low- and moderate-income first-time homebuyers who purchase a home in new housing developments for which the City has received a BEGIN award. IN 2013-14, the City expects to assist 16 moderate-income homebuyers through two developments that have received a BEGIN award.

Action 1.B.2 Financial literacy and homebuyer education programs							
Performance Measure	Number of lower-income households participating in classes and counseling sessions						
5-Year Plan Goal	2,285						
FY 2013-14 Funding Source	NHSSV, Project Sentinel						
Outcome Code	DH - 2,3						
	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14	Year 5 2014-15	Total To- Date	% of 5- Year Goal
Annual Plan Goal	417	720	636	450		2,223	97.3%
Actual Annual Outcome	422	514				936	41.0%

## Section 5: Goals and Strategies

### Financial Literacy and Homebuyer Education and Counseling

The City recognizes the value of a comprehensive homebuyer education program. In FY 2013-14, the City will partner with Project Sentinel to provide pre-purchase homebuyer education courses to low- and moderate-income homebuyers on behalf of the City. The City will continue to require that all first-time homebuyers complete a pre-purchase homebuyer education course prior to receiving City assistance.

In 2013-14, approximately 450 households will be assisted with pre-purchase homebuyer education. In addition to the City's partnership with Project Sentinel, qualifying first-time homebuyers may also receive mortgage assistance loans through the Housing Trust of Silicon Valley (HTSV). The HTSV is a private, nonprofit lender supporting affordable rental and ownership housing programs throughout Silicon Valley and the Bay Area.

Neighborhood Housing Services Silicon Valley (NHSSV), a 501(c) (3) nonprofit corporation headquartered in San José, primarily serves Santa Clara and Alameda Counties. Chartered by NeighborWorksAmerica®, NHSSV leverages resources from several private and public sources to promote affordable housing and responsible homeownership. With its primary focus on low- and moderate-income families, NHSSV provides a full spectrum of homeownership services such as first mortgage lending, subordinate financing, homebuyer education, and real estate sales. Services also include homebuyer readiness screening, credit counseling and foreclosure prevention counseling. As a NeighborWorksAmerica® organization NHSSV also engages in community building activities throughout San José.

### Targeted Outreach

On an ongoing basis, the City of San José, in partnership with Project Sentinel, NHSSV, the HTSV and other groups, provides information on existing homeownership assistance programs that are available to low-and moderate-income households. The City will rely on its partners to augment this ongoing effort by conducting a targeted outreach program directed to the following groups:

- Prospective homebuyers participating in homebuyer education services sponsored by Project Sentinel.
- The Santa Clara County Association of Realtors (SCCAOR) and other real estate professional organizations.
- Low- and moderate-income residents and employees who work within the City of San Jose's municipal boundaries outreach through public presentations and workshops.

### Production of Affordable Ownership Housing

The City does not plan to provide new funds to support the construction of affordable ownership housing in FY 2013-14. However, the City does expect an existing for-sale project, Sycamore Terrace, to start construction on City-purchased land and provide nine below-market rate units for moderate-income households and 18 market-rate units. Completion and sale of a portion of the units may occur in FY 2014-2015.

- *Habitat for Humanity Rehabilitation/Acquisition Program*

The City will propose using approximately ~~\$500,000~~\$750,000 of its HOME funds to assist Habitat for Humanity in rehabilitating and/or acquiring homes to benefit up to six low-income ~~homeowners~~homebuyers.

## Section 5: Goals and Strategies

### Fiscal Year 2013-14 Potential New Commitments for Ownership Housing - New Construction and Acquisition/Rehab

Project Name	NC / A/R	Council District	ELI, VLI, LI Units	Mod Units
<del>None</del> Habitat for Humanity	<del>N/A</del> A/R	N/A	<del>N/A</del> Up to 6	0
<b>TOTAL</b>			<b>0</b> Up to 6	<b>0</b>

### Fiscal Year 2013-14 Potential Completions for Ownership Housing - New Construction and Acquisition/Rehab

Project Name	NC / A/R	Council District	ELI, VLI, LI Units	Mod Units
Sycamore Terrace	NC	10	0	9
<b>TOTAL</b>			<b>0</b>	<b>0</b>

### Inclusionary Housing Ownership Units

Through its Inclusionary Housing Program, the City estimates that approximately 80 restricted affordable ownership units for moderate-income households will be committed and will begin construction in FY 2013-14. The exact number of units to be produced is difficult to estimate, however, as the City's Inclusionary Housing Policy features a provision that affordability restrictions can be waived for six months at a time on built units that are priced within 5% of the calculated affordable housing cost. Therefore, if this waiver provision is utilized, expected BMR units may not materialize as expected. As the for-sale housing market continues to strengthen significantly, the use of the waiver and the risk of BMR units not materializing as expected is reduced.

### Fiscal Year 2013-14 Potential New Inclusionary and Negotiated Affordable Ownership Housing - New Construction and Acquisition/Rehabilitation

Project Name	NC / A/R?	Council District	ELI, VLI, LI Units	Mod Units
Westmount Square	NC	3	0	12
East William & 22 <sup>nd</sup>	NC	3	0	13
Fox Markovitz	NC	3	0	48
8 <sup>th</sup> & William St Condos	NC	3	0	6
<b>TOTAL</b>			<b>0</b>	<b>79</b>

### Strategy #1C: Rehabilitation assistance to lower-income homeowners to preserve San José's affordable housing stock

#### Need (as identified in the City's FY 2010-15 Consolidated Plan):

*The Housing Rehabilitation program will allow lower-income homeowners to remain in affordable, safe and decent housing. The City of San José identified 2,700 severely deteriorated housing units and another 9,500 moderately deteriorated units within its boundaries. These estimates do not include housing in need of minor repairs. Further, there are 11,891 low-income and moderate-income senior households in San José with housing problems, 6,323 of which were homeowners.*

## Section 5: Goals and Strategies

### Five-Year Goal

Action 1.C.1 Rehabilitation assistance to lower-income homeowners inclusive of all funding sources							
<b>Performance Measure</b>	Number of lower-income homeowners assisted						
<b>5-Year Plan Goal</b>	1,271						
<b>FY 2013-14 Funding Source</b>	CalHome, CDBG, FEMA						
<b>Outcome Code</b>	SL-3						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14*</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	262	210	223	320		1,015	79.9%
<b>Actual Annual Outcome</b>	391	435				826	65.0%

\*Note: In addition to the 2013-14 numeric goal in Action 1.C.1, 10 moderate-income households are projected to receive the MHS Grant

Action 1.C.2 Rehabilitation assistance for lower-income homeowners through the CDBG program only (subset of 1.C.1 above)							
<b>Performance Measure</b>	Number of lower-income homeowners assisted						
<b>5-Year Plan Goal</b>	1,000						
<b>FY 2013-14 Funding Source</b>	CalHome, CDBG, FEMA						
<b>Outcome Code</b>	SL-3						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	200	200	183	162		745	74.5%
<b>Actual Annual Outcome</b>	266	239				505	50.5%

Action 1.C.3 Pursue funding opportunities for infrastructure improvements in lower-income mobilehome parks							
<b>Performance Measure</b>	Continue to implement program						
<b>5-Year Plan Goal</b>	N/A						
<b>FY 2013-14 Funding Source</b>	N/A						
<b>Outcome Code</b>	SL-1,2,3						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	Continue to implement program						
<b>Actual Annual Outcome</b>	Continue to implement program						

## Section 5: Goals and Strategies

### Rehabilitation Assistance for Lower-income Homeowners

- *Housing Department, Housing Rehabilitation Program (\$1,525,000):*

This program will provide housing grants and loans to improve and preserve the affordable housing stock and address health and safety concerns. Loans and grants will be made available Citywide, including for the City's large stock of mobilehome units, but there will be a concentrated effort to use rehabilitation funding in conjunction with blight elimination efforts in the three place-based strategy neighborhoods.

- *Rebuilding Together Silicon Valley, Emergency, Critical and Minor Housing Repair Services for Low-Income San José Homeowners Project (\$400,000):*

This program will repair housing units to address immediate health and safety needs for extremely low-income homeowners in San José. The focus of repairs will address emergency and critical repair needs, as well as minor accessibility and mobility needs within the home.

### Funding Opportunities for Infrastructure Improvements in Mobilehome Parks

The Housing Department will continue to seek funding opportunities when available for infrastructure improvements in mobilehome parks with lower-income residents.

## Strategy #1D: Assist lower-income households including seniors, larger families, the disabled, in preserving and maintaining safe and affordable housing

### Need (As identified in the 2010-15 Consolidated Plan document):

*Need for Senior Housing: According to the 2000 Census, 38 percent of Santa Clara County's elderly households (age 65 years or older) face one or more housing problems. This includes overpaying for housing (spending more than 30 percent of their income on housing costs), living in an overcrowded situation, or living in a unit that lacks complete kitchen or plumbing facilities. Housing problems are more prevalent among elderly renters than owners. Approximately 60 percent of elderly renters experienced housing problems, compared to 31 percent of owners. Local service providers at each of the Consolidated Plan Workshops echoed these findings, and indicated a need for more affordable senior housing, particularly given the long waiting lists at existing developments.*

*Need for Larger Units: In 2000, 16 percent of Santa Clara County households had five or more persons. This figure varied substantially across Entitlement Jurisdictions. Approximately 24 percent of Gilroy's households were large households while only six percent of Palo Alto and Los Gatos households had five or more individuals. This finding is consistent with the Consolidated Plan Workshops where participants noted the need for affordable units serving larger households in the South County.*

*Need for Disabled Housing: The 2000 Census reports that there were approximately 9,400 individuals with disabilities in Santa Clara County, accounting for 17 percent of the County's civilian, non-institutionalized population age five years and older. In 2000, approximately 60,600 seniors, or 39 percent of the elderly in Santa Clara County, had one or more disabilities. Consolidated Plan Workshop participants also cited the need for accessible units serving disabled persons.*

### 2010 Census Update

## Section 5: Goals and Strategies

Based on the 2010 Census, 15 percent of Santa Clara County households had five or more persons. This figure varied substantially across Entitlement Jurisdictions. Approximately 18 percent of San José's households were large households. This finding is consistent with the Consolidated Plan Workshops where participants noted the need for affordable units serving larger households.

### Five-Year Goal:

Action 1.D.1 Production goal for seniors, disabled and special needs individuals (subset of Production Goal 1.A.1)							
Performance Measure	Number of units with funding committed						
5-Year Plan Goal	29						
FY 2013-14 Funding Source	HOME, Affordable Housing Investment Fund						
Outcome Code	DH-1,2,3						
	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14	Year 5 2014-15	Total To- Date	% of 5- Year Goal
Annual Plan Goal	15	324	89	211		639	2203.4%
Actual Annual Outcome	143	23				166	572.4%

Action 1.D.1 Rehabilitation goal for seniors, disabled and special needs individuals (subset of Rehab Goal 1.C.1)							
Performance Measure	Number of homeowners assisted						
5-Year Plan Goal	254						
FY 2013-14 Funding Source	CalHome, Affordable Housing Investment Fund, CDBG, FEMA						
Outcome Code	SL-3						
	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14	Year 5 2014-15	Total To- Date	% of 5- Year Goal
Annual Plan Goal	52	107	98	25		282	111.0%
Actual Annual Outcome	190	33				223	87.8%

Action 1.D.2 Pursue funding opportunities to improve condition of housing stock for lower-income renters							
Performance Measure	Continue to implement program						
5-Year Plan Goal	N/A						
FY 2013-14 Funding Source	N/A						
Outcome Code	SL-1,2,3						
	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14	Year 5 2014-15	Total To- Date	% of 5- Year Goal
Annual Plan Goal	Continue to implement program						
Actual Annual Outcome	Continue to implement program						

## Section 5: Goals and Strategies

As described in the City Consolidated Plan, individuals and families with special needs include: seniors, the physically and mentally disabled, the frail elderly, and those with AIDS/HIV. The above tables are an overview of the goals the City will undertake in the coming year to meet the needs of these vulnerable populations.

The City of San José has consistently supported the construction and rehabilitation of projects providing permanent housing units for homeless and special needs individuals.

In FY 2013-14, the City expects to commit HOME financing to a new development project, Donner Lofts, which has a State Mental Health Services Act commitment for 15 units targeted to eligible households at or below 20% AMI. In total, Donner Lofts will create 101 restricted affordable apartments and one unrestricted manager's unit. In addition, the City expects to commit local funds to Second Street Studios and Leigh Avenue Seniors projects, which together will produce 196 units of new restricted affordable apartments for low-, very low-, and extremely-low income seniors plus two managers' units.

Three other projects for seniors and special needs households are also in progress. In FY 2013-14, the City expects to complete construction of the Ford & Monterey Special Needs project. The City has been successful in leveraging its scarce funds for this project with the project being built in a city-owned parcel and funded through HUD's Section 811 program and the NSP2 program. Of the Project's 20 units, 19 will be affordability-restricted to VLI households earning up to 50% of Area Median Income (AMI)—although the disabled residents will very likely be extremely low-income—and one unrestricted manager's unit. The City will also monitor construction throughout FY 2013-14 on the West San Carlos Seniors project, for which the City first committed funds in 2002 and on which the City will own the land and lease it to the project under a long-term ground lease for \$1 per year. This project will produce 94 units for seniors—including 29 for extremely low-income seniors—and one unrestricted manager's unit. Further, if the developer is successful in obtaining 9% tax credits, construction will commence in 2013-14 on the Japantown Seniors project, a HOME-funded project offering 74 restricted affordable apartments for seniors and one manager's unit on City-owned land.

## Section 5: Goals and Strategies

### GOAL #2 – SUPPORT ACTIVITIES TO END HOMELESSNESS IN SAN JOSÉ AND SANTA CLARA COUNTY ( CONTINUUM OF CARE)

#### Strategy #2A: Provide housing and supportive services to homeless individuals and families and households at the risk of homelessness:

##### Need (As identified in the 2010-15 Consolidated Plan document):

*According to the 2009 Santa Clara County Homeless Census, 7,086 people were homeless on January 26-27, 2009, meaning that they were either sleeping in a place not fit for human habitation, or in emergency or transitional housing for homeless people. Although the 2009 Homeless Census reports a decrease in homeless individuals since 2007, local service providers report that they have seen an increase in clients seeking assistance as a result of the recession and unemployment. Furthermore, while there was an overall decrease in the number of homeless residents, there was actually a 35% increase in the number of chronic homeless residents in Santa Clara County. Therefore, additional funding for activities such as case management, outreach, transportation, and discharge planning will be critical to the County's ability to end chronic homelessness.*

##### Current Year Update

The 2013 point-in-time homeless count identified a total of 4,770 individuals residing in San Jose, an 18% increase from the 4,034 persons counted in 2011. Based on a formula that takes into account the point-in-time count as well as the phenomenon that people will cycle in and out of homelessness, it was estimated that a total of 12,055 individuals had experienced at least one episode of homelessness in the prior 12 months. This is a decrease of 5% from the 12,751 persons estimated in 2011 and is attributable to fewer persons experiencing homelessness for brief periods of time and an increase in the number who had experienced multiple episodes of homelessness over the course of the year.

There were a number of subpopulations identified in the point-in-time count of 4,770 homeless persons, including:

- CHRONICALLY HOMELESS. The number of chronically homeless individuals (defined as an unaccompanied individual with a disabling condition or a family with at least one adult member who has a disabling condition who has either been continuously homeless for a year OR has had at least four episodes of homelessness in the past three years) was 1,531, a 4% increase over the 1,474 reported in 2011. This is a more modest increase than the 10% increase reported for the 2009-2011 period or the 40% increase reported for the 2007-2009 period.
- MENTALLY ILL. There were 2,062 homeless adults, or 44% of the total homeless population in San Jose, with one or more disabling mental conditions, with chronic depression being identified in just over one-half of this subpopulation.
- LIVING IN ENCAMPMENTS. There were 1,230 homeless individuals (26% of the total homeless population) living in encampments, primarily in the open space along Coyote Creek and Guadalupe River, in freeway rights-of-way and along railroad lines. That this number is a very large increase from the number reported in 2011 is a reflection of the fact that City-funded homeless outreach and engagement efforts have resulted in knowing where many more encampments are located.
- VETERANS. There were 484 homeless individuals who had previously served in the armed forces, or some 10% of the total homeless population. They made up a more significant share (15%) of the encampment population.

## Section 5: Goals and Strategies

- SUBSTANCE ABUSE. Twenty-one percent (21%) of the population reported that alcohol or drug use resulted in their becoming homeless.
- FAMILIES WITH CHILDREN. There were 417 homeless persons residing in a total of 151 family units (9% of the total homeless population), a 24% increase from the 336 individuals reported in 2011.
- UNACCOMPANIED CHILDREN AND YOUNG ADULTS. There were 165 unaccompanied children under 18 and 690 young adults between 18 and 24. Together they comprise 18% of the total homeless population. The 165 children under 18 represent a 16% increase over the 142 reported in 2011. Whether the number of young adults is an increase or decrease from 2011 is not known since there was incomplete data in that count.
- VICTIMS OF DOMESTIC VIOLENCE. Seven percent of all survey respondents had experienced home/domestic violence or abuse. Among females, 12% had experienced such violence or abuse, compared to 6% of males. ~~The 2011 point-in-time homeless count identified a total of 4,034 homeless persons residing in San José, a decrease of 4% from the 4,193 individuals counted in 2009. Based on a formula that takes into account the point-in-time count, as well as the phenomenon that people will cycle in and out of homelessness, it was estimated that a total of 10,844 individuals would be homeless at some point during the year in San José, an increase of 21% from the 8,941 individuals estimated in 2009.~~

~~The increase in the estimated annual number of homeless persons is largely due to the increase of residents who had been homeless for a short period of time (one week or less) at the time of the survey. In 2009, 3.4% of those surveyed had become homeless in the week prior to being surveyed compared with 5% in 2011. There was also a substantial increase in the number of chronically homeless persons (defined as an unaccompanied homeless individual with a disabling condition or a family with at least one adult member who has a disabling condition who has either been continuously homeless for a year or more OR has had at least four episodes of homelessness in the past three years); from 2009 to 2011 the estimated number of chronically homeless individuals in San José grew from 1,336 to 1,487, an increase of 10%. Additional funding for activities such as case management, outreach, transportation, and permanent supportive housing will be critical to the City's ability to end chronic homelessness~~

~~On January 29 and 30, 2013, the City of San José in coordination with the County of Santa Clara and supported by consultant Applied Survey Research, conducted its biennial census of sheltered and unsheltered homeless individuals. During the weeks following the actual count, surveys were conducted of homeless individuals in order to get qualitative information regarding the City's homeless residents' demographics and needs. In order to increase the accuracy of the count and get additional information on some key demographic groups, new efforts during the 2013 count and survey included:~~

- ~~Increasing the accuracy of the following homeless sub-populations:~~
- ~~Adding a focused count and survey on homeless individuals living in encampments~~
- ~~Adding survey questions targeted towards getting a better understanding of the extent that the City's homeless populations are~~

~~The complete results of the homeless census and survey are expected in late spring of 2013~~

### Five-Year Goal

## Section 5: Goals and Strategies

Action 2.A.1 TBRA Assistance to homeless							
<b>Performance Measure</b>	Number of unduplicated households served						
<b>5-Year Plan Goal</b>	285						
<b>FY 2013-14 Funding Source</b>	HOME, HOPWA, HOPWA SPNS						
<b>Outcome Code</b>	SL-1,2,3						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	85	100	15	237		437	153.3%
<b>Actual Annual Outcome</b>	65	211				276	96.8%

### Tenant Based Rental Assistance (TBRA) Programs

During FY13-14, the City of San José will be funding two TBRA programs; one funded by HOME dollars, and another by HOPWA funds.

The HOME TBRA Program will continue to provide housing subsidies matched with appropriate case management services to homeless households with severe mental health issues; homeless individuals with substance abuse issues who reside in and around St. James Park in downtown San José; and residents of homeless encampments or unsheltered areas of the City's downtown. The HOME TBRA Program will also be expanded to encompass homeless veterans. The Program is similar to the Housing Authorities' Section 8 program. The City's TBRA administrator in concert with the clients' case managers help program participants to locate appropriate rental housing, performs housing inspections, and coordinates monthly subsidy payments per HOME TBRA guidelines. Clients who come up on the completion of their 2-year agreement for TBRA assistance are reevaluated to determine if an extension is needed to prevent a return to homelessness. To determine whether an extension of TBRA assistance is warranted, the client's income, ability to maintain housing and self-sufficiency plan are taken into account. ~~Exit plans and positive tenant status are just some of the aspects that are taken into consideration to determine whether an extension is appropriate.~~

The HOPWA TBRA Program targets low-income residents living with HIV/AIDS who are homeless or at-risk of homelessness.

- *TBRA – ~~Chronically~~ Homeless Program*

The City of San José, in coordination with the County's Mental Health Department, began implementing the City's HOME funded TBRA Program in 2009, targeting chronically homeless residents suffering from severe mental disabilities. Since its inception, the HOME TBRA program has assisted 85 mental Health clients. Of these 85, approximately 28 are still in the program and will continue to receive subsidies in FY 2013-14.

In the spring of 2012 the City expanded its HOME funded TBRA program's targeted population to include employable, chronically homeless residents with substance abuse issues who resided in and around St. James Park. The program issued 15 coupons under this expansion. The City's rent subsidies are matched with case management services from the County of Santa Clara's Department of Alcohol and Drug Services.

In the summer of 2012, the City further expanded the HOME funded TBRA Program's targeted population to include subsidies to 40 homeless households living in encampments which were targeted for clean up by the City or living unsheltered in San José's downtown area.

## Section 5: Goals and Strategies

In FY13-14, the City will be adding another 37 coupons to the homeless encampment portion of the program. These households will also be participants in the Downtown Streets Team (DST), a “work-first” program. This organization uses homeless individuals for cleanup projects, giving them work training opportunities in exchange for services and housing assistance.

The City of San Jose, in coordination with Goodwill of Silicon Valley, will pilot a HOME-funded TBRA Program for 5 homeless veterans of the U.S. armed forces enrolled in Goodwill's Veterans Individualized Placement (VIP) program. The VIP provides employment readiness, job-placement and case management services. Preference will be given to veterans living in homeless encampments.

- *TBRA – HOPWA Program*

The City will continue to fund the Health Trust and San Benito County to provide TBRA and supportive services to clients with HIV/AIDS. In total, 93 unduplicated and 318 duplicated clients will receive TBRA vouchers, 20 duplicated clients will receive permanent housing placement assistance, and 300 duplicated clients will receive supportive assistance, 72 food vouchers, 72 utility assistance checks and support for 4 dental visits. The Health Trust will continue to coordinate with the Housing 1000 campaign to the greatest extent possible to identify and serve eligible persons designated as “vulnerable” on its vulnerability index as funds become eligible to serve new clients. The Health Trust will work with the City, HUD, the Housing 1000 campaign, and other agencies as appropriate to ensure that the manner in which clients are prioritized meet all Fair Housing and other applicable regulations.

- *TBRA – HOPWA SPNS*

In November 2010, the City's Housing Opportunities for Persons with AIDS (HOPWA) Special Project of National Significance (SPNS) administered in partnership with the Health Trust was renewed for an additional three years. The City was awarded \$1,262,800 to be used evenly over the three-year period In FY 2013-14, this program anticipates providing tenant-based rental assistance to 19 very low- and low-income Santa Clara County households disabled by HIV/AIDS and supportive services to 75 such households. A one-time allocation of \$40,000 is included in the first year of the grant for “resource identification” costs that will include activities aimed at increasing coordination between the local Continuum of Care and the HOPWA SPNS program.

Action 2.A.2 Short-term emergency shelter program							
<b>Performance Measure</b>	Number of individuals assisted						
<b>5-Year Plan Goal</b>	6,000						
<b>FY 2013-14 Funding Source</b>	ESG						
<b>Outcome Code</b>	DH-1						
	<b>Year 1 2010-11</b>	<b>Year 2 2011-12</b>	<b>Year 3 2012-13</b>	<b>Year 4 2013-14</b>	<b>Year 5 2014-15</b>	<b>Total To- Date</b>	<b>% of 5- Year Goal</b>
<b>Annual Plan Goal</b>	4,000	4,600	3,600	3,060		15,260	254.3%
<b>Actual Annual Outcome</b>	7,830	14,104				21,934	365.6%

## Section 5: Goals and Strategies

Action 2.A.3 Emergency rental assistance program							
<b>Performance Measure</b>	Number of individuals assisted						
<b>5-Year Plan Goal</b>	2,000						
<b>FY 2013-14 Funding Source</b>	ESG, Housing Trust Fund						
<b>Outcome Code</b>	DH-2						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	1,200	800	400	228		2,628	131.4%
<b>Actual Annual Outcome</b>	1,496	685				2,181	109.1%

Action 2.A.4 Programs that provide vital services to homeless individuals - Homeless Services							
<b>Performance Measure</b>	Number of individuals assisted						
<b>5-Year Plan Goal</b>	30,000						
<b>FY 2013-14 Funding Source</b>	ESG						
<b>Outcome Code</b>	SL-1,2,3						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	20,000	4,600	N/A*	N/A*		24,600	82.0%
<b>Actual Annual Outcome</b>	7,998	N/A*				7,998	26.7%

\*Starting in FY 2011-12, only outreach services and services to families and youth (including victims of domestic violence) were funded under the ESG program

Action 2.A.4 Programs that provide vital services to homeless individuals - CDBG							
<b>Performance Measure</b>	Number of individuals assisted						
<b>5-Year Plan Goal</b>	16,000						
<b>FY 2013-14 Funding Source</b>	CDBG						
<b>Outcome Code</b>	SL-1,2,3						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	3,315	3,099	2,204	1,873		10,491	65.6%
<b>Actual Annual Outcome</b>	18,783	16,437				35,220	220.1%

### Short-Term Emergency Shelter, Emergency Rental Assistance and Homeless Services

In FY 2013-14, the City of San José expects to receive \$752,833 from the ESG program. It will combine these funds with \$526,105 in CDBG public service dollars to fund the following programs:

## Section 5: Goals and Strategies

In FY 2013-14, the City of San José will continue to combine its ESG and CDBG funds to support three types of homeless programs: 1) outreach program(s) that target persons living on the streets with the goal of assisting them obtain and maintain permanent housing 2) services and housing assistance to families and youth (including victims of domestic violence) with the goal of assisting them to obtain and maintain permanent housing; and 3) the administration of the Homeless Management Information System (HMIS) which is a secure web-based case management and reporting tool that serves as the primary client data collection and management tool for Santa Clara County's homeless service agencies Specific activities will include:

- Multi-disciplinary outreach and engagement programs that target homeless individuals and families living on the streets and in encampments which will include outreach workers, shelter beds, case management services, and deposit / rental assistance
- Full-service programs for homeless families and youth (including victims of domestic violence) which will include shelter beds, case management, and deposit/rental assistance.
- Continued monitoring and enhancement of the HMIS system to ensure data is entered in an accurate, timely, and accessible manner. The City of San José Housing Department requires the use of HMIS to monitor outcomes and performance measures for all of its funded homeless service agencies.

These services will be available Citywide, though outreach and engagement activities will be targeted to areas where homeless residents congregate.

The funded projects are as follows:

### 1. Homeless Outreach Services

- a. EHC LifeBuilders, Citywide Outreach and Shelter Project (\$559,694): The project will provide comprehensive outreach and engagement services to homeless individuals in the City of San José. Services will include street-based outreach, emergency shelter, case management and financial assistance to attain and maintain permanent housing.
- b. Bill Wilson Center (in partnership with InnVision Shelter Network), San José CDBG/ESG Homelessness Outreach Project (\$249,023): The project will provide outreach and engagement services, intensive case management, shelter, housing search, deposit/rental assistance and linkages to services provided by other agencies including mainstream benefits.

### 2. Homeless Services to Youth and Families (including victims of domestic violence)

- a. Next Door Solutions to Domestic Violence (in partnership with Asian Americans for Community Involvement, Community Solutions, Maitri and YWCA-Support Network), The Domestic Violence Collaborative Homelessness Intervention & Prevention Project (\$235,000): The project will provide emergency shelter, case management, safety planning, legal advocacy, counseling, self-sufficiency services and permanent housing placement to victims of domestic violence.
- b. Bill Wilson Center (in partnership with InnVision Shelter Network, Family Supportive Housing and Sacred Heart Community Services), CDBG/ESG Homelessness Families and Youth Project (\$306,909): The project will provide emergency shelter, outreach and engagement, case management, housing search, rental/deposit assistance and linkages to support services for homeless families and youth.

### 3. Homeless Management Information System (HMIS)

- a. Community Technology Alliance, HMIS-Santa Clara County (\$90,000): The project will provide a web-based system to effectively and efficiently track all homeless clients who receive homeless services throughout the county.

## Section 5: Goals and Strategies

Action 2.A.5 Support Destination:Home							
<b>Performance Measure</b>	Continue to implement program						
<b>5-Year Plan Goal</b>	N/A						
<b>FY 2013-14 Funding Source</b>	N/A						
<b>Outcome Code</b>	SL-1,2,3						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	Continue to implement program						
<b>Actual Annual Outcome</b>	Continue to implement program						

### Support Destination: Home

On September 23, 2003, the San José City Council adopted a resolution approving a **Homeless Strategy** for the City of San José. In approving the Plan, the City joined dozens of other communities throughout the nation seeking to revise the current approach to addressing homelessness. The Homeless Strategy, adopted by the Mayor and City Council, outlines the extent of the homeless problem in San José, describes the many programs and policies the City has adopted over the years, and lays out a series of policies and actions designed to eliminate chronic homelessness in San José within ten years. More recently, the City in coordination with Santa Clara County, and representatives from local nonprofits and businesses, established a Blue Ribbon Commission (BRC) on homelessness and affordable housing to gain the political and community will and resources to make the homeless strategy a reality. The implementation of the BRC's recommendations is occurring under the name of Destination: Home.

In FY 2013-14 the City will continue to support Destination: Home through staff participation on its Boards and Committees as well as financial commitments for its administration and programs. Destination: Home's major areas of focus in FY 2013-14 include:

- *Rapid Re-housing (Housing First):*

Continue to support existing homeless and transitional shelters, but focus on moving people quickly into permanent housing with supportive services as seen in the successful **Housing First** approach. Efforts will include creating mutually beneficial partnerships with property owners and managers to remove the stigma of renting to extremely low-income and formerly homeless people, and increasing the number of units of permanent housing available to chronically homeless people linked with supportive wraparound services.

- *Wraparound Services*

In 2011, the City, in partnership with Destination: Home and the Santa Clara County Collaborative on Affordable Housing and Homeless Issues (Collaborative) joined the national 100,000 Homes Campaign on behalf of Santa Clara County. Using a health-focused strategy, this national campaign seeks to house 100,000 of the nation's most long-term and vulnerable homeless individuals by July 2013, with 1,000 of those persons being housed through Santa Clara County's local Housing 1000 campaign. Since June 2011, Destination: Home has surveyed over 2,400 homeless residents to assess their mortality risk. The results of these surveys are used to prioritize the provision of housing and case management services.

One of the components of the Housing 1000 Campaign is the "HUB Model" - an assessment and case management system charged with coordinating the care of the county's most vulnerable and long-term homeless

## Section 5: Goals and Strategies

residents, as identified through the Housing 1000 surveys. Under the HUB Model, a coordinator provides the planning and coordination necessary to secure services and housing for HUB clients, while case managers work with each client individually to identify their needs, ensure that they receive and utilize the specific services and assistance that will address these needs, and ultimately assist them in obtaining and maintaining housing.

In FY 2013-14, the City anticipates that it will continue providing Destination: Home with a Housing Trust Fund grant of \$400,000 to pay for three intensive case managers including salary, benefits, agency overhead, and program expenses such as client rental deposits; a Housing 1000 Technical Manager to provide data support to the program; and portion of the Project Manager's salary. The City anticipates that it will also support this effort through the continued participation by staff on the Housing 1000 and the Destination: Home Leadership Boards.

- *Proactive Efforts*

Create a discharge plan that proactively anticipates and meets the needs of individuals who are being released or have recently been released from an institutional setting including mental institutions, hospitals, and the criminal justice system. The goal of the discharge plan is to coordinate services and develop a plan of action to provide these individuals with the assistance and resources they need to prevent them from becoming homeless.

In this regard, during the fall of 2008, EHC LifeBuilders opened the Santa Clara County Medical Respite Program for homeless individuals who have recovered enough to be released from a hospital setting. The respite program provides these individuals with a safe place to recover from their illness and receive light medical attention while getting the services and assistance needed for them to become permanently housed decreasing the likelihood of their return to the emergency room. The City received a grant from the federal Department of Health and Human Services to increase the number of available respite beds from 15 to 20 and double the number of medical exam/case management rooms from two to four. The facility improvements, which are anticipated to be completed by August 2013, will improve access to health care services for chronically homeless adults with complex medical conditions and related psychosocial problems.

Action 2.A.6 Seek additional resources for County-wide efforts to end homelessness							
<b>Performance Measure</b>	Continue to implement program						
<b>5-Year Plan Goal</b>	N/A						
<b>FY 2013-14 Funding Source</b>	N/A						
<b>Outcome Code</b>	SL-1,2,3						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	Continue to implement program						
<b>Actual Annual Outcome</b>	Continue to implement program						

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Action 2.A.7 Seek additional resources for housing and services for recently emancipated youth							
<b>Performance Measure</b>	Continue to implement program						
<b>5-Year Plan Goal</b>	N/A						
<b>FY 2013-14 Funding Source</b>	N/A						
<b>Outcome Code</b>	SL-1,2,3						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	Continue to implement program						
<b>Actual Annual Outcome</b>	Continue to implement program						

### Additional Activities & Efforts to End and Prevent Homelessness

In 2003, the federal government adopted a goal to eliminate chronic homelessness within ten years and called upon the nation's cities to adopt plans to make this goal a reality. The National Alliance to End Homelessness, the federal government, the U. S. Interagency Council on Homelessness, and the U.S. Conference of Mayors have all embraced this objective.

In 2012 the federal government finalized the Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH) which reauthorized and modified the McKinney-Vento Homeless Assistance Act of 1987. With HEARTH came significant changes in the federal requirements for homeless programs and Continuums of Care (CoC). The Act shifts the emphasis from programs to systems, from activities to outcomes, and from shelter to prevention and rapid re-housing. In FY 2013-14, the City will participate with other stakeholders in an intensive planning process which focuses on the implementation of the HEARTH regulations while also developing a coordinated, strategic response to the challenges facing the homeless community. More specifically, the planning process will focus on the following six areas of emphasis of the HEARTH regulations:

- Outcomes and System Performance
- Governance and Structure
- Coordinated/Centralized Assessment
- Collaboration
- Program Implementation Support
- Community Design

In addition to the efforts described above, the City anticipates funding the following activities targeted towards ending or preventing homelessness:

- *Downtown Homeless Outreach and Engagement Program*

In 2010, an increase in complaints about homeless people impacting San José's Downtown business community highlighted the lack of an outreach component to the County's homeless services' system. In 2011, through a combination of reallocated federal ESG funds and funds from the City's Housing Trust Fund the City funded a five-month homeless outreach and engagement program targeted to residents in San José's downtown. This program provided outreach to homeless residents living in San José's downtown and connected them with services available at the two One Stop Homelessness Prevention Centers, including providing transportation to the Centers. Additional components of the program included a hotline for community members to request assistance for homeless persons residing in the downtown area and reserved shelter beds.

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To date, the Downtown outreach program has proven successful on several levels:

- Downtown businesses were pleased that homeless people are being assisted rather than sleeping on their doorsteps;
- Community members had a venue to voice their concerns about homeless residents in San José's Downtown; and
- Homeless residents were being housed.

The program has proven so successful that the City has continued to fund the program with Housing Trust Funds and anticipates funding it again in FY 2013-14, including paying for an Outreach Case Manager; Outreach Workers; shelter beds; transportation to the shelter or housing appointments for those staying in the shelter; housing subsidies and bus tokens or transit passes as needed. In addition, beginning in FY 2012-2013, the program's case management services are being targeted to the "top 20" homeless individuals who receive the most complaints from the police, residents, and businesses with the goal of helping them attain and maintain permanent housing.

- *Homeless Encampment Strategy*

In March 2012, the City temporarily halted its Encampment Cleanup Program in order to develop new homeless outreach services, secure additional housing resources, and create procedures to ensure compliance with State law for sorting and storing abandoned property. The administrative burden of these new procedures, though essential to ensuring the protection of the constitutional rights of the homeless encampment occupants, greatly decreased the number of encampment clean-ups the City was able to conduct. At the same time, the City has increasingly heard concerns from community members and businesses regarding homeless individuals living in encampments. As a result, City staff have been developing a new plan which responds to the needs of the encampment occupants, the public safety and health concerns of neighborhoods, and the environmental damage caused by the encampments. In November 2012, the City hired a program manager to oversee the City's homeless encampment response.

In FY 2013-14, the City will continue to implement its homeless response, including:

- Proposing increased funds for a robust encampment cleanup schedule and outreach program;
- Continuing to operate its homeless outreach and engagement hotline to give community members and businesses a vehicle to report specific areas of the City for which they have concerns about homeless encampments;
- Continuing to implement the homeless outreach and engagement teams to both address community and business concerns and try to engage the homeless encampment residents in services and housing;
- Developing a process by which homeless encampments are prioritized for clean-up and developing a calendar to allow outreach teams to work with the encampment residents well in advance of a clean-up;
- Approving a new MOA with the Santa Clara Valley Water District to maximize existing clean-up resources and align priorities;
- Identifying additional housing and service resources to offer the homeless encampment residents;
- Hiring an outside agency to conduct the physical encampment clean-ups;
- Coordinating regularly with other key stakeholder agencies on a regional encampment plan and related activities; and
- Identifying additional funding and resources to more effectively address homeless encampments.

- *UPLIFT*

Since 2008, the City has been partnering with the County of Santa Clara to fund the UPLIFT transit pass program which provides monthly bus passes to residents who are homeless or at-risk of homelessness, are receiving

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ongoing case management services, and are making progress on their designated goals. The City anticipates continuing to support the program in FY 2013-14 through a Housing Trust Fund grant of \$40,000.

- *Work2Future*

The City is an active participant in Work2future, which consists of representatives from area employers, educators, and economic development and community service organizations. Work2future works to link job training programs and local employers. These linkages are critical to ensuring that residents have the skills and training needed to enter or return to the job market.

- *Data Collection*

The City is working to ensure that data systems collect the information needed to determine the needs of the homeless and the success of program efforts. The City funds and works collaboratively with Community Technology Alliance, the County's HMIS administrator to ensure that all federal and City funded agencies are active participants of HMIS. City staff will continue to participate on the Collaborative's Technology Committee and otherwise coordinate with CTA and the local homeless service providers to enhance the existing data collection systems to better capture the needs of the area's homeless residents and the success of efforts to end and prevent homelessness. In addition, the City is working with its funded programs to better capture information on the demographic and other needs of their homeless and at-risk clients.

- *Community Presentations*

Over the last year the City has been conducting numerous presentations to community and business organizations with the goal of educating them on the complexity of issues that cause an individual to become and remain homeless; the long-term commitments and partnerships that are required for a truly effective solution; the City's current efforts to end homelessness; and opportunities to help end homelessness. The City will continue to provide these presentations in FY 2013/2014.

### **Transitional and Permanent Housing Assistance**

The City currently has over 800 beds of emergency shelter, over 700 beds of transitional housing, and over 700 beds of permanent housing, which serve homeless individuals, families with children, women with children, youth, and victims of domestic violence. In line with its goal of ending chronic homelessness, the City will continue to focus its funding on programs aimed at permanently housing homeless residents.

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### GOAL #3 – SUPPORT ACTIVITIES THAT PROVIDE BASIC SERVICES, ELIMINATE BLIGHT, AND/OR STRENGTHEN NEIGHBORHOODS

#### Strategy #3A: Support local service organizations that provide essential services to the community, particularly special needs populations

Need (As identified in the 2010-15 Consolidated Plan document):

*Consolidated Plan Workshop participants emphasized the need to support a broad range of community services. Lower-income households and special needs populations require this multi-faceted network to address basic needs such as food, clothing, health, and shelter, as well as other services outlined in Section 4.10 of the Consolidated Plan. As the recession and unemployment have exacerbated demand for all types of services, reduced funding from the State and private sources has impacted service delivery. Therefore, continued support from local jurisdictions via CDBG and other sources has become more vital.*

Five-Year Goal:

Action 3.A.1,2 Assist low-income residents with basic/essential services and maintain self-sufficiency - Homeless Services							
Performance Measure	Number of individuals assisted						
5-Year Plan Goal	30,000						
FY 2013-14 Funding Source	ESG, HOPWA, HPRP						
Outcome Code	SL-1,3						
	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14	Year 5 2014-15	Total To- Date	% of 5- Year Goal
Annual Plan Goal	20,000	825	3,000	3,000		26,825	89.4%
Actual Annual Outcome	18,673	0				18,673	62.2%

Action 3.A.1,2 Assist low-income residents with basic/essential services and maintain self-sufficiency - CDBG							
Performance Measure	Number of individuals assisted						
5-Year Plan Goal	71,000						
FY 2013-14 Funding Source	CDBG						
Outcome Code	SL-1,3						
	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14	Year 5 2014-15	Total To- Date	% of 5- Year Goal
Annual Plan Goal	8,934	8,934	2,000	2,000		21,868	30.8%
Actual Annual Outcome	14,630	31,255				45,885	64.6%

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Action 3.A.3 Assist lower-income residents access healthcare services							
<b>Performance Measure</b>	Number of individuals assisted						
<b>5-Year Plan Goal</b>	22,000						
<b>FY 2013-14 Funding Source</b>	CDBG						
<b>Outcome Code</b>	SL-1,3						
	<b>Year 1 2010-11</b>	<b>Year 2 2011-12</b>	<b>Year 3 2012-13</b>	<b>Year 4 2013-14</b>	<b>Year 5 2014-15</b>	<b>Total To- Date</b>	<b>% of 5- Year Goal</b>
<b>Annual Plan Goal</b>	4,557	2,884	N/A*	N/A*		7,441	33.8%
<b>Actual Annual Outcome</b>	3,269	3,517				6,786	30.8%

\*Note - Beginning in FY 2012-13, the CDBG program no longer funds this category of activities

Action 3.A.4 Assist lower-income residents access legal services							
<b>Performance Measure</b>	Number of individuals assisted						
<b>5-Year Plan Goal</b>	7,000						
<b>FY 2013-14 Funding Source</b>	CDBG						
<b>Outcome Code</b>	SL-1,3						
	<b>Year 1 2010-11</b>	<b>Year 2 2011-12</b>	<b>Year 3 2012-13</b>	<b>Year 4 2013-14</b>	<b>Year 5 2014-15</b>	<b>Total To- Date</b>	<b>% of 5- Year Goal</b>
<b>Annual Plan Goal</b>	1,410	875	1,736	1,736		5,757	82.2%
<b>Actual Annual Outcome</b>	1,718	1,604				3,322	47.5%

As noted in a prior section, the City will continue to employ a multi-year place-based strategy that was first implemented in FY 2012-13. Part of this strategy will be to provide identified public service activities that both address the needs of the community and are in alignment with other city initiatives. Specifically, the City of San José will use CDBG and ESG funds to support the following activities.

### Assist lower-income residents with basic/essential services

- *The Health Trust, Meals on Wheels (\$100,000):*

The project will provide daily home-delivered hot meals, personal connections, Wellness Checks, and resources for low-income, homebound San José seniors who cannot access services outside of their homes.

### Assist lower-income residents maintain self-sufficiency

- *Catholic Charities of Santa Clara County (in partnership with Live Oak Adult Day Services, Respite and Research for Alzheimer's Disease and Yu Ai Kai), Senior Isolation to Inclusion Project (\$100,000):*

This project will provide a continuum of community-based safety net services - including licensed adult day care, escorted transportation, and congregate senior meals - to San José's low-income, dependent seniors in order to prevent or reduce their isolation and depression, and to increase their community connections.

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- *Somos Mayfair (\$98,495), Franklin McKinley Children's Initiative (\$98,495) and CommUniverCity (\$98,495), School Readiness/Third-Grade Literacy Services:*

These projects will provide school readiness/third grade literacy services within the three place-based neighborhoods. CDBG funds will fund activities that achieve school readiness and improve 3<sup>rd</sup> grade reading scores. Activities will include, but are not limited to, prevention and early intervention strategies for engaging parents, caregivers, and community members in preparing children to be ready to enter and succeed in school and working with the children themselves to develop their literacy and school readiness skills through after school tutoring programs. Activities will be targeted directly to neighborhood children ages 0-8 and their parents, caregivers, and other community members that will be influential to the children's success.

### Assist lower-income residents access health care

Since FY 2012-13, the City has been unable to implement this activity due to reductions in funding and limitations on the use of funds for public service activities.

### Assist lower-income residents access legal services

Legal services will be provided in FY 2013-14 under Fair Housing activities (see Goal #5).

## Strategy #3B: Provide the public facilities and infrastructure needed to assure the health, safety, and welfare of the community

### Need (As identified in the 2010-15 Consolidated Plan document):

*Community Workshop participants expressed the need for ongoing maintenance and upgrades to local public facilities, such as parks, community centers, youth and senior centers, sidewalks and landscaping, recreation facilities, and others.*

### Five-Year Goal:

Action 3.B.1 Remove public accessibility barriers							
Performance Measure	Number of individuals assisted in low-mod areas						
5-Year Plan Goal	TBD						
FY 2013-14 Funding Source	CDBG						
Outcome Code	SL-1,3						
	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14	Year 5 2014-15	Total To- Date	% of 5- Year Goal
Annual Plan Goal	0	0	14,000	TBD		14,000	N/A
Actual Annual Outcome	0	0					N/A

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Action 3.B.2 Physical improvement and rehabilitation of public facilities							
<b>Performance Measure</b>	Number of individuals assisted in low-mod areas						
<b>5-Year Plan Goal</b>	28,000						
<b>FY 2013-14 Funding Source</b>	CDBG						
<b>Outcome Code</b>	SL-1,3						
	<b>Year 1 2010-11</b>	<b>Year 2 2011-12</b>	<b>Year 3 2012-13</b>	<b>Year 4 2013-14</b>	<b>Year 5 2014-15</b>	<b>Total To- Date</b>	<b>% of 5- Year Goal</b>
<b>Annual Plan Goal</b>	5,115	5,115	32,061	TBD		42,291	151.0%
<b>Actual Annual Outcome</b>	0	0					N/A

Action 3.B.3 Code enforcement activities that eliminate blight and strengthen neighborhoods							
<b>Performance Measure</b>	Number of households / businesses assisted						
<b>5-Year Plan Goal</b>	11,000						
<b>FY 2013-14 Funding Source</b>	CDBG						
<b>Outcome Code</b>	SL-1,3						
	<b>Year 1 2010-11</b>	<b>Year 2 2011-12</b>	<b>Year 3 2012-13</b>	<b>Year 4 2013-14</b>	<b>Year 5 2014-15</b>	<b>Total To- Date</b>	<b>% of 5- Year Goal</b>
<b>Annual Plan Goal</b>	2,360	2,360	8,048	6,921		19,689	179.0%
<b>Actual Annual Outcome</b>	14,707	16,328				31,035	282.1%

The primary outcome of the Place-Based Strategy is to create clean and safe communities in the three identified neighborhoods. The City of San José will target some of the CDI funds to these neighborhoods, while others—such as housing rehabilitation and minor repair—will continue to be available to residents Citywide. To this end, the proposed uses of CDI funds include:

### Remove public accessibility barriers

The City of San José will not be undertaking any activities for removal of public accessibility barriers in 2013-14. TBD

### Physical Improvements and Rehabilitation of Public Facilities

- *Infrastructure and Facilities Improvements* (\$1,~~500,000~~430,750):

The City of San José will ~~identify and~~ implement key improvements in the three identified neighborhoods that respond to their highest priorities. These activities ~~could~~ include: infrastructure improvements such as street lighting and pedestrian safety improvements around schools, traffic calming measures, ADA ramps and community open space improvements and rehabilitation and improvement of community facilities, as follows:-

#### City of San Jose Department of Transportation

- Conversion of existing street lights to LED, including 539 fixtures in the Five Wounds neighborhood and 22 fixtures in the Mayfair neighborhood (\$491,000).

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- Installation of radar speed signs in both directions on Julian Street and on southbound 24th Street at San Jose High School (\$50,000).
- Installation of flashing beacons and choker islands in the East William Street right-of-way at Olinder School (\$40,000).
- Installation of flashing beacons and choker islands in the 33rd Street right-of-way at Anne Darling School (\$65,000).

### City of San Jose Department of Parks, Recreation and Neighborhood Services

- Rehabilitation of the Mayfair Community Garden (\$225,000).
- Installation of gates, bollards and boulders in Olinder Park and along Remillard Court to prevent vehicular access into a homeless encampment along Coyote Creek (\$50,000).

### San Jose Parks Foundation

- Painting curbside utility boxes, each with a unique design, as Utility Art Box Projects, including 21 in the Five Wounds neighborhood, 12 in the Mayfair neighborhood and 9 in the Santee neighborhood (\$78,000).

### Franklin-McKinley School District

- Re-surfacing basketball courts, installing cameras, lighting and fencing for security, and related improvements at Bridges Middle School to create open space and recreation opportunities for the residents of the Santee neighborhood during after-school hours and on weekends and holidays (\$353,150).

### Garden to Table Silicon Valley

- Installing a community garden as part of the open space and recreation project at Bridges Middle School (\$78,000).

## Elimination of blight and neighborhood clean-up to strengthen neighborhoods

The City of San José will fund one activity designed to address blight in the targeted neighborhoods:

- *Blight Eradication Program (\$1,165,324):*

The project will identify deteriorated housing conditions within targeted areas and work with property owners to correct. The program will also survey the condition of the neighborhood housing stock to aid in the development of a comprehensive neighborhood improvement plan. Part of this plan will include the development of a tool-kit to provide the necessary resources to property owners.

### Project Carryovers

In FY 2013-14, the City will carryover the following projects:

1. Franklin-McKinley School District: Rehabilitation of the McKinley Neighborhood Center in the Five Wounds neighborhood (\$97,350).
2. Install a section of the Five Wounds Trail along South 22<sup>nd</sup> Street in the Five Wounds neighborhood (\$86,000).

### Project Cancellations

The following projects were cancelled in FY 2012-13:

1. Roman Catholic Diocese of San José: Rehabilitation of community rooms and building at Our Lady of Refuge Catholic Church to benefit the Santee neighborhood (\$200,000).
2. Install flashing beacons at the McKee Avenue crosswalk at 34<sup>th</sup> Street in the Five Wounds neighborhood (\$17,500). Funds for this project were added to the LED streetlight project.

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### Strategy #3C: Mitigate lead-based paint hazards

#### Need (As identified in the 2010-15 Consolidated Plan document):

Approximately 45,600 rental units occupied by extremely low-, low-, and moderate-income households in Santa Clara County may contain lead-based paint (LBP). In addition, approximately 6,000 low- and moderate-income homeowners may occupy units containing LBP. However, homes with lead-based paint do not necessarily pose a health hazard, if the property is in good condition and the paint well-maintained. In fact, there has been a relatively low incidence of lead poisoning among Santa Clara County children. In Santa Clara County in 2006, there were only 65 confirmed cases of elevated blood lead levels among children, accounting for 20 percent of all confirmed cases in the Bay Area that year.

#### Five-Year Goal:

Action 3.C.1 Inspect affordable housing for lead-based paint							
Performance Measure	Number of properties inspected						
5-Year Plan Goal	1,215						
FY 2013-14 Funding Source	NSP, Rehab Program, First-time Homebuyer Program						
Outcome Code	SL-1,3						
	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14	Year 5 2014-15	Total To- Date	% of 5- Year Goal
Annual Plan Goal	270	114	105	30		519	42.7%
Actual Annual Outcome	131	68				199	16.4%

Action 3.C.2 Seek funding opportunities to mitigate lead hazards							
Performance Measure	Continue to implement program						
5-Year Plan Goal	N/A						
FY 2013-14 Funding Source	N/A						
Outcome Code	SL-1,3, DH-1,2						
	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14	Year 5 2014-15	Total To- Date	% of 5- Year Goal
Annual Plan Goal	Continue to implement program						
Actual Annual Outcome	Continue to implement program						

The Department of Housing continues to provide lead testing and assessment services on all dwellings receiving rehabilitation that were built prior to 1978. In addition, lead-based paint testing is performed on pre-1978 dwellings in the Project Alliance and NSP Programs. The Housing Department continues to contract with an outside environmental consultant to provide these testing and assessment services. This is in addition to the trained and lead certified Housing Department staff. These services are being provided in part to comply with Federal regulations 1012 and 1013 of Title X. Additionally, these services are being provided to ensure a safer environment for those we serve. Despite the fact that less than 40% of Program funding is derived from federal

## Section 5: Goals and Strategies

funds, 100% of eligible conventional homes built prior to 1978 are tested for lead. Regardless of the funding source, those properties testing positive for this hazard are mitigated in conjunction with rehabilitation.

Over the past four years, the Housing Department has tested over 536 properties for the presence of lead-based paint. Over the next five years, it is anticipated that, on average, 150 properties built prior to 1978 will be rehabilitated per year. Data over the past three years suggests that approximately 40% of the housing stock tested, tested positive for lead-based paint at levels over HUD's acceptable limits. Based on these results, an estimated 30 properties per year will potentially receive some level of lead remediation or abatement.

### Strategy #3D: Support a Neighborhood Stabilization Program to strengthen Neighborhoods affected by foreclosures

#### Need (As identified in the 2010-15 Consolidated Plan document):

*Since 2007, San José has experienced a significant increase in the number of home foreclosures as a result of sub-prime loan programs, reduced home values and increased unemployment. With entire neighborhoods being affected by the foreclosure crisis, San José intends to be proactive in devoting resources to increase stability and reduce blight in targeted areas hardest hit by the foreclosure crisis.*

#### Current Year Update:

San José residents received approximately 4,470 foreclosure filings in 2012. While this is more than twice as many filings received in 2006, it is significantly lower than the 2009 peak of over 16,000 filings. Indeed, foreclosure rates in San José have steadily decreased over the past three years for a number of positive reasons, including increased foreclosure prevention capacity and expertise at the local non-profit level, the presence of new State and federal anti-foreclosure programs and policies; greater coordination with banks and lenders to modify loans; and an improving economy. However, even as foreclosure rates have declined, new foreclosure prevention efforts such as California's Keep your Home CA and the Homeownership Bill of Rights have created new areas of work for non-profit foreclosure prevention counselors in terms of educating households about the programs, facilitating their access to the programs, and working with them to ensure that their loan modifications are fair and sustainable. The City continues to support foreclosure prevention efforts by funding the regional ForeclosureHelp Initiative, and follow and advance policies that mitigate foreclosures and that strengthen families and neighborhoods.

#### Five-Year Goal:

Action 3.D.1 Purchase and rehabilitate foreclosed properties and resell as affordable housing units							
<b>Performance Measure</b>	Number of units assisted						
<b>5-Year Plan Goal</b>	90						
<b>FY 2013-14 Funding Source</b>	NSP						
<b>Outcome Code</b>	SL-1,3 DH-1,2						
	<b>Year 1 2010-11</b>	<b>Year 2 2011-12</b>	<b>Year 3 2012-13</b>	<b>Year 4 2013-14</b>	<b>Year 5 2014-15</b>	<b>Total To- Date</b>	<b>% of 5- Year Goal</b>
<b>Annual Plan Goal</b>	45	32	31	4		112	124.4%
<b>Actual Annual Outcome</b>	13	16				29	32.2%

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### Purchase of Foreclosed Homes

Due to legislative changes in foreclosure requirements, ongoing economic recovery and escalating home values, the San José NSP consortium has experienced significant reductions in qualified housing inventory over the last year. Both the Housing Trust Silicon Valley's Purchase Assistance Loan (PAL) Program and the City's Dream Home Program have struggled to acquire new properties in 2012. As a result the Consortium has re-evaluated the programs and funding strategies heading into the 2013-14 fiscal year. With the ongoing recovery in the ownership housing market, the Consortium will be submitting a proposed amendment to the Department of Housing and Urban Development (HUD) to amend the funding Action Plan to shift the balance of program funds from ownership to rental housing.

The proposed amendment will reallocate the remaining balance of PAL Program funds and a portion of the Dream Home Program Allocation to create a new Multifamily rental allocation. The City will develop and release a Notice of Funding Availability (NOFA) in the summer of 2013 soliciting funding proposals for new multifamily project(s) meeting the requirements of the NSP2 grant.

Through February 1, 2013 the Housing Trust Silicon Valley closed 51 PAL loans and the City's Dream Home Program Purchased 38 homes for rehabilitation and resale. The proposed amendment will close out the PAL Program at its current total of 51 and reduce the Dream Home Program goal from 53 to 43 homes. It is anticipated that all but four homes will be purchased rehabilitated and resold before the end of the 2012-2013 fiscal year.

Action 3.D.2 Provide support to the City's ForeclosureHelp Initiative							
Performance Measure	Number of individuals assisted						
5-Year Plan Goal	N/A*						
FY 2013-14 Funding Source	CDBG						
Outcome Code	DH-2,3						
	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14	Year 5 2014-15	Total To- Date	% of 5- Year Goal
Annual Plan Goal	N/A*	N/A*	1,200	396		1,596	N/A
Actual Annual Outcome	N/A*	N/A*					

\* This program was not funded until 2012-13.

### Provide Support to ForeclosureHelp

The City will provide a new CDBG grant of \$50,000—which adds to \$50,000 in funds still available under a contract from last fiscal year—to a Consortium of local nonprofits that are providing expanded services as part of the ForeclosureHelp initiative. The Consortium, led by the Housing Trust Silicon Valley, also includes Neighborhood Housing Services of Silicon Valley, Project Sentinel, Law Foundation of Silicon Valley, SurePath Financial Solutions, Asian Inc., and Santa Clara County Association of Realtors. The initiative will continue to assist homeowners and tenants at risk of being displaced from their homes with foreclosure prevention, intervention and family restabilization. Counseling, guidance, and appropriate referrals will be provided to families considering short sale, loan modification or legal assistance.

## Section 5: Goals and Strategies

Action 3.D.3 Provide support to the City's Don't Borrow Trouble Initiative							
<b>Performance Measure</b>	Continue to implement program						
<b>5-Year Plan Goal</b>	N/A						
<b>FY 2013-14 Funding Source</b>	N/A						
<b>Outcome Code</b>	DH-2,3						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	Continue to implement program						
<b>Actual Annual Outcome</b>	Continue to implement program						

### Provide support to the Don't Borrow Trouble Campaign

The Don't Borrow Trouble Campaign will be assisted under the ForeclosureHelp Initiative. No CDBG funds will be used to support the Campaign.

Action 3.D.4 Research best practices and policies to respond to the foreclosure crisis							
<b>Performance Measure</b>	Continue to implement program						
<b>5-Year Plan Goal</b>	N/A						
<b>FY 2013-14 Funding Source</b>	N/A						
<b>Outcome Code</b>	DH-2,3						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	Continue to implement program						
<b>Actual Annual Outcome</b>	Continue to implement program						

### Research best practices and policies to respond to the foreclosure crisis

Staff continues to monitor foreclosure activity in San José, as well as potential policies, programs, and legislation that can assist the City's efforts in helping households from going into foreclosure. Staff produces periodic updates on the number of foreclosure filings issued to San José residents. Recent examples of policy and legislative work include collaborating with local non-profits to develop the ForeclosureHelp Initiative and analyzing the State's implementation of the National Mortgage Settlement.

In FY 2013-14, the Housing Department will continue to facilitate foreclosure prevention efforts in the following ways:

1. Monitor the foreclosure situation in San José by tracking the number of homes in the foreclosure process and where they are located geographically.
2. Monitor and advocate for legislation that seeks to mitigate the impacts of foreclosures.
3. Coordinate with banks who own foreclosed homes in San José to ensure the homes are maintained.

## Section 5: Goals and Strategies

### GOAL #4 – EXPAND ECONOMIC OPPORTUNITIES FOR LOW-INCOME HOUSEHOLDS

#### Strategy #4A: Support economic development activities that promote employment growth and help lower-income persons secure and maintain a job

Need (As identified in the 2010-15 Consolidated Plan document):

The California Employment Development Department (EDD) reported a 12.1 percent unemployment rate for Santa Clara County in January 2010. In response, Consolidated Plan Workshop participants stated the need for small business development, mentoring, and loan programs. These activities can help local entrepreneurs establish their businesses and lease space at more affordable rates during the down market. Participants also expressed the need for vocational programs that build basic job skills and train workers, especially youth, to enter or reenter the workforce. As a challenge, these services are often best addressed at a county or regional scale, given the relative scarcity of funding resources at the local level.

Five-Year Goal:

Action 4.A.1 Funding for local employment development and workforce training							
Performance Measure	Number of jobs created						
5-Year Plan Goal	250						
FY 2013-14 Funding Source	CDBG						
Outcome Code	EO-1,3						
	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14	Year 5 2014-15	Total To- Date	% of 5- Year Goal
Annual Plan Goal	54	54	0	50		158	63.2%
Actual Annual Outcome	41	41				82	32.8%

Action 4.A.2 Funding for small business development and training							
Performance Measure	Number of individuals assisted						
5-Year Plan Goal	3,000						
FY 2013-14 Funding Source	CDBG						
Outcome Code	EO-1,3						
	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14	Year 5 2014-15	Total To- Date	% of 5- Year Goal
Annual Plan Goal	640	640	N/A*	N/A*		1,280	42.7%
Actual Annual Outcome	524	570				1,094	36.5%

\*Funding is no longer available to support this activity.

## Section 5: Goals and Strategies

- *Downtown Streets Team- Job Readiness Training Project (\$600,000):*

This project provides job readiness training to 50 homeless individuals transitioning back into mainstream society. Downtown Streets, a newly-formed Community Based Development Organization, will provide training job skills workshops and will clean neighborhood streets, remove weeds, paint over graffiti, reverse urban decay, provide peer-to-peer outreach to homeless living in the area, and work alongside concerned residents within the three place-based neighborhoods, in advance of permanent improvements.

## Section 5: Goals and Strategies

### GOAL #5 – PROMOTE FAIR HOUSING CHOICE

#### Strategy #5A: Conduct outreach to the community regarding fair housing, in order to address local barriers to fair housing

The City of San José is committed towards mitigating or eliminating impediments to fair housing choice through the strategies and actions identified below as well as in its Analysis of Impediments and 2010-15 Consolidated Plan. These actions seek to facilitate access to affordable housing, to fund fair housing services, to ensure the alignment of local zoning ordinances to fair housing requirements, to outreach with the County Housing Authority on the Section 8 voucher program, and to facilitate the creation of complete communities. This comprehensive fair housing plan recognizes that fair housing is a regional issue, leverages partnerships, and strategically focuses on the highest impacts especially given the need to adjust to limited and often diminishing resources.

As a result, the City of San José funds or partners with local and regional agencies that have expertise in this area, especially in the area of fair housing services. For FY 2013-14, approximately \$385,000 from the 20% set-aside for CDBG Administration is recommended for funding a consortium of agencies which are currently providing Fair Housing services Citywide (Legal Aid of Santa Clara County, the Law Foundation including the Mental Health Advocacy Project, Project Sentinel, the Asian Law Alliance, and Senior Adults Legal Assistance). This consortium has come together to provide services, with the Law Foundation taking the lead grantee role, focusing its efforts on implementing the federal Analysis of Impediments to Fair Housing plan. The services to be provided next fiscal year include: outreach and education on fair housing issues; conducting fair housing testing; enforcing fair housing laws through litigation; and providing technical assistance to the Housing Department on how to monitor City-financed developments for fair housing compliance.

Housing Department staff will collaborate internally and with staff from other City departments and organizations in order implement the remainder of its fair housing strategy, including facilitating access to affordable housing, ensuring local ordinance alignment with fair housing laws, outreaching on the Section 8 voucher program, and creating complete communities

The following policies and actions respond to the fair housing needs identified in City's 2010-15 Consolidated Plan and its Analysis of Impediments.

#### Five-Year Goals:

Action 5.A.1 Ongoing education and outreach regarding fair housing							
Performance Measure	Number of presentations made						
5-Year Plan Goal	N/A						
FY 2013-14 Funding Source	N/A						
Outcome Code	DH-1						
	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14	Year 5 2014-15	Total To- Date	% of 5- Year Goal
Annual Plan Goal	52	42	42	56		192	N/A
Actual Annual Outcome	56	56				112	N/A

## Section 5: Goals and Strategies

The City contracts with local service providers to conduct ongoing outreach and education regarding fair housing for home seekers, landlords, property managers, real estate agents, and lenders. Outreach will occur via training sessions, public events, jurisdictions' websites and other media outlets, staffing at service providers' offices, and multi-lingual flyers available in a variety public locations.

Action 5.A.2 Fair Housing testing in local apartment complexes							
<b>Performance Measure</b>	Number of tests in local apartment complexes						
<b>5-Year Plan Goal</b>	N/A						
<b>FY 2013-14 Funding Source</b>	N/A						
<b>Outcome Code</b>	DH-1						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	0	80	60	60		200	N/A
<b>Actual Annual Outcome</b>	134	111				245	N/A

The City contracts with local service providers to conduct fair housing testing in local apartment complexes. The testing program looks for any evidence of differential treatment among sample local apartment complexes. Following the test, the service provider submits findings to the local jurisdiction and conducts educational outreach to landlords that showed differential treatment during the test.

### Strategy #5B: Ensure that City policies and programs promote fair housing and equal access to housing

#### Five-Year Goal:

Action 5.B.1 Ensure local ordinances are in compliance with State and Federal Fair Housing laws							
<b>Performance Measure</b>	Continue to implement program						
<b>5-Year Plan Goal</b>	N/A						
<b>FY 2013-14 Funding Source</b>	N/A						
<b>Outcome Code</b>	DH-1						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	Continue to implement program						
<b>Actual Annual Outcome</b>	Continue to implement program						

The City reviews and updates as necessary its local zoning ordinances to ensure that they are consistent with State and federal fair housing laws. Staff also responds to public input regarding ordinances that may need updating or modifying in order to facilitate fair housing practices. For example, during the FY 2011-12

## Section 5: Goals and Strategies

Consolidated Annual Performance and Evaluation Report (CAPER) public review process, the City's ordinance regarding religious assembly was identified as one that could be – while proper in its purpose – misinterpreted or incorrectly applied due to the way it is currently written. As a result, staff will review and modify as necessary the ordinance to ensure that its intent and proper application are clearly conveyed.

Action 5.B.2 Ensure zoning ordinances have procedures for reasonable accommodation requests							
<b>Performance Measure</b>	Continue to implement program						
<b>5-Year Plan Goal</b>	N/A						
<b>FY 2013-14 Funding Source</b>	N/A						
<b>Outcome Code</b>							
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	Continue to implement program						
<b>Actual Annual Outcome</b>	Continue to implement program						

The City ensures that local zoning ordinances have effective procedures to respond to reasonable accommodation requests in order to accommodate the needs of persons with disabilities. The City has a process for reasonable accommodation requests and can be found at the following location: <http://www.sanjoseca.gov/DocumentCenter/Home/View/611>

Action 5.B.3 Language assistance for limited-English speaking residents							
<b>Performance Measure</b>	Continue to implement program						
<b>5-Year Plan Goal</b>	N/A						
<b>FY 2013-14 Funding Source</b>	N/A						
<b>Outcome Code</b>							
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	Continue to implement program						
<b>Actual Annual Outcome</b>	Continue to implement program						

The City provides language assistance to persons with limited English proficiency. Many individuals living in San José for whom English is not their primary language may speak English with limited proficiency or, in some cases, not at all. As a result, persons who are limited English proficient (LEP) may not have the same access to important housing services as those who are proficient. Since 2007, the City of San José has maintained an LAP to facilitate access to housing for those who lack English proficiency. The Plan has identified language assistance needs for Spanish, Vietnamese, and Chinese (Cantonese and Mandarin) speakers. Assistance may come in the form of either written or oral translation as determined by need and demand, and is included in certain program documents as well as in public notices. In FY 2012-13, the City included Tagalog translation for its publicly notices. Agencies that receive federal funding through the City are also required to implement an LAP. Per federal guidance on LAPs, implementation of the plan depends on a four-factor analysis that includes an assessment of language need as well as the organizational capacity to meet those needs.

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Action 5.B.4 Update local Analysis of Impediments							
<b>Performance Measure</b>	Continue to implement program						
<b>5-Year Plan Goal</b>	N/A						
<b>FY 2013-14 Funding Source</b>	N/A						
<b>Outcome Code</b>							
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	Continue to implement program						
<b>Actual Annual Outcome</b>	Continue to implement program						

### Strategy #5C: Plan for and facilitate housing opportunities City-wide

#### Five-Year Goal:

Action 5.C.1 Plan for and facilitate development of complete mixed-use and mixed-income communities							
<b>Performance Measure</b>	Develop implementation strategies for Urban Villages / Envision 2040						
<b>5-Year Plan Goal</b>	N/A						
<b>FY 2013-14 Funding Source</b>	N/A						
<b>Outcome Code</b>							
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	Continue to implement program						
<b>Actual Annual Outcome</b>	Continue to implement program						

The City plans for and facilitates the development of complete, mixed-use and mixed-income communities. The San José City Council recently adopted the City's updated General Plan called Envision 2040, which includes an important strategy to create "urban villages," which are compact and complete communities that have a mix of housing, retail, services, and jobs that are easily accessible through non-auto oriented means, including walking, biking, and public transportation. Staff has begun the process to implement the urban villages, which will include policies to incorporate affordable housing. Additionally, the City's Planning and Housing Departments will work together to seek appropriate grant funding for sustainable and complete communities. Finally, City staff will continue to work on the Bay Area's regional One Bay Area Plan that implements California's Sustainable Communities Strategy.

## Section 5: Goals and Strategies

Action 5.C.2 Plan for and facilitate transit-oriented developments							
<b>Performance Measure</b>	Develop implementation strategies for Urban Villages / Envision 2040						
<b>5-Year Plan Goal</b>	N/A						
<b>FY 2013-14 Funding Source</b>	N/A						
<b>Outcome Code</b>							
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	Continue to implement program						
<b>Actual Annual Outcome</b>	Continue to implement program						

As indicated in Action 5.C.1 above, the City Council is in the process of implementing its urban village plan, which focuses on the creation of complete, mixed-use communities connected by transit. Because the urban village plan is part of its Envision 2040 General Plan, this will be an ongoing process.

## Section 5: Goals and Strategies

### GOAL #6 – PROMOTE ENVIRONMENTAL SUSTAINABILITY

#### Strategy #6A: Encourage the installation of energy- and water-efficiency measures in new and existing homes

Need (As identified in the 2010-15 Consolidated Plan document):

*With energy efficiency, water conservation, and greenhouse gas reduction all growing policy concerns, local jurisdictions must further efforts to support environmentally-sustainable residential development. Moreover, existing homes should be upgraded to improve their energy and water efficiency.*

Five-Year Goal:

Action 6.A.1 Energy efficiency in multi-family new homes							
<b>Performance Measure</b>	Number of units committed, meeting Build It Green standards						
<b>5-Year Plan Goal</b>	946						
<b>FY 2013-14 Funding Source</b>	HOME, Affordable Housing Investment Fund						
<b>Outcome Code</b>	SL-3						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	813	0	249	397		1,459	154.2%
<b>Actual Annual Outcome</b>	354	704				1,058	111.8%

Action 6.A.2 Direct investment in energy-efficiency in owner-occupied housing - Rehabilitated homes							
<b>Performance Measure</b>	Number of units meeting Build It Green standards						
<b>5-Year Plan Goal</b>	250						
<b>FY 2013-14 Funding Source</b>	CDBG						
<b>Outcome Code</b>	SL-3						
	<i>Year 1 2010-11</i>	<i>Year 2 2011-12</i>	<i>Year 3 2012-13</i>	<i>Year 4 2013-14</i>	<i>Year 5 2014-15</i>	<i>Total To- Date</i>	<i>% of 5- Year Goal</i>
<b>Annual Plan Goal</b>	50	18	50	12		130	52.0%
<b>Actual Annual Outcome</b>	39	16				55	22.0%

## Section 5: Goals and Strategies

Action 6.A.2 Direct investment in energy-efficiency in owner-occupied housing - Inclusionary / Homebuyer Assistance programs							
<b>Performance Measure</b>	Number of units meeting Build It Green standards						
<b>5-Year Plan Goal</b>	35						
<b>FY 2013-14 Funding Source</b>	HOME, Affordable Housing Investment Fund						
<b>Outcome Code</b>	SL-3						
	<b>Year 1 2010-11</b>	<b>Year 2 2011-12</b>	<b>Year 3 2012-13</b>	<b>Year 4 2013-14</b>	<b>Year 5 2014-15</b>	<b>Total To- Date</b>	<b>% of 5- Year Goal</b>
<b>Annual Plan Goal</b>	5	37	0	0		42	120.0%
<b>Actual Annual Outcome</b>	30	5				35	100.0%

Action 6.A.3 CDBG sponsored energy efficiency improvements							
<b>Performance Measure</b>	Number of energy efficiency improvements						
<b>5-Year Plan Goal</b>	1,600						
<b>FY 2013-14 Funding Source</b>	CDBG						
<b>Outcome Code</b>	SL-3						
	<b>Year 1 2010-11</b>	<b>Year 2 2011-12</b>	<b>Year 3 2012-13</b>	<b>Year 4 2013-14</b>	<b>Year 5 2014-15</b>	<b>Total To- Date</b>	<b>% of 5- Year Goal</b>
<b>Annual Plan Goal</b>	325	0	544	TBD		869	54.3%
<b>Actual Annual Outcome</b>	0	497				497	31.1%

In August of 2010 the Housing Department accepted a \$370,000 Innovator Pilot grant from PG&E to implement a Municipal Whole House Rehab Pilot Program (MWHRPP). The objective of this grant is to develop standard energy efficiency models based on Build-it-Green standards, effectively incorporating energy efficiency upgrades into standard housing rehabilitation. It is anticipated that the Rehabilitation Program will incorporate energy efficiency measures funded through this grant into approximately 25 projects in the coming year. PG&E has extended the grant deadline from September 2012 to December 2013.

Additionally, the Housing Rehabilitation Program had taken measures to incorporate Energy Efficiency and Eco-friendly or Green methods and materials into the projects funded through State and federal sources when feasible. Utilizing Build-it-Green Standards, the program goal is to exceed a 15% energy efficiency saving in each home receiving these upgrades and to meet the Build-it-Green certification standard. These program policies align with both federal and State funding objectives. The goal for this coming fiscal year is to upgrade 12 homes.

The infrastructure improvements in the three Place-Based Strategy neighborhoods include converting existing street light fixture to LED fixtures, a much more energy-efficient option than the existing type of lighting

## **Section 6**

# **Housing Support Activities / Removing Barriers to Affordable Housing**

## Section 6: Housing Support Activities

### INTRODUCTION

In addition to its federal housing programs, the City develops and implements its Five-Year Housing Investment Plan (HIP), a locally-determined plan that provides a comprehensive approach to addressing San Jose's housing needs. Unlike the federal Annual Action Plan, the HIP includes the full range of funding sources (including State and local), policies, and programs for affordable housing. In concert, these programs and policies maximize the City's ability to support housing activities and to mitigate barriers to affordable housing. The City is currently in the process of updating its existing HIP and anticipates bringing forward the next Five-Year HIP in Summer/Fall 2013. However, the excerpted policies below from the current HIP highlight key policies that support the programs in the federal Annual Action Plan in order to promote and encourage affordable housing development in San José.

### GENERAL HOUSING POLICIES

- Support State and federal legislation to create a permanent dedicated source of funding for affordable housing creation, rehabilitation, and preservation, including ELI units.
- Actively seek opportunities to access existing local, state and federal funding resources for housing affordable to low- and moderate-income households, including remaining State Proposition 1C funds for infill development.
- Implement the City's current Inclusionary Housing Policy in redevelopment project areas, including provision of guidance to developers regarding implications of the *Palmer v. The City of Los Angeles* ruling that invalidates inclusionary housing for rental projects. Continue to review the current policy's implementation to ensure it provides certainty to developers as well as incentives to comply by providing affordability or payment of in-lieu fees, whether under the Policy or on a negotiated basis in exchange for development concessions.
- Continue to appeal the Building Industry Association's legal challenge against San José's citywide inclusionary housing ordinance. The City Council approved the ordinance in January 2010 and was expected to go into effect January 1, 2013. However, it is currently on pause due to the legal challenge.
- Prioritize resources for the most vulnerable households by: 1) spending the City's available funding in accordance with its Income Allocation Policy, as follows: 30% for ELI, 30% for VLI, and 25% for LI; 2) partnering with service providers to better target and provide needed services to ELI households; 3) integrating ELI units with various different types and income levels within projects; 4) seeking to appropriately leverage all funds to receive the greatest number of ELI units; and 5) maximizing other, outside, funding resources in order to deepen affordability.
- Include language in the City's Mixed-income Housing Policy to state that to the extent feasible, the City encourages ELI units mixed effectively among other low-income and market-rate units.
- Continue to identify developable sites suitable for higher density and/or mixed-use development in order to maximize opportunities for development of both affordable and market-rate housing. This action aligns with the City's recently-adopted *Envision 2040 General Plan Update*, which seeks to facilitate the creation of urban villages and complete communities.
- Update the City's Dispersion Policy in order to ensure that its goals align with a more urban built environment based on sustainable planning principles contained in the City's *Envision 2040 General Plan Update*. This will allow for both the identification and prioritization of sites for affordable housing in transit corridors and other opportunity sites, while ensuring that affordable housing is integrated in diverse, mixed-income communities.

## **Section 6: Housing Support Activities**

- Continue to plan for the intensification of North San José along transit corridors. This will provide an additional opportunity for 32,000 units of new housing, 6,400 of which are affordable units.
- Collaborate with external non-profit housing agencies to provide education, legal, and outreach services to tenants and landlords covered by the Rent Control ordinance.
- Continue to seek developments that provide housing opportunities for persons with disabilities.
- Continue to analyze and make recommendations/improvements, if necessary, regarding the City's secondary unit program.

### **POLICIES TO END HOMELESSNESS**

- Work with its government, nonprofit, and business partners to allocate additional resources for efforts to end and prevent homelessness. This includes Destination: Home, a public-private partnership implementing an integrated, coordinated approach to ending homelessness in Santa Clara County by infusing permanent housing with important services like mental health, physical rehabilitation, and employment training programs.
- Monitor and advocate for legislation at the State and federal level.
- Continue coordinating with the City's Department of Environmental Services and other government and nonprofit partners to develop a response to homeless encampments which balances the needs of the encampment occupants and responds to the concerns of neighborhoods and the environmental damage to the environment resulting from the encampments.
- Work with the Housing Authority of the County of Santa Clara to allocate 100 Section 8 Housing Choice Vouchers plus one-third of every turnover Voucher to homeless individuals, with priority going to those living in encampments.

### **POLICIES TO ELIMINATE IDENTIFIED GAPS IN THE INSTITUTIONAL STRUCTURE**

- Support maintenance of federal entitlement programs such as the Community Development Block Grant (CDBG) Program, Emergency Solutions Grant (ESG), Housing Opportunities for Persons with AIDS (HOPWA), HOME Partnerships, Section 8 Housing Choice Vouchers, Section 202, and Section 811 programs.
- Participate in the creation of an implementation plan for the City's *Envision 2040 General Plan Update* in order to ensure that housing development for a range of incomes can continue in the City, especially in transit- and amenity-rich locations.
- Continue to participate in the regional planning effort to integrate the Sustainable Communities Strategy (SCS) and the Regional Transportation Plan (RTP) in support of SB375 and AB32. Additionally, continue to participate in and shape the methodology for the regional housing needs allocation (RHNA) to be used in the development of the SCS/RTP, and to advance an appropriate allocation of housing and jobs for San José that achieves the goals of greenhouse gas reduction, economic development, and housing opportunities across incomes.

## Section 6: Housing Support Activities

- The City of San José Housing Element for 2007-14 was adopted by the City Council in June 2009. State law requires that the Element be updated at least once every five years. The purpose of the Element is for each locality to assess its housing needs for different populations and to identify strategies to meet those needs. Additionally, the Association of Bay Area Governments (ABAG) allocates regional housing needs (RHNA goals) to the counties and cities in the nine-county Bay Area. San José's most recent RHNA goal is over 34,000 total housing units, nearly 60 percent of which must be affordable to lower-income households. The Housing Element must identify strategies to plan for this regional housing allocation. These strategies include facilitating the creation of new units, preserving existing restricted units, mitigating/eliminating market and non-market barriers to residential development, and ensuring sufficient capacity in a jurisdiction's General Plan to accommodate its RHNA
- Advocate changes in federal laws and those that run through State agencies such as TCAC, CDLAC, and HCD to improve San José's position in receiving entitlement grants and in applying for scarce public dollars.
- Advocate change to the federal poverty line to a standard that recognizes high-cost areas.
- Continue to work with the Housing Authority of the County of Santa Clara to limit the number of project-based Section 8 vouchers used in existing affordable housing projects, including those owned and operated by the Housing Authority and its subsidiaries.
- Analyze foreclosure rates and geographic concentration, and produce maps of foreclosures as needed.

## **Section 7**

# **Monitoring and Performance Measures**

## Section 7: Monitoring and Performance Measures

### MONITORING CDBG, HOME, HOPWA AND ESG

The City continues to follow the grantee monitoring requirements as outlined by HUD for the use of federal funds. The City has also standardized policies and procedures for use city-wide, which incorporate many of the HUD requirements. In 2006, the City-wide Grant Management Working Group and the Nonprofit Strategic Engagement Committee were formed to respond to findings from a 2005 internal City audit. In 2008, the City of San José Auditor performed a follow-up review of the 2005 Audit which included recommendations to 1) establish citywide consistent policies and procedures; 2) establish specific training requirements for staff involved in grants management and monitoring; 3) establish criteria when audited financial statements are obtained and reviewed; 4) establish risk-based criteria and help ensure grantee compliance with grant requirements.

The Grants Management Working Group continues to meet regularly and has met several of the recommendations made by the City Auditor. These accomplishments include the completion of the City-Wide Grant Management Handbook, which provides overarching guidance to City departments that award federal, State or local funding. The handbook also provides guidance on grants management and monitoring and establishes minimum criteria for the review of financial statements and reporting. To further aid staff in contract management, individual department grant applications and agreements are being reviewed for consistency and duplication. Lastly, a citywide Grant Management database began full implementation in January 2010.

To further strengthen its monitoring strategy, in FY 2010-2011 the Department of Housing created a new Compliance and Monitoring Division. This Division has sole responsibility of monitoring all projects funded with federal, state and local funds. Because of the great number of the grants the Housing Department manages, the new division developed a Risk Assessment to prioritize the number of projects to monitor and determine what level of monitoring will take place. The new division also modified existing monitoring forms to insure alignment with HUD CPD forms for each applicable federal program. Due to staffing cutbacks, this division has been absorbed within the Grants Management Division. Staff within this division will use the tools already developed and implement the monitoring process in FY 2013-14.

The monitoring process also includes the following major elements:

- ◆ **Public Review** - Input is solicited from the **Housing and Community Development Advisory Commission (HCDC)** on the Consolidated Plan and the associated Performance Report. The HCDC provides recommendations to the City Council on housing policy matters. Each **Annual Action Plan** for the five-year Consolidated Plan is also subject to extensive public review and comment prior to submission to HUD.
- ◆ **Tracking** - The Department of Housing uses of the citywide Webgrants database system. This database tracks programmatic and financial performance and allows potential applicants to apply for funds electronically.

The intent of the monitoring process is to identify any potential red flags and, if necessary, provide the necessary technical assistance so that sub-recipients can successfully implement their projects. If a significant problem is discovered, City staff meets with project staff to discuss and resolve any issues. Examples of such problems include:

- ◆ Services are not documented
- ◆ Goals are not being met
- ◆ Project files are not in order
- ◆ Lack of fiscal controls and/or documentation
- ◆ Required reports are not being submitted in a timely manner
- ◆ Expense reporting does not adhere to regulations or policies.

For CDBG, ESG and HOPWA programs, service providers are required to submit monthly or quarterly Reimbursement Requests and Reconciliation Reports to document expenses and ensure that both line item

## **Section 7: Monitoring and Performance Measures**

budgets and total project budgets are not over-expended, and allows for review of project status. Additionally, service providers are required to submit quarterly performance reports and a cumulative annual report. Review of these reports allows staff to determine whether corrective measures are necessary and provide a basis for monitoring procedures.

In addition, Housing department staff review financial documentation of its sub-recipients. The sub-recipients are required to establish and maintain a system of accounts that is in conformance with generally accepted principles of accounting for budgeted funds. This system of accounts is subject to review and approval by the City. In addition, sub-recipients are required to submit an annual agency audit. Financial monitoring is based on the program budget that is incorporated in the grant agreement between the City and the sub-recipient. Sub-recipients are required to submit to the City the name of a fiscal agent, if any, who is responsible for the financial and accounting activities of the project, including the receipt and disbursement of project funds.

Beyond simply monitoring its sub-recipients, the Housing Department understands that the agencies must have the tools necessary to implement successful and impactful programs. In FY 10-11 the department modified the "Playing by the Rules" training module provided by HUD and conducted a two day training for all sub-recipients. The intent of the training was to educate sub-recipient staff of their responsibilities and requirements in utilizing federal funds. The training was successful and the department plans to conduct the training at the beginning of each future funding cycle.

### **PERFORMANCE MEASURES – OBJECTIVES AND OUTCOMES**

#### **CDBG**

The scope of services contained in the grant agreement is developed directly from the project proposal. It describes project activities that are to be accomplished each quarter and the level of service anticipated for each activity. Goals and objectives are very specific. See Table 2B in Section 8 for specific objectives of the program related to public facilities, infrastructure, public services, economic development and other community development priorities.

#### **HOME**

The City of San José has been an entitlement jurisdiction under the HOME Investment Partnership Program since 1992. The use of these funds in past years has benefited multifamily rental developments, first time homebuyers under the Homebuyer Program, Rehabilitation projects and Tenant-Based Rental Assistance (TBRA) program for chronically homeless residents of the City. All of these programs will continue to be options for the use of HOME funds should the need to reallocate funding occur in order to maximize the City's resources. However, in the coming year, funds will be focused on the development of Multifamily Rental Projects, Acquisition/Rehabilitation of Single-family residents under Habitat for Humanity, CHDO Operating Assistance and TBRA. The City's objectives under the HOME program are as follows, and grantees are measured by completion:

- a. Improve the Quality of Affordable Owner Housing
- b. Increase the Access of Affordable Owner Housing
- c. Increase the Supply of Affordable Rental Housing
- d. Improve Access of Affordable Housing to our homeless. population

Additionally, the City reviews management plans for the multifamily, HOME funded projects for sponsor's marketing efforts and checks for compliance with affirmative marketing requirements and procedures. Announcements are mailed to nonprofits and advertisements are placed in the local newspaper and in online apartment search engines, where appropriate. The review is performed prior to the initial lease-up of the property. Typically, the sponsors receive more than enough eligible applicants to fully occupy the property. The remainder of the eligible applicants are kept on a waiting list that is maintained indefinitely. As new applicants learn of the existing properties and contact the property management companies, they are added to the waiting lists. The

## **Section 7: Monitoring and Performance Measures**

Housing Department produces an Affordable Housing Referral List of the majority of affordable housing properties assisted with City financing. The list is updated quarterly and its wide distribution has become an important outreach tool.

### ***ESG***

The City's ESG funding priority has been shelter operating costs. The City's objectives and outcomes for the FY 2013-14 are to provide outreach services, primarily to the chronically homeless, and a full range of services to families and youth, including victims of domestic violence, who are homeless or at risk of homelessness. Additionally, City will support the operation of HMIS.

### ***HOPWA***

For FY 2013-14 the HOPWA objectives include the provision of tenant-based rental assistance and supportive services to San José residents living with HIV/AIDS.

Objectives for the program include:

- Supportive Services - health, mental health, assessment, case management and housing advocacy, drug and alcohol abuse treatment, adult and child day care, personal assistance, nutritional services
- Tenant-Based rental assistance - rent payment subsidies

### ***MBE/WBE & Section 3 Reporting Requirements***

The City adopted policy guidelines in November 2006 on the federal reporting requirements and has informed all grantees of its requirement to collect Section 3 and MBE/WBE materials. These will be reported annually in the City's Consolidated Annual Performance Evaluation Report (CAPER).

## **Section 8**

### **HUD Tables and Data**

## **Section 8: HUD Tables and Data**

***A. Table 2A – Priority Housing Needs***

***B. Table 2B – Non-Housing Community Development Needs***

***C. Table 2C and 3A – Summary of Housing and Community Development Objectives***

## Section 8: HUD Tables and Data



*Table 2A – Priority Housing Needs*

**Table 2A: Priority Housing Needs**

	5-Yr (2010-15)	Year 1 (2010-11)	Year 2 (2011-12)	Year 3 (2012-13)	Year 4 (2013-14)	Year 5 (2014-15)
	Plan	Actuals	Actuals	Forecast	Forecast	Forecast
<b>FEDERAL FUNDING</b>						
<b>CDBG</b>						
Acquisition of existing rental units						
Production of new rental units						
Rehabilitation of existing rental units						
Rental assistance						
Acquisition of existing owner units						
Production of new owner units						
Rehabilitation of existing owner units	1000	266	239	183	162	116
Homeownership assistance						
<b>HOME</b>						
Acquisition of existing rental units						
Production of new rental units	30		15	19		76
Rehabilitation of existing rental units				13		
Rental assistance (TBRA)	285	80	72	100	100	100
Acquisition of existing owner units						
Production of new owner units						
Rehabilitation of existing owner units				35	35	35
Homeownership assistance (Welcome HOME)	300	30	24			
NSP 2 Homeownership Downpayment Assistance			13	8	1	
<b>HOPWA</b>						
Rental assistance (unduplicated h.holds)	150	131	30	30	30	30
Short term rent/mortgage utility payments						
Facility based housing development						
Facility based housing operations						
Supportive services (unduplicated h.holds)	175	117	35	35	35	35
<b>ESG</b>						
Rental assistance						
Short term rent/mortgage utility payments						
Facility based housing development						
Facility based housing operations						
Supportive services (h.holds)	30,000	6000	6000	6000	6000	6000
<b>NSP</b>						
Acquisiton, Rehab and Resale of Foreclosed homes		13	16	31	4	

## Section 8: HUD Tables and Data

<b>NON-FEDERAL FUNDING</b>						
<b>PROJECT DEVELOPMENT</b>						
Commitment of new rental units	99	354	74	249	355	
Acq/Rehab of rental units						
Comitment of new owner units		19			9	
Acq/Rehab of owner units						
<b>HOMEOWNERSHIP / NCLUSIONARY ASSISTANCE</b>						
2nd Mortgage Assistance (THP, BEGIN, CalHOME)	55	9	41	64	20	20
Inclusionary Program (Rental)	150			354	477	
Inclusionary Program (Ownership)						
Other Assistance (counseling)	2285	422	514	636	450	450
<b>REHABILITATION PROGRAM</b>						
Rehabilitation of existing rental units						
Rehabilitation of existing owner units	271	125	196	40	158	88

## Section 8: HUD Tables and Data

*Table 2B – Non-Housing Community Development Needs*

**Table 2B: Non-Housing Community Development Needs**

	Priority Need	Dollars to	Performance	2013-14
	Level	Address Need	Measure	Forecast
Acquisition of Real Property	0			
Disposition				
Clearance and Demolition				
Clearance of Contaminated Sites				
Code Enforcement	1	10M	Inspections	\$1,089,303
Public Facility (General)				
Senior Centers	2	2M	Facilities	
Handicapped Centers	2	2M	Facilities	
Homeless Facilities	1		Facilities	
Youth Centers	2		Facilities	
Neighborhood Facilities	2		Facilities	
Child Care Centers	1	500K	Facilities	
Health Facilities	2	1M	Facilities	
Mental Health Facilities	2	3M	Facilities	
Parks and/or Recreation Facilities	2		Facilities	
Parking Facilities	3		Facilities	
Tree Planting	3	2.5M	Facilities	
Fire Stations/Equipment	2	4M	Facilities	
Abused/Neglected Children Facilities	1	1M	Facilities	
Asbestos Removal	2		Facilities	
Non-Residential Historic Preservation	3		Facilities	
Other				
Infrastructure				
Water/Sewer Improvements	1		Facilities	
Street Improvements	2	2M	Facilities	
Sidewalks	2		Facilities	
Solid Waste Disposal Improvements	2		Facilities	
Flood Drainage Improvements	1		Facilities	
Other - ADA Curb Cuts	1	5M	Facilities	
Public Services				
Senior Services	1	1M	Individuals	\$200,000
Handicapped Services	1	500K	Individuals	0
Legal Services	1	1M	Individuals	0
Youth Services	1	5M	Individuals	\$295,485
Child Care Services	1	2M	Individuals	0
Transportation Services	1	500K	Individuals	0
Substance Abuse Services	1		Individuals	0
Employment/Training Services	1	5M	Individuals	0
Health Services	1	2M	Individuals	0
Lead Hazard Screening	2		Individuals	0
Crime Awareness	2		Individuals	0
Fair Housing Activities	1	2M	Individuals	\$385,000

## Section 8: HUD Tables and Data

Tenant Landlord Counseling	2		Individuals	0
Other			Individuals	\$794,255
Economic Development				
Economic Development				
Job Creation	1	5M	Jobs	\$600,000
Technical Assistance	1	3M	Individuals	0
Small Business Loans	3		Loans	0
Other				

## Section 8: HUD Tables and Data



*Table 2C & 3A – Summary of Housing and Community Development Needs*

<b>Table 2C: Summary of Housing/Community Development Objectives - 2013 - 2014</b>				
	<b>Source of Funds</b>	<b>Performance Measure</b>	<b>Performance Goal 2013-14</b>	<b>Outcome/Objective</b>
<b>Goal #1: Affordable housing for lower-income and special needs households</b>				
Action 1A.1. Financial and technical assistance for affordable rental housing				DH-1,2
Production Goals - New Construction / A/R	HOME, AHIF, Tax Credits	# units with funding committed	397	
Inclusionary Goals	n/a	# LI units with recorded restrictions	477	
Action 1B.1. Direct financial assistance to help lower-income households purchase homes (includes inclusionary homebuyers)	HOME, NSP, CalHome, BEGIN, AHIF	# non duplicated LI homebuyers assisted	20	DH-2
Action 1B.2. Financial literacy and homebuyer education programs	NHSSV; Project Sentinel	# households participating in classes and counseling sessions	450	DH-2,3
Action 1C.1. Rehabilitation assistance for lower-income homeowners including mobilehome owners (including Minor Repair Program)	CalHOME, CDBG, AHIF, FEMA	# homeowners assisted	320	SL-3
Action 1C.2. Rehabilitation assistance for lower-income homeowners thro CDBG program	CDBG	# homeowners assisted	162	SL-3
Action 1C.3 Pursue funding opportunities for infrastructure jmprovements in low-income mobilehome parks	n/a	n/a		SL-1,2,3
Action 1D.1. Affordable housing for seniors, disabled individuals, large families				DH-1,2,3
Production Goals (subset of 1.A.1)	HOME, AHIF	# units with funding committed	211	
Rehab Goals (subset of 1.C.1) - Including Minor Repair Program	CDBG, CalHome, AHIF, FEMA	# homeowners assisted	25	
Action 1D.2. Pursue funding opportunities to improve condition of housing stock for low-income renters	n/a	n/a		DH-1,2,3
<b>Goal #2: Activities to end homelessness</b>				
Action 2A.1. TBRA assistance to chronically homeless	HOME, HOPWA, SPNS	# unuplicated households	232	SL-1,2,3
Action 2A.2. Short-term emergency shelter	ESG	# individuals assisted	3,060	DH-1
Action 2A.3. Emergency rental assistance programs	ESG, HTF	# individuals assisted	228	DH-2
Action 2A.4. Programs that provide vital services to homeless individuals	ESG, CDBG	# individuals assisted	1,873	SL-1,2,3
Action 2A.5. Support Destination:Home	n/a	n/a		SL-1,2,3

## Section 8: HUD Tables and Data

Action 2A.6. Seek additional funding for countywide efforts to end homelessness	n/a	n/a	continue to seek funding sources	SL-1,2,3
Action 2A.7. Seek additional funding for housing and services for recently emancipated youth	n/a	n/a	continue to seek funding sources	SL-1,2,3
Action 3A.1.2. Assist lower-income residents with basic/essential services & Maintain self-sufficiency				SL-1,2,3
Homeless Services	ESG, HOPWA,	# individuals assisted	3,000	
CDBG	CDBG	# individuals assisted	2,000	
<b>Goal #3: Activities that provide services, eliminate blight, and/or strengthen neighborhoods</b>				
Action 3A.3. Assist lower-income residents access healthcare	CDBG	# individuals assisted	0	SL-1,2,3
Action 3A.4 Assist lower-income residents access legal services	CDBG	# individuals assisted	1,736	SL-1,2,3
<b>Goal #3: Activities that provide services, eliminate blight, and/or strengthen neighborhoods</b>				
Action 3B.1. Remove public accessibility barriers	CDBG	# individuals assisted in low-mod areas	TBD	SL-1,3
Action 3B.2. Physical improvements and rehabilitation of public facilities	CDBG	# individuals assisted in low-mod areas	TBD	SL-1,3
Action 3B.2. Code enforcement activities that eliminate blight and strengthen neighborhoods	CDBG	# households / businesses / inspections	6,921	SL-1,3
Action 3C.1. Inspection of affordable housing for lead-based paint	NSP, first-time homebuyers program	# properties inspected	30	SL-1,3
Action 3C.2. Seek funding opportunities to mitigate lead hazards	n/a	n/a		SL-1,3
<b>Goal #3: Activities that provide services, eliminate blight, and/or strengthen neighborhoods</b>				
Action 3D.1. Purchase of foreclosed properties and subsequent rehab and resale as affordable units	NSP	# units assisted	4	DH-1,2,3
Action 3D.2. Provide support to the City's ForeclosureHelp Initiative	NSP	n/a	396	DH-1,2,3
Action 3D.3. Provide support to the Don't Borrow Trouble campaign	NSP	n/a	Continue to implement	DH-1,2,3
Action 3D.4. Research best practices and policies to respond to foreclosure crisis	n/a	n/a	Continue to implement	DH-1,2,3
<b>Goal #4: Economic opportunities for low-income households</b>				
Action 4A.1. Funding for local employment development and workforce training	CDBG	# jobs created	50	EO-1,3
Action 4A.2. Small business development, job training	CDBG	# individuals assisted	0	EO-1,3
<b>Goal #5: Promote Fair Housing Choice</b>				
Action 5.A.1. Ongoing education and outreach regarding fair housing	CDBG	# of events	56	
Action 5.A.2. Fair housing testing in local apartment complexes	CDBG	# of tests	60	
<b>Goal #5: Promote Fair Housing Choice</b>				
Action 5.B.1. Ensure local ordinances are in compliance with State and Federal fair housing laws	n/a	n/a	Continue to implement	

## Section 8: HUD Tables and Data

Action 5.B.2. ensure zoning ordinances have procedures for reasonable accomodation requests	n/a	n/a	Contnue to implement	
Action 5.B.3. Lanugage assistance for limited english speaking residents	n/a	n/a	Contnue to implement	
Action 5.B.4. Update local analysis of impediments	n/a	n/a	Review and update fair housing options	
Action 5.C.1. Plan for and facilitate development of complete, mixed-use and imixed-income communities	n/a	n/a	Develop and implement strategies for Urban Villages/Envision 2040	
Action 5.C.2 Plan for and facilitate transit oriented developments	n/a	n/a	Develop and implement strategies for Urban Villages/Envision 2040	
<b>Goal # 6: Environmental sustainability</b>				
Action 6A.1. Energy efficiency in multifamily new homes		# units - meeting build-it-green standards	101	DH-3
Action 6A.2. Direct investments in energy efficient owner-occupied housing				DH-3
Rehabilitated homes		# units meeting build-it-green standards	12	
Inclusionary / Homebuyer assistance		# new construction green homes purchased by LI and mod homebuyers	0	
Action 6A.3. CDBG sponsored energy efficiency improvements	CDBG	# energy efficiency improvements	TBD	DH-3

**Section 9**  
**Appendices**

## **Section 9: Appendices**

***A. Gap Analysis – Homeless and Special Needs***

***B. Public Notice and Public Testimony / Community Input***

***C. Homebuyer and TBRA Program Guidelines***

***D. Applications for Federal Assistance (SF 424)***

***E. Certifications***

## Section 9: Appendices

### Appendix A: Gap Analysis – Homeless and Special Needs

**Table 1A: Housing Gap Analysis, San Jose**

	Number of Beds			
	Current Inventory (a)	Under Development	Unmet Need (a)	
<b>Individuals</b>				
Emergency Shelter	504	0	0	
Transitional Housing	428	10	37	
Permanent Supportive Hous	1,602	416	2,738	
<b>Total</b>	<b>2,534</b>	<b>426</b>	<b>2,775</b>	
<b>Families with Children</b>				
Emergency Shelter	252	3	0	
Transitional Housing	600	0	284/114	
Permanent Supportive Hous	1,837	578	225/90	
<b>Total</b>	<b>2,689</b>	<b>581</b>	<b>509/204</b>	
<b>Part 1: Homeless Population (b)</b>				
	Sheltered (c)		Unsheltered	Total
	Emergency Shelter	Transitional Housing		
<b>Number of Families with Children (d)</b>	37	59	11	107
<b>Number of Persons in Families with Children</b>	118	183	35	336
<b>Number of Persons in Households without Children (e)</b>	398	278	3,022	3,698
<b>Total</b>	<b>516</b>	<b>461</b>	<b>3,057</b>	<b>4,034</b>
<b>Part 2: Homeless Subpopulations (f)</b>				
	Sheltered	Unsheltered	Total	
a. Chronically Homeless	117	1,357	1,474	
b. Seriously Mentally Ill	496	1,553	2,049	
c. Chronic Substance	459	1,437	1,896	
d. Veterans	79	304	383	
e. Persons with HIV/AIDS	24	76	100	
f. Victims of Domestic Violence	117	367	484	
g. Unaccompanied Youth	39	562	601	

## Section 9: Appendices

Notes:

(a) Current inventory is from the 2012 Housing Inventory Chart. Unmet need is from the 2012 Unmet Need calculations for Santa Clara County McKinney Vento application. For complete description of methodology and assumptions, contact the Executive Committee of the Santa Clara County Collaborative on Housing and Homeless Issues.

(b) Based on 2011 City of San Jose Homeless Census and Survey. 2013 City of San José Homeless Census and Survey estimated availability date is 6/15/13

(d) Number of families derived from average household sizes from the Homeless Census and Survey.

(e) Persons in households without children include single persons and individuals in vehicles, encampments, abandoned buildings, or parks where family status could not be determined.

(f) These data are based on both the Homeless Census and data from the Homeless Survey. The results are estimates, calculated by applying the survey results to the point-in-time Homeless Census population.

Sources: Homeless Census and Survey, Applied Survey Research, January 2011;

(g) Based on the % of persons surveyed who responded that they were currently experiencing mental illness in 2011

(h) Based on the % of persons surveyed who responded that they experienced alcohol and/or drug abuse problems in 2011

## Section 9: Appendices

**Table 1B: Special Needs (Non-Homeless) and Homeless Populations, San José, 2012 - 2013**

Special Needs Sub-Population	Priority Need Level (a)	Unmet Need		Dollars to Address Unmet Need (k)	Goals (l)
Elderly	High	13,950	(b)		
Frail Elderly	Medium	1,720	(c)		
Severe Mental Illness	High	5,260	(d)	\$1,500,000/ annually	house 100 chronically homeless households with severe mental illness
Developmentally Disabled	Medium	5,150	(e)		
Physically Disabled	Medium	7,170	(f)		
Persons w/ Alcohol/Other Drug Addiction	High	5,420	(g)		
Persons with HIV/AIDS	Medium	280	(h)	\$1,171,033	381/individuals annually
Victims of Domestic Violence	Medium	0	(i)	\$78,000	Provide shelter, food and case management to 230 unduplicated clients
Large Households	Medium	41,400	(j)		

Notes:

(a) Based on historic need in jurisdiction and input from Consolidated Plan Workshops.

(b) Based on estimated number of elderly households with a housing problem in jurisdiction, according to data from 2000 Census and 2009 Claritas.

Excludes frail elderly.

(c) Based on same methodology as (b), multiplied by % of County seniors with self-care disability, per 2000 Census.

(d) Based on estimated adult local residents that are low-income with a housing problem, multiplied by % of adult population with severe mental illness, per 2000 Census and National Institute of Mental Health.

(e) Based on estimated adult local residents that are low-income with a housing problem, multiplied by % of County adult population with mental disability, per 2000 Census.

(f) Based on estimated adult local residents that are low-income with a housing problem, multiplied by % of County adult population with physical disability, per 2000 Census. Excludes frail elderly.

(g) Based on local share of countywide admissions to treatment facilities in 2003.

(h) Based on estimated adult local residents that are low-income with a housing problem, multiplied by % of County residents living with HIV/AIDS, per 2000 Census and CA Dept. of Health Services.

(i) From previous Consolidated Plan.

(j) Based on estimated number of large households with a housing problem in jurisdiction, according to data from 2000 Census and 2009 Claritas.

Source: US Census, CHAS Datasets, 2000; Claritas, 2009; CA Dept of Health Services, 2009; National Institute of Mental Health, 1993; Santa Clara County Department of Drug and Alcohol Services; BAE, 2009.

## Section 9: Appendices

**Table 1C: Summary of Specific Housing/Community Development Objectives, San José**

<b>Homeless Individuals</b>	<b>Unmet Need</b>	<b>Performance Measure</b>
Emergency Shelter	0	Beds/Units
Transitional Housing	768	Beds/Units
Permanent Supportive Housing	2,775	Beds/Units
<b>Homeless Families with Children</b>		
Emergency Shelter	0	Beds/Units
Transitional Housing	182/73	Beds/Units
Permanent Supportive Housing	182/73	Beds/Units
<b>Homeless Special Needs</b>		
Chronically Homeless	1,179	Beds/Units
Seriously Mentally Ill	1,639	Beds/Units
Chronic Substance Abuse	758	Beds/Units
Veterans	153	Beds/Units
Persons with HIV/AIDS	40	Beds/Units
Victims of Domestic Violence	194	Beds/Units
Unaccompanied Youth (Under 18)	451	Beds/Units

Sources: 2009 Homeless Census and Survey, Applied Survey Research, January 2011;

2011 Santa Clara County Continuum of Care Application; BAE, 2011. 2013 City of San Jose Homeless Census and Survey estimated availability date is 6/15/13

## **Section 9: Appendices**

### **Appendix B: Public Notice and Public Testimony / Community Input**

## **Section 9: Appendices**

1. Original Schedule of Hearings Published in December 2012

# Section 9: Appendices



## San Jose Mercury News

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SAN JOSE, CALIFORNIA 95190  
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CLARA STREET, 2ND FLOOR  
SAN JOSE CA 95113

FILE NO. S.Guzzetta

In the matter of

The San Jose Mercury News

The undersigned, being first duly sworn, deposes and says: That all times hereinafter mentioned affiant was and still is a citizen of the United States, over the age of eighteen years, and not a party to or interested in the above entitled proceedings; and was at during all said times and still is the principal clerk of the printer/publisher of the San Jose Mercury News, a newspaper of general circulation printed and published daily in the city of San Jose, said County of Santa Clara, State of California as determined by the court's decree dated June 27, 1952, case numbers 84096, 84097, and that said San Jose Mercury News is and was at all times herein mentioned a newspaper of general circulation as term is defined by Sections 6000 and following, of the Government Code of the State of California and, as provided by said sections published for the dissemination of local or telegraphic news of intelligence of a general character, having a bona fide subscriber list of paying subscribers, and is not devoted to the interests published for the entertainment or instruction of a particular class, professional, trade, calling, race or denomination, or for entertainment and instruction of any number of such classes, professionals, trades, callings, races or denominations; that at times said newspaper has been established, printed and published in the said city of San Jose in said County and State at regular intervals for more than one year preceding the first publication of the notice herein mentioned. Said decree has not been revoked or set aside.

I declare that the notice, of which the annexed is a true printed copy, has been published in each regular or entire issue of said newspaper and not in any supplement thereof on the following dates, to wit:

12/21/2012

Dated at San Jose, California  
12/21/12

I declare under penalty of perjury that the foregoing is true and correct.

Signed

Principal clerk of the printer and publisher of the San Jose Mercury News.

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#### NOTICE OF HEARINGS & MEETINGS FOR THE FY 2013-2014 CONSOLIDATED ANNUAL ACTION PLAN (CAAP)

THE CITY OF SAN JOSE WILL BE DEVELOPING ITS FY 2013-14 CONSOLIDATED ANNUAL ACTION PLAN BETWEEN JANUARY AND MAY 2013. THIS NOTICE PROVIDES A SCHEDULE OF HEARINGS AND OPPORTUNITIES FOR PUBLIC COMMENT DURING THIS PROCESS.

The Consolidated Annual Action Plan (CAAP) outlines the City's housing and community development needs, and provides a one-year action plan on how the City intends to use its federal entitlement funds in order to address those needs. These funds, which include Community Development Block Grant, Emergency Shelter Grant, HOME Investment Partnerships, and Housing Opportunities for Persons with AIDS, are anticipated to equal up to \$12 million for FY 2013-14. The CAAP is informed by public feedback. If you would like to provide comments, the City welcomes your attendance at any of the meetings listed in the schedule below. Additionally, comments may be provided by phone, regular mail, or email via the contact information listed at the bottom of this notice for both English speakers and those with limited English proficiency.

##### Schedule of Hearings & Meetings for Public Comment on the FY 2013-14 Consolidated Annual Action Plan

What	Who	Where	When
Hearing on San Jose's Housing and Community Development Needs for FY 2013-14 CAAP	Housing and Community Development Advisory Commission	San Jose City Hall 200 E. Santa Clara Street Room W-118,119 (Wing)	January 10, 2013 5:45 p.m.
Opportunity for Public Input on Needs & Funding for FY 2013-14 CAAP	Housing and Community Development Advisory Commission	San Jose City Hall 200 E. Santa Clara Street Room W-118,119 (Wing)	February 14, 2013 5:45pm
Opportunity for Public Input on Needs & Funding for FY 2013-14 CAAP	Housing and Community Development Advisory Commission	San Jose City Hall 200 E. Santa Clara Street Room W-118,119 (Wing)	March 14, 2013 5:45 p.m.
Release of Draft FY 2013-14 CAAP for public review and begin 30-day public comment period	Visit the Housing Department's website at: <a href="http://www.sanjoseca.gov/housing">http://www.sanjoseca.gov/housing</a> to find electronic copies or call (408) 793-5542 or (408) 294-9337 (TTY) for paper copies	City of San Jose - Housing 200 E. Santa Clara St-12 <sup>th</sup> Floor, San Jose, CA 95113	March 28, 2013 to April 30, 2013
First Council Hearing of the Draft FY 2013-14 CAAP	San Jose City Council	San Jose City Hall 200 E. Santa Clara Street City Council Chambers	April 2, 2013 1:30 p.m.
Review and approval of the draft FY 2013-14 CAAP	Housing and Community Development Advisory Commission	San Jose City Hall 200 E. Santa Clara St. Room W - 118, 119 (Wing)	April 11, 2013 5:45 p.m.
Second Council Hearing and approval of the FY 2013-14 CAAP	San Jose City Council	San Jose City Hall 200 E. Santa Clara Street City Council Chambers	April 30, 2013 1:30 p.m.

**CONTACT INFORMATION:** For questions regarding the FY 2013-14 CAAP, please contact Daniel Murillo at 408-793-4195 or [daniel.murillo@sanjoseca.gov](mailto:daniel.murillo@sanjoseca.gov). Public comments can be submitted in the form of statements made at the above listed meetings, in writing to the City of San Jose Housing Department, 200 E. Santa Clara Street, 12<sup>th</sup> Floor, San Jose, California 95113, or submitted via electronic mail to the email address listed above. To request an accommodation for any of the meetings or an alternative format for any related printed materials, please call (408) 294-9337 (TTY) as soon as possible, but at least three business days before the meeting. All public meetings are accessible to those with mobility impairments.

**PARA RESIDENTES QUE HABLAN ESPAÑOL:** Este anuncio es referente al Plan de Acción Anual para 2013-2014. El Plan Consolidado Identifica las necesidades de viviendas y del desarrollo comunitario para la ciudad, también lista prioridades y adopta estrategias para cumplir fondos federales. Para más información, favor de llamar a Tereasa Moreno (408) 975-4448.

**對住戶瞭解的聖荷西市民:** 此公告是關於2013-2014 財政年度聯邦政府房屋及都市發展部的撥款計畫年度執行計畫。綜合計畫一年度行動計劃列出本社區發展的需求，並為本市如何滿足這些需求規劃為第一年的計畫。若有任何查詢，請聯絡姚安太 (408) 975-4450。粵語請聯絡 Yen Tse (408) 975-4425。

**Dành cho những người cư trú nói tiếng Việt:** Đây là thông cáo và các cuộc điều tra liên quan tới Chương trình Công cụ và Phát triển Cộng tác Niên-khoa 2013-14. Chương trình kế trên nêu rõ các nhu cầu thuộc phạm vi Phát triển Cư cư và Công đồng của Thành phố, đồng thời cung ứng chương trình phát động công tác trung ương một năm nhằm giúp Thành phố tạo lập xã hội cùng giàu có như thế nào. Quý vị nào muốn biết thêm chi tiết, xin vui lòng liên lạc với Theresa Tran, điện thoại số (408) 793-5542.

**PARA SA RESIDENTE NA ANG WIKANG TAGALOG:** Patanawa tungkol sa panahon na pagpapal nang publiko sa akayon ng "Consolidated Plan" sa taong 2013-14. Ang kasulatan ay ipinapakita tungkol sa housing and community development ay para sa isang taon na plano ulol sa pangangailangan ng komunidad. Para sa karagdagang impormasyon, tawagan si Arlene Silveira sa 408-793-5542.

Section 9: Appendices

RECEIVED  
San Jose City Clerk

2013 JAN -2 PM 2: 24

# LA OFERTA

**Date:** December 21, 2012 **Invoice #:** 1221CSJ

**Bill To:** Suzanne Guzzetta  
Office of the City Clerk  
City of San Jose  
200 E. Santa Clara St.  
San Jose, CA 95113

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**INSERTION DESCRIPTION**

<b><u>AD TYPE &amp; SIZE:</u></b>	Display Ads
<b><u>AD DESCRIPTION:</u></b>	Notice of Hearings and Meetings for the FY 2013-2014 Consolidated Annual Action Plan
<b><u>INSERTION ORDER #:</u></b>	Approval given by Suzanne Guzzetta
<b><u>INSERTION DATES:</u></b>	December 21, 2012
<b><u>SPECIAL INSTRUCTIONS:</u></b>	Translated ads to run in La Oferta, China Press, Philippine News, and Thoi Bao (\$1,200 per ad)

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**TOTAL DUE:**

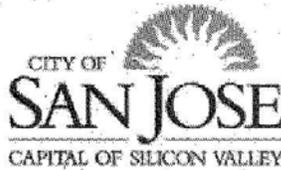
*Thank you for your order. I appreciate your business.*

Send Payment To: La Oferta  
1376 N. 4th Street  
San Jose, CA 95112  
Tax I.D. #77-0369180

**If you have any questions about this bill or other bills,  
please call Frank Andrade at (408) 436-7850 ext. 11.**

## Section 9: Appendices

Theo các chuyên gia, các  
đừng quên: thực phẩm có thể là  
nhưng chuyên viên không có máy  
ra những thực sự, chúng sẽ nhìn



### THÔNG BÁO NHỮNG BUỔI ĐIỀU TRẦN & CUỘC HỌP CHO TÀI KHÓA NĂM 2013-2014 KẾ HOẠCH HÀNH ĐỘNG HỢP NHẤT THƯỜNG NIÊN (CAAP)

CITY OF  
**SAN JOSE**  
CAPITAL OF SILICON VALLEY

**THÀNH PHỐ SAN JOSÉ SẼ KHAI TRIỂN TÀI KHÓA CHO  
NĂM 2013-14 KẾ HOẠCH HÀNH ĐỘNG HỢP NHẤT THƯỜNG  
NIÊN TỪ THÁNG 1 VÀ THÁNG 5 NĂM 2013. THÔNG BÁO NÀY CUNG CẤP LỊCH TRÌNH  
NHỮNG BUỔI ĐIỀU TRẦN VÀ LÀ CƠ HỘI ĐỂ BIẾT Ý KIẾN CÔNG CHÚNG TRONG QUÁ  
TRÌNH NÀY.**

Kế Hoạch Hành Động Hợp Nhất Hàng Năm (CAAP) vạch ra nhu cầu phát triển nhà ở và cộng đồng của thành phố, và cung cấp một kế hoạch hành động một-năm về cách thành phố dự định sử dụng quỹ liên bang được hưởng của mình để giải quyết những nhu cầu đó. Các quỹ này, trong đó bao gồm Chương Trình Phát Triển Cộng Đồng, Chương Trình Căn Nơi Tạm Trú Khẩn Cấp, HOME Quỹ Hỗ Trợ Đầu Tư, và Cơ Hội Nhà Ở Cho Người Bệnh AIDS, được dự đoán chi phí lên đến \$12 triệu Mỹ kim cho năm tài chính 2013-14. Chương Trình CAAP cần ý kiến của công chúng. Nếu bạn muốn đóng góp ý kiến, thành phố hoan nghênh tham gia của bạn tại bất kỳ cuộc họp nào được liệt kê trong lịch trình dưới đây. Ngoài ra, ý kiến có thể cung cấp qua điện thoại, thư thường hoặc email thông qua thông tin liên lạc được liệt kê ở dưới cùng của thông báo này cho cả hai ngôn ngữ tiếng Anh và những người có trình độ tiếng Anh hạn chế.

#### Lịch Trình Những Buổi Điều Trần & Cuộc Họp Về Ý Kiến Công Chúng Cho Kế Hoạch Hành Động Hợp Nhất Thường Niên Tài Chính Năm 2013-14

Việc gì	Ai	Nơi đâu	Khi nào
Nghe nhà ở San José và nhu cầu phát triển cộng đồng cho năm tài chính 2013-14 CAAP	Nhà ở và Phát Triển Cộng Đồng Tư Vấn Ủy Ban	Tòa Thị Chính San José 200 E. Santa Clara St Phòng W-118,119 (Wing)	<b>10 tháng Một 2013</b> 5:45 chiều
Cơ hội cho đầu vào của công chúng về nhu cầu và tài trợ cho năm tài chính 2013-14 CAAP	Nhà ở và Phát Triển Cộng Đồng Tư Vấn Ủy Ban	Tòa Thị Chính San José 200 E. Santa Clara St Phòng W-118,119 (Wing)	<b>14 tháng Hai, 2013</b> 5:45 chiều
Cơ hội cho đầu vào của công chúng về nhu cầu và tài trợ cho năm tài chính 2013-14 CAAP	Nhà ở và Phát Triển Cộng Đồng Tư Vấn Ủy Ban	Tòa Thị Chính San José 200 E. Santa Clara St Phòng W-118,119 (Wing)	<b>14 Tháng Ba, 2013</b> 5:45 chiều
Phát hành Dự thảo năm tài chính 2013-14 CAAP cho công chúng xem xét và bắt đầu giai đoạn lấy ý kiến công chúng 30-ngày	Ghé thăm trang web của Bộ Gia Cư tại <a href="http://www.sjhousing.org/report/conplan.html">http://www.sjhousing.org/report/conplan.html</a> để tìm thấy bản sao điện tử hoặc gọi (408) 793-5542 hoặc (408) 294-9337 (TTY) cho các bản sao giấy	Thành phố San José – Sở Gia Cư 200 E. Santa Clara St 12th Floor, San José, CA 95113	<b>28 tháng Ba, 2013</b> đến <b>30 tháng Tư, 2013</b>
Đầu tiên Hội Đồng Xét Xứ các năm tài chính Dự Thảo 2013-14 CAAP	Hội Đồng Thành Phố San José	Tòa Thị Chính San José 200 E. Santa Clara St Phòng Hợp Hội Đồng Thành Phố	<b>2 tháng Tư, 2013</b> 1:30 trưa
Xem xét và phê duyệt dự thảo năm tài chính 2013-14 CAAP	Nhà Ở và Phát Triển Cộng Đồng Tư Vấn Ủy Ban	Tòa Thị Chính San José 200 E. Santa Clara St Phòng W-118,119(Wing)	<b>11 tháng Tư, 2013</b> 5:45 chiều
Thứ hai Hội đồng thỉnh và phê duyệt của năm tài chính 2013-14 CAAP	Hội Đồng Thành Phố San José	Tòa Thị Chính San José 200 E. Santa Clara St Phòng Hợp Hội Đồng Thành Phố	<b>30 tháng Tư, 2013</b> 1:30 trưa

**THÔNG TIN LIÊN LẠC** Đối với các câu hỏi liên quan đến năm tài chính 2013-14 CAAP, xin vui lòng liên lạc với Daniel Murillo tại **408-793-4195** hoặc [daniel.murillo@sanjoseca.gov](mailto:daniel.murillo@sanjoseca.gov). Ý kiến công chúng có thể được nộp dưới hình thức báo cáo được thực hiện tại các cuộc họp được liệt kê ở trên, trong văn bản cho thành phố của Cục Nhà ở San José, 200 E. Santa Clara Street, Tầng 12, San José, California 95113, hoặc gửi qua thư điện tử cho địa chỉ email được liệt kê ở trên. Để yêu cầu chỗ cho bất kỳ của các cuộc họp hoặc định dạng một sự thay thế cho bất kỳ tài liệu liên quan phổ biến in ấn, xin gọi (408) 294-9337 (TTY) càng sớm càng tốt, nhưng ít nhất ba ngày làm việc trước cuộc họp. Tất cả các cuộc họp công cộng đều tiện nghi cho những người khuyết tật.

Hân

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CECA-001797 CECA-000421

图谋“借力”日、澳、印和东盟，共同对付中国。但是随着时代的变迁与中国“和谐周边”政策的影响，除个  
 兴发展中国家外汇储备缩水，进口大宗商品付出增加，从而导致“输入型通货膨胀”。

明乎此，美国何不以“老大”身份在世界和平、发展与合作方面多作积极贡献？

原因，展望中美关系前景，应该可以比较审慎乐观，建立新型大国关系并非没有可能。

基是针对于中美能否建立新型大国关系而言的，也是为了美国的根本利益。在这方面（不去寻求霸权），中国有困难吗？没有。美国呢？有！



## 關於 2013–2014 年聯邦政府房屋及都市發展部的綜合年度財政計劃聽證會公告

聖荷西市將在 2013 年 1 月到 5 月間出台 2013–2014 年聯邦政府房屋及都市發展部的綜合年度計劃 (FY 2013–2014 CONSOLIDATED ANNUAL ACTION PLAN)。此公告是對該計劃公共聽證會時間表的說明。

聯邦政府房屋及都市發展部綜合年度計劃為期一年，致力於滿足城市住房和社區發展需求，該計劃資金高達 1200 萬美元，來源于聯邦政府，將用于社區專款發展補助計劃，應急庇護所計劃，房地產投資計劃，艾滋病人居住機會計劃等。以下為近期關於該計劃聽證會的時間表。該法案非常重視市民的意見與參與，歡迎市民參加以下聽證會，說英語者及英語能力有限者都可通過聯繫信息中的電話，郵件及信件提供寶貴意見。

### 2013–2014 年聯邦政府房屋及都市發展部的綜合年度財政計劃公共聽證會時間安排表

內容	主辦方	地點	時間
2013–2014 CAAP 與聖荷西住房與社區發展	城市及社區設計委員會 (Housing and Community Development Advisory Commission)	San José City Hall 200 E. Santa Clara Street Room W-118,119 (Wing)	2013 年 1 月 10 日 下午 5 點 45
市民對於 CAAP 的資金分配意見	城市及社區設計委員會 (Housing and Community Development Advisory Commission)	San José City Hall 200 E. Santa Clara Street Room W-118,119 (Wing)	2013 年 2 月 14 日 下午 5 點 45
市民對於 CAAP 的資金分配意見	城市及社區設計委員會 (Housing and Community Development Advisory Commission)	San José City Hall 200 E. Santa Clara Street Room W-118,119 (Wing)	2013 年 3 月 14 日 下午 5 點 45
發佈 2013–14CAAP 草案 (30 天公眾評論期開始)	進入 Housing Department 的網站 <a href="http://www.sjhousing.org/report/conplan.html">http://www.sjhousing.org/report/conplan.html</a> 提供電子版草案或致電 (408) 793-5542 或 (408) 294-9337 (TTY) 索取紙質草案	City of San José - Housing 200 E. Santa Clara St. -12th Floor, San José, CA 95113	2013 年 3 月 28 日到 4 月 30 日
2013–2014CAAP 草案第一次議會聽證會	聖荷西市議會	San José City Hall 200 E. Santa Clara Street City Council Chambers	2013 年 4 月 2 日 下午 1 點 30
回顧及通過 2013–14CAAP 草案	城市及社區設計委員會 (Housing and Community Development Advisory Commission)	San José City Hall 200 E. Santa Clara St. Room W - 118, 119 (Wing)	2013 年 4 月 11 日 下午 5 點 45
第二次議會聽證會 通過 2013–14CAAP 草案	聖荷西市議會	San José City Hall 200 E. Santa Clara Street City Council Chambers	2013 年 4 月 30 日 下午 1 點 30

聯繫信息：有關 2013–2014 聯邦政府房屋及都市發展部的綜合年度財政計劃問題，請致電 408-793-4195 聯繫 Daniel Murillo 或發郵件至 [daniel.murillo@sanjoseca.gov](mailto:daniel.murillo@sanjoseca.gov)。來信請寄地址：the City of San José Housing Department。

## Section 9: Appendices

soy mexicano decidí en México. Decidí que pintor, iba a aprender a



na forma formal y acabarlo que me matriculé en la llamada 'La Esmeralda' la Escuela Nacional de cultura y Grabado. Fue en los 60' por profesores de la antigua escuela que rechazaron el movimiento de los pintores y estableciendo una nueva a la que llamaron 'la', que es una forma 'libre' que no está interrepresentar los objetos. Allí fue donde aprendí a pintar y por lo que le llaman 'mín' (serie de cursos entre ellos, escultura y grabado). Cuando comencé a pintar me di cuenta que podía utilizarlos como un medio de

riormente, yo no había encontrado el interés y el arte me proporcionó una salida artística. Empecé a pintar en mí mismo. Pintando en voz interior que se va desafiando con los prejuicios sociales que uno tiene que poder vivir entre las personas de la sociedad. Me gustó, me dio gusto que yo había escogido las cosas, las cosas no tenían que presentarse en una sola forma entre mi libertad". Me gusta rehusa explicarnos los motivos de los cuadros porque dice

Por falta de espacio no podemos describir sus pinturas pero lo haremos con "El Arca de Ilusión", que fue el cuadro que más me gustó.

**¿Qué nos puede decir sobre "El Arca"?**

"Pintado al óleo con pigmento y aceite de linaza, es un cuadro que ha viajado, de Estados Unidos a México y de regreso muchas veces.

tiene viajes, jornadas, amor, frivolidades... es la vida. En composición es un homenaje a un pintor que me gusta, Joaquín Torres García, un uruguayo que viaja por el mundo con toda su familia. El cuadro está hecho al tipo de reglas compositivas que utiliza Torres García, es lúdico".

Javier viaja seguido a México donde su carrera, que él le llama "mi

de Artistas de la misión: [missionartistsunited.org/artists/107](http://missionartistsunited.org/artists/107).

Javier Manrique radica en San Francisco donde es el Presidente del Proyecto Artaud situado en 499 Alabama St. en dicha ciudad.

**Otros artículos de Íride Aparicio los puede visitar en:** [www.culturalworldbilingual.com](http://www.culturalworldbilingual.com)



### NOTIFICACIÓN DE AUDIENCIAS Y REUNIONES PARA EL PLAN CONSOLIDADO DE ACCIÓN ANUAL FY 2013-2014 (CAAP)

**LA CIUDAD DE SAN JOSÉ DESARROLLARÁ SU PLAN CONSOLIDADO DE ACCIÓN ANUAL PARA EL AÑO FISCAL 2013-14 ENTRE ENERO Y MAYO DEL 2013. ESTA NOTIFICACIÓN PROPORCIONA UN CALENDARIO DE AUDIENCIAS Y OPORTUNIDADES PARA COMENTARIOS DEL PÚBLICO DURANTE ESTE PROCESO.**

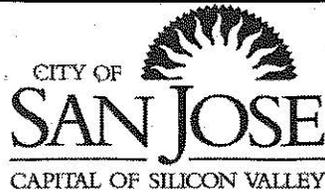
El Plan Consolidado de Acción Anual (CAAP, siglas en inglés) describe las necesidades de desarrollo de vivienda y comunidad de la Ciudad y ofrece un Plan de Acción de un año sobre cómo la Ciudad intenta utilizar sus fondos de derecho federal para enfocarse en estas necesidades. Estos fondos, que incluyen la Subvención del Bloque de Desarrollo Comunitario, la Subvención de Refugio de Emergencia, Sociedades de Inversión de CASA (HOME, siglas en inglés), y Oportunidades de Alojamiento para Personas con SIDA, se prevé utilizar hasta \$12 millones para el Año Fiscal 2013-14. El CAAP es informado por la opinión pública. Si desea proporcionar comentarios, la Ciudad da la bienvenida a su asistencia en cualquiera de las reuniones enumeradas en la lista que damos a continuación. Además, los comentarios pueden darse por teléfono, correo postal o correo electrónico a través de la información de contacto que aparece en la parte inferior de este aviso para hablantes de inglés y aquellos con conocimiento limitado del inglés.

#### Programa de audiencias y reuniones para comentarios del público sobre el Plan Consolidado de Acción Anual para el Año Fiscal 2013-14

¿Qué?	¿Quién?	¿Dónde?	¿Cuándo?
Audiencia sobre Vivienda y las necesidades de Desarrollo de la Comunidad de San José para el CAAP del Año Fiscal 2013-14	Comisión Asesora de Vivienda y Desarrollo de la Comunidad	Alcaldía de la Ciudad de San José - 200 E. Santa Clara Street, Room W-118, 119 (Wing)	10 de enero del 2013 5:45 p.m.
Oportunidad para comentarios del público sobre las Necesidades y Financiación para el CAAP del Año Fiscal 2013-14	Comisión Asesora de Vivienda y Desarrollo de la Comunidad	Alcaldía de la Ciudad de San José - 200 E. Santa Clara Street, Room W-118, 119 (Wing)	14 de febrero del 2013 5:45pm
Oportunidad para comentarios del público sobre las Necesidades y Financiación para el CAAP del Año Fiscal 2013-14	Comisión Asesora de Vivienda y Desarrollo de la Comunidad	Alcaldía de la Ciudad de San José - 200 E. Santa Clara Street, Room W-118, 119 (Wing)	14 de marzo del 2013 5:45 p.m.
Lanzamiento del Borrador del Plan Consolidado de Acción Anual del Año Fiscal 2013-14 para revisión del public y dar inicio al periodo del 30 días para comentarios	Visite el Departamento de Vivienda en la página de Internet en: <a href="http://www.sjhousing.org/report/complan.html">http://www.sjhousing.org/report/complan.html</a> para recibir copias electrónicas o llamar al (408) 793-5542 or (408) 294-9337 (TTY)	Vivienda - Ciudad de San José - 200 E. Santa Clara St.-12th Floor, San José, CA 95113	28 de marzo del 2013 hasta el 30 de abril del 2013
Primera Audiencia del Concilio para el Borrador del Plan Consolidado de Acción Anual del Año Fiscal 2013-14	Concilio de la Ciudad de San José	Alcaldía de la Ciudad de San José - 200 E. Santa Clara Street, Salón de Juntas del Concilio de la Ciudad	2 de abril del 2013 1:30 p.m.
Revisión y Aprobación del Borrador del Plan Consolidado de Acción Anual del Año Fiscal 2013-14	Comisión Asesora de Vivienda y Desarrollo de la Comunidad	Alcaldía de la Ciudad de San José - 200 E. Santa Clara Street, Room W-118, 119 (Wing)	11 de abril del 2013 5:45 p.m.
Segunda Audiencia del Concilio y Aprobación del Plan Consolidado de Acción Anual del Año Fiscal 2013-14	Concilio de la Ciudad de San José	Alcaldía de la Ciudad de San José - 200 E. Santa Clara Street, Salón de Juntas del Concilio de la Ciudad	30 de abril del 2013 1:30 p.m.

**INFORMACIÓN DE CONTACTO:** Para preguntas acerca del CAAP del Año Fiscal 2013-14, por favor de contactar a Daniel Murillo al (408) 793-4195 ó [daniel.murillo@sanjoseca.gov](mailto:daniel.murillo@sanjoseca.gov). El público está invitado a asistir a cualquiera de las reuniones mencionadas o proporcionar comentarios escritos al Departamento de Vivienda de la Ciudad de San José, ubicado en 200 E. Santa Clara Street, T-12 San José, CA 95113, o enviar por correo electrónico a la dirección mencionada anteriormente. Para solicitar un alojamiento para cualquiera de las reuniones o un formato alternativo para cualquier material impreso relacionado, por favor llame (408) 294-9337 (TTY) tan pronto como sea posible, pero por lo menos tres días hábiles antes de la reunión. Todas las reuniones públicas son accesibles para personas con impedimentos de movilidad.

## Section 9: Appendices



### PAUNAWA NG PAGDINIG AT PAGPUPULONG PARA SA FY 2013-2014 CONSOLIDATED ANNUAL ACTION PLAN (CAAP)

**ANG LUNSOD NG SAN JOSE AY MAGBUBUO NG KANYANG FY 2013-14 CONSOLIDATED ANNUAL ACTION PLAN SA PAGITAN NG ENERO AT MAYO 2013. ANG PAUNAWANG ITO AY NAGBIBIGAY NG ISANG ISKEDYUL NG MGA PAGDINIG AT MGA PAGKAKATAON PARA SA MGA KOMENTO HABANG NASA PROSESONG ITO.**

Binabalangkas ng Consolidated Annual Action Plan (CAAP) ang pangangailangan ng pag-unlad ng pabahay at komunidad ng Lunsod, at nagbibigay ng isang isang-taon na plano ng pagkilos sa kung paano nais na gamitin ng Lunsod ang mga pederal na pondo ng karapatan upang tugunan ang mga pangangailangan. Mga pondong ito, na kinabibilangan ng Development Block Grant ng Komunidad, Emergency Shelter Grant, HOME Investment Partnership, at Housing Opportunities for Persons with AIDS, ay inaasahang upang pumatas hanggang sa \$12,000,000 para sa FY 2013-14. Ipinaalam sa CAAP ang pampublikong feedback. Kung nais mong upang magbigay ng mga komento, inaanyayahan ng lunsod ang iyong pagdalo sa anumang ng pulong na nakalista sa iskedyul sa ibaba. Bukod pa rito, ang mga komento ay maaaring ibigay sa pamamagitan ng telepono, regular na mail, o email sa pamamagitan ng impormasyon ng contact na nakalista sa ilalim ng paunawang ito para sa parehong mga hagsasalita ng Ingles at mga may limitadong kasanayan sa Ingles.

#### Iskedyul ng Pagdinig at Pulong para sa Pampublikong Komento sa FY 2013-14 Consolidated Annual Action Plan

ANO	SINO	SAAN	KAILAN
Hearing sa San José mga Development Pangangailangan sa Pabahay at Komunidad para sa FY 2,013-14 CAAP	Pabahay at Community Development Advisory Commission	San José City Hall 200 E. Santa Clara Street, Room W-118, 119 (Wing)	January 10, 2013 5:45 ng hapon
Pagkakataon para sa Public Input sa mga Pangangailangan & Pagpopondo para sa FY 2,013-14 CAAP	Pabahay at Community Development Advisory Commission	San José City Hall 200 E. Santa Clara Street, Room W-118, 119 (Wing)	Pebrero 14, 2013 5:45 ng hapon
Pagkakataon para sa Public Input sa mga Pangangailangan & Pagpopondo para sa FY 2013-14 CAAP	Pabahay at Community Development Advisory Commission	San José City Hall 200 E. Santa Clara Street, Room W-118, 119 (Wing)	Marso 14, 2013 5:45 ng hapon
Bitawan ng Draft ng FY 2013-14 CAAP para sa pampublikong pagsusuri at simulan ang 30-araw na pampublikong komento ng panahon	Bisitahin ang Pabahay Department website sa <a href="http://www.sjhousing.org/report/conplan.html">http://www.sjhousing.org/report/conplan.html</a> sa makahanap ng mga electronic na kopya o tumawag sa (408) 793-5542 o (408) 294-9337 (TTY) para sa mga kopya papel	Lungsod ng San José - Pabahay. 200 E. Santa Clara St- 12th Floor, San José, CA 95,113	Marso 28, 2013, hanggang Abril 30, 2013
Unang Konseho Pagdinig ng Draft FY 2013-14 CAAP	San José Konseho ng Lunsod	San José City Hall 200 E. Santa Clara Street, City Council Chambers	Abril 2, 2013 1:30 ng hapon
Pagsusuri at pag-apruba ng draft FY 2013-14 CAAP	Pabahay at Community Development Advisory Commission	San José City Hall 200 E. Santa Clara Street, Room W-118, 119 (Wing)	Abril 11, 2013 5:45 ng hapon
Ikalawang Konseho Pagdinig at pag-	San José Konseho ng	San José City Hall	Abril 30, 2013

## **Section 9: Appendices**

### 2. Revised Schedule of Hearings Published on March 22, 2013

# Section 9: Appendices

## San Jose Mercury News

750 RIDDER PARK DRIVE  
SAN JOSE, CALIFORNIA 95190  
408-920-5332

### PROOF OF PUBLICATION

IN THE  
CITY OF SAN JOSE  
STATE OF CALIFORNIA  
COUNTY OF SANTA CLARA

SAN JOSE, CITY OF  
OFFICE THE CITY GROUP/BRENDA CHARLES, 200 E  
SANTA CLARA STREET, 2N  
SAN JOSE CA, 95113  
**FILE NO. S.Guzzetta**

In the matter of  
The San Jose Mercury News

The undersigned, being first duly sworn, deposes and says: That at all times hereinafter mentioned affiant was and still is a citizen of the United States, over the age of eighteen years, and not a party to or interested in the above entitled proceedings; and was at and during all said times and still is the principal clerk of the printer and publisher of the San Jose Mercury News, a newspaper of general circulation printed and published daily in the city of San Jose in said County of Santa Clara, State of California as determined by the court's decree dated June 27, 1952, case numbers 84096 and 84097, and that said San Jose Mercury News is and was at all times herein mentioned a newspaper of general circulation as that term is defined by Sections 6000 and following, of the Government Code of the State of California and, as provided by said sections, is published for the dissemination of local or telegraphic news and intelligence of a general character, having a bona fide subscription list of paying subscribers, and is not devoted to the interests or published for the entertainment or instruction of a particular class, professional, trade, calling, race or denomination, or for the entertainment and instruction of any number of such classes, professionals, trades, callings, races or denominations; that at all times said newspaper has been established, printed and published in the said city of San Jose in said County and State at regular intervals for more than one year preceding the first publication of the notice herein mentioned. Said decree has not been revoked, vacated or set aside.

I declare that the notice, of which the annexed is a true printed copy, has been published in each regular or entire issue of said newspaper and not in any supplement thereof on the following dates, to wit:

3/22/2013

Dated at San Jose, California  
03/22/13

I declare under penalty of perjury that the foregoing is true and correct.

Signed *[Signature]*  
Principal clerk of the printer and publisher of the San Jose Mercury News.

Legal No. 0004793681

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San Jose City Clerk

2013 MAR 26 AM 9:34

4 1/4" x 5"



**CITY OF SAN JOSE**  
CAPITAL OF SILICON VALLEY

**REVISED NOTICE OF HEARINGS & MEETINGS FOR THE  
FY 2013-2014 CONSOLIDATED ANNUAL ACTION PLAN (CAAP)**

**THIS NOTICE PROVIDES A REVISED SCHEDULE OF HEARINGS AND OPPORTUNITIES FOR  
PUBLIC COMMENT ON THE CITY OF SAN JOSE HOUSING DEPARTMENT'S FY 2013-2014  
CONSOLIDATED ANNUAL ACTION PLAN DOCUMENT.**

The City previously published a notice of hearings for its CAAP on December 21, 2012. The notice announced that the first City Council hearing would be held on April 2, 2013. The City Council meeting on that date has been cancelled. Hence the date of the first hearing at the City Council has been rescheduled from April 2, 2013 to April 9, 2013. All other published dates remain unchanged.

The Consolidated Annual Action Plan (CAAP) outlines the City's housing and community development needs, and provides a one-year action plan on how the City intends to use its federal entitlement funds in order to address those needs. These funds, which include Community Development Block Grant, Emergency Shelter Grant, HOME Investment Partnerships, and Housing Opportunities for Persons with AIDS, are anticipated to equal up to \$12 million for FY 2013-14.

The revised schedule of hearings is listed below and can also be viewed at the Housing Department's website at [www.shousing.org](http://www.shousing.org). For any questions regarding this re-scheduling or for any other questions regarding the Consolidated Annual Action Plan (CAAP), please contact Daniel Murrillo at 408-789-4195 or [daniel\\_murrillo@sanjoseca.gov](mailto:daniel_murrillo@sanjoseca.gov).

**Revised Schedule of Hearings & Meetings for Public Comment on the FY 2013-14 Consolidated Annual Action Plan**

Item	Where	When	When
Release of Draft FY 2013-14 CAAP for public review and 30-day public comment period	Visit the Housing Department's website at <a href="http://dcaap.housing.org/annualactionplan">http://dcaap.housing.org/annualactionplan</a> or call (408) 793-8542 or (408) 294-4937 (T 7) for paper copies.	City of San Jose - Housing 200 E. Santa Clara St - 12 <sup>th</sup> Floor, San Jose, CA 95113	March 29, 2013 to April 30, 2013
First Council Hearing of the Draft FY 2013-14 CAAP	San Jose City Council	San Jose City Hall 200 E. Santa Clara Street Street City Council Chambers	April 9, 2013 1:30 p.m. (originally scheduled for April 2, 2013)
Review and approval of the draft FY 2013-14 CAAP	Housing and Community Development Advisory Commission	San Jose City Hall 200 E. Santa Clara St. Room W - 118, 119 Wing	April 11, 2013 5:45 p.m.
Second Council Hearing and approval of the FY 2013-14 CAAP	San Jose City Council	San Jose City Hall 200 E. Santa Clara Street City Council Chambers	April 30, 2013 1:30 p.m.

Section 9: Appendices

	
RECEIVED San Jose City Clerk 2013 MAR 25 AM 8:55	
<b>Date:</b> <u>March 22, 2013</u>	<b>Invoice #:</b> <u>322CSJ</u>
<b>Bill To:</b>	Suzanne Guzzetta Office of the City Clerk City of San Jose 200 E. Santa Clara St. San Jose, CA 95113
<b>INSERTION DESCRIPTION</b>	
<b><u>AD TYPE &amp; SIZE:</u></b>	Display Ads
<b><u>AD DESCRIPTION:</u></b>	Revised Notice of Hearings & Meetings for 2013-2013 Consolidated Annual Action Plan
<b><u>INSERTION ORDER #:</u></b>	Approval given by Suzanne Guzzetta
<b><u>INSERTION DATES:</u></b>	March 22, 2013
<b><u>SPECIAL INSTRUCTIONS:</u></b>	Translated ads to run in La Oferta, China Press, Philippine News, and Thoi Bao
 <i>Thank you for your order. I appreciate your business.</i>	
<b>Send Payment To:</b>	La Oferta 1376 N. 4th Street San Jose, CA 95112 Tax I.D. #77-0369180
<b>If you have any questions about this bill or other bills, please call Frank Andrade at (408) 436-7850 ext. 11.</b>	

## Section 9: Appendices



House Speaker John Boehner gave his support for a provisional plan for U.S. immigration reform. Photo EFE

House Speaker John Boehner gave his support for a provisional plan for U.S. immigration reform, to be worked on by a bipartisan group of eight House members. "Last week the senior leaders met with our four members, who've been meeting with four Democrats now for over four years. And they're essentially in agreement over how to proceed," the Ohio Republican told a press conference. The provisional plan of the bipartisan group is "a pretty responsible solution" to the immigration problem in the United States, Boehner said. The No. 2 Democrat in the House, Steny Hoyer of Maryland, said the lawmakers are close to an agreement and predicted that the group's final bill will be ready "in the near term."

According to Boehner, the work of this group is "just the beginning of the process," given that more than half of the members of the House of Representatives have never been involved in the subject of immigration reform and, consequently, they will need "a lot of education" before any plan can go forward. Boehner avoided commenting on a path to citizenship for undocumented immigrants, included in plans already presented by the White House and a group of eight senators from both parties. EFE

confirmar el Senado. Pérez, que ocupaba el cargo de fiscal general adjunto para asuntos de derechos civiles desde 2009, sustituirá en el puesto a la también hispana Hilda Solís, quien dimitió el pasado mes de enero. En una ceremonia en la Casa Blanca, Obama subrayó que entre los principales objetivos de Pérez estarán el mejorar la calidad del empleo, la formación de los trabajadores y los salarios. El presidente añadió que nada mejor para hacer frente a esos retos que tener "un excelente secretario de Trabajo".

El presidente recordó que Pérez, de 51 años, fue secretario de Trabajo en el estado de Maryland, bajo el mandato demócrata del gobernador Martin O'Malley, donde ayudó a establecer un salario mínimo por ley. Destacó además que desde su posición al frente de la División de Derechos Civiles, Pérez ha luchado para abrir caminos a toda la fuerza laboral, incluidas las personas con discapacidad, la comunidad de gays, bisexuales y transexuales (LGBT), y los inmigrantes. También recordó que su elegido para dirigir el Departamento de Trabajo ha ayudado a resolver algunos de los



El presidente, Barack Obama, nominarlo como próximo secretario de la Casa Blanca. Foto EFE

"mayores casos" entre los y las familias afectadas por préstamos hipotecarios "inj... El presidente, que i... Senado a que confirme sin... a Pérez, destacó su historia... una persona de "consenso... mostró seguro de que realiz... "extraordinaria" labor al... del departamento en un m... en el que queda "mucho ti... Por su parte Pérez, agrad... español la confianza del pre

**CITY OF SAN JOSE**  
CAPITAL OF SILICON VALLEY

**NOTIFICACIÓN REVISADA DE LAS AUDIENCIAS Y REUNIONES DEL PLAN CONSOLIDADO DE ACCIÓN ANUAL FY 2013-2014 (CAAP, SIGLAS EN INGLÉS)**

**ESTA NOTIFICACIÓN PROVEE UN CALENDARIO REVISADO DE LAS AUDIENCIAS Y OPORTUNIDADES PARA COMENTARIOS DEL PÚBLICO SOBRE EL DEPARTAMENTO DE VIVIENDA DE LA CIUDAD DE SAN JOSÉ DEL DOCUMENTO DEL PLAN CONSOLIDADO DE ACCIÓN ANUAL FY 2013-2014**

La Ciudad publicó previamente un aviso de audiencia de su CAAP el 21 de diciembre del 2012. Esta notificación anunció que la primera audiencia del Concilio de la Ciudad se celebrará el 2 de abril del 2013. La reunión del Concilio de la Ciudad en esa fecha ha sido cancelada. Por lo tanto la fecha de la primera audiencia en el Concilio de la Ciudad ha sido reprogramada del 2 de abril del 2013 al 9 de abril de 2013. Todas las otras fechas publicadas permanecen iguales.

El Plan Consolidado de Acción Anual (CAAP, siglas en inglés) describe las necesidades de desarrollo de vivienda y de la comunidad de la Ciudad y ofrece un Plan de Acción de un año, sobre cómo la Ciudad pretende utilizar sus fondos de derecho federal para hacer frente a esas necesidades. Estos fondos, que incluyen la Donación del Bloque de Desarrollo Comunitario, Donación para Refugio de Emergencia, Sociedades de Inversión del Programa CASA y las Oportunidades de Vivienda para personas con SIDA, son previstos llegar hasta \$12 millones para el Año Fiscal 2013-14.

El calendario revisado de audiencias se enumera a continuación y también puede verse en el sitio web del Departamento de Vivienda en la página de Internet en: [www.sjhousing.org](http://www.sjhousing.org)

Para cualquier pregunta con respecto a este re-notificación o para cualquier otra pregunta sobre el Plan Consolidado de Acción Anual (CAAP, siglas en inglés), póngase en contacto con Daniel Murillo llamando al (408) 793-4195 ó [daniel.murillo@sanjoseca.gov](mailto:daniel.murillo@sanjoseca.gov)

Calendario Revisado para las Audiencias y Reuniones para Comentario del Público del Plan Consolidado de Acción Anual FY 2013-14

¿Qué?	¿Quién?	¿Dónde?	¿Cuándo?
Lanzamiento del Proyecto FY 2013-14 CAAP para revisión del público, comenzando un periodo de 30 días para comentarios del público	Visite el Departamento de Vivienda en su página de internet en: <a href="http://www.sjhousing.org/report/conplan.html">http://www.sjhousing.org/report/conplan.html</a> para encontrar las copias electrónicas o llamar al (408) 793-5542 ó (408) 294-8337 (TTY) por copias en papel.	Vivienda – Ciudad de San Jose 200 E. Santa Clara Street-12th Floor, San José, CA 95113	28 de marzo de 2013 hasta el 30 de abril del 2013
Primera Audiencia sobre el Borrador del Proyecto FY 2013-14 CAAP	Concilio de la Ciudad de San José	Alcaldía de la Ciudad de San José, 200 E. Santa Clara Street, Cámara del Concilio de la Ciudad	9 de abril del 2013, 1:30 p.m. (originalmente programado para el 2 de abril del 2013)
Revisión y Aprobación del Borrador FY 2013-14 CAAP	Vivienda y Comisión Consultiva del Desarrollo de la Comunidad	Alcaldía de la Ciudad de San José/ 200 E. Santa Clara St., Room W – 118, 119 (Wing)	11 de abril del 2013 5:45 p.m.
Segunda Audiencia del Concilio y Aprobación del FY 2013-14 CAAP	Concilio de la Ciudad de San José	Alcaldía de la Ciudad de San José, 200 E. Santa Clara Street, Cámara del Concilio	30 de abril del 2013 1:30 p.m.

**¡Viva la memoria de César Chávez!**

**¡Vivan los Campesinos!**

**¡Viva La Raza!**

**Jesús**  
Attorney

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# Section 9: Appendices



## SỬA ĐỔI THÔNG BÁO VỀ PHIÊN TÒA & CUỘC HỌP CHO NĂM TÀI CHÍNH 2013-2014 HỢP NHẤT THƯỜNG NIÊN KẾ HOẠCH HÀNH ĐỘNG (CAAP)

THÔNG BÁO NÀY cung cấp một lịch sửa đổi PHIÊN TÒA VÀ CƠ HỘI CHO CÔNG NHÂN XÉT VỀ THÀNH PHỐ FY SAN JOSE NHÀ CỤC CỦA năm 2013 - năm 2014 HỢP NHẤT THƯỜNG NIÊN TÀI LIỆU KẾ HOẠCH HÀNH ĐỘNG.

Thành phố công bố trước đây thông báo các phiên điều trần cho CAAP của nó vào ngày 21 tháng 12 năm 2012. Thông báo thông báo rằng buổi điều trần của Hội đồng thành phố đầu tiên sẽ được tổ chức vào ngày 02 tháng tư 2013. Cuộc họp Hội đồng thành phố vào ngày đó đã bị hủy. Do đó ngày phiên xử đầu tiên tại Hội Đồng Thành Phố đã được dời lại từ ngày 02 Tháng Tư năm 2013 đến ngày 09 tháng tư năm 2013. Tất cả các xuất bản ngày khác vẫn không thay đổi.

Kế hoạch hành động hợp nhất hàng năm (CAAP) vạch ra nhu cầu phát triển nhà ở và cộng đồng của thành phố, và cung cấp một kế hoạch hành động một năm về cách thành phố dự định sử dụng quỹ liên bang được hưởng của mình để giải quyết những nhu cầu đó. Các quỹ này, trong đó bao gồm Phát triển Cộng đồng Grant, Emergency Shelter Grant, CHỦ Hộ tác đầu tư, và cơ hội Nhà ở cho người có AIDS, được dự đoán bằng lên đến \$ 12 triệu USD cho năm tài chính 2013-14.

Lịch trình sửa đổi các phiên điều trần được liệt kê dưới đây và cũng có thể được xem tại trang web của Bộ Nhà ở tại [www.sjhousing.org](http://www.sjhousing.org)

Đối với bất kỳ câu hỏi nào về lại nhận thấy hoặc cho bất kỳ câu hỏi khác về Kế hoạch hành động hợp nhất hàng năm (CAAP), xin vui lòng liên hệ với Daniel Murillo tại 408-793-4195 hoặc [daniel.murillo@sanjoseca.gov](mailto:daniel.murillo@sanjoseca.gov)

**Sửa đổi Schedule Điều Trần & cuộc họp cho ý kiến của công chúng về 2013-14 năm tài chính hợp nhất Kế hoạch hành động thường niên**

What	Who	Where	When
Release of Draft FY 2013-14 CAAP for public review and begin 30-day public comment period	Visit the Housing Department's website at <a href="http://www.sjhousing.org/report/complan.html">http://www.sjhousing.org/report/complan.html</a> to find electronic copies or call (408) 793-5542 or (408) 294-9337 (TTY) for paper copies	City of San José - Housing 200 E. Santa Clara St.-12 <sup>th</sup> Floor, San José, CA 95113	March 28, 2013 to April 30, 2013
First Council Hearing of the Draft FY 2013-14 CAAP	San José City Council	San José City Hall 200 E. Santa Clara Street City Council Chambers	April 9, 2013 1:30 p.m. (originally scheduled for April 2, 2013)
Review and approval of the draft FY 2013-14 CAAP	Housing and Community Development Advisory Commission	San José City Hall 200 E. Santa Clara St Room W - 118, 119 (Wing)	April 11, 2013 5:45 p.m.
Second Council Hearing and approval of the FY 2013-14 CAAP	San José City Council	San José City Hall 200 E. Santa Clara Street City Council Chambers	April 30, 2013 1:30 p.m.

## Section 9: Appendices



**CITY OF  
SAN JOSE**  
CAPITAL OF SILICON VALLEY

**BINAGONG PAUNAWA NG PAGDINIG  
AT PAGPUPULONG PARA SA  
FY 2013-2014 CONSOLIDATED ANNUAL  
ACTION PLAN (CAAP)**

**ANG PAUNAWA ITO AY NAGBIBIGAY NG ISANG BINAGONG ISKEDYUL NG MGA PAGDINIG AT MGA PAGKAKATAON PARA SA MGA COMMENT SA FY 2013 - 2014 CONSOLIDATED ANNUAL ACTION PLAN DOCUMENT NG LUNSOD NG SAN JOSE HOUSING DEPARTMENT**

Nai-publish ng Lunsod ng nakaraan ang isang paunawa ng mga pagdinig para sa CAAP sa Disyembre 21, 2012. Inihawag ng paunawa na ang unang pagdinig ng Konseho ng Lunsod ay gaganapin sa Abril 2, 2013. Ang pulong ng Konseho ng Lunsod sa petsang iyon ay nakansela. Samakatuwid ang petsa ng unang pagdinig sa Konseho ng Lunsod ay inilipat ng ibang oras mula sa Abril 2, 2013 hanggang Abril 9, 2013. Ang lahat ng iba pang mga nai-publish na mga petsa ay mananatiling hindi nagbabago.

Binabalangkas ng Consolidated Annual Action Plan (CAAP) ang pangangailangan ng pabahay at pag-unlad ng komunidad ng Lunsod, at nagbibigay ng isang-taon na plano ng pagkilos sa kung paano gagamitin ng Lunsod ang mga federal entitlement funds upang tugunan ang mga pangangailangan. Ang mga pondong ito, na kinabibilangan ng Development Block Grant Komunidad, Emergency Shelter Grant, HOME Investment Pagsososyo, at Pabahay Mapaggagamitan ng para sa mga Tao na may AIDS, ay inaasahang upang pumatas hanggang sa \$ 12,000,000 para sa FY 2013-14.

Ang binagong iskedyul ng pagdinig ay nakalista sa ibaba at maaari ring tingnan sa Housing Department website sa [www.sjhousing.org](http://www.sjhousing.org)

Para sa anumang mga katanungan tungkol sa inulit na paunawang ito o para sa anumang iba pang mga katanungan tungkol sa Consolidated Annual Action Plan (CAAP), mangyaring makipag-ugnay kay Daniel Murillo sa 408-793-4195 o [Daniel.murillo@sanjoseca.gov](mailto:Daniel.murillo@sanjoseca.gov)

**Binagong Iskedyul ng Pagdinig at Pulong para sa Pampublikong Komento sa FY 2013-14 Consolidated Annual Action Plan**

ANO	SINO	SAAN	KAILAN
Paglabas ng Draft CAAP FY 2013-14 para sa pampublikong pagsusuri at simulan ang 30-araw na panahon ng pampublikong komento	Bisitahin ang Housing Department website sa <a href="http://www.sjhousing.org/report/oonplan.html">http://www.sjhousing.org/report/oonplan.html</a> sa makahanap ng mga electronic na kopya o tawag (408) 793-5542 o (408) 294-9337 (TTY) para sa mga kopya ng papel	Lungsod ng San José - Housing 200 E. Santa Clara St- 12th Floor, San José, CA 95113	Marso 28, 2013 hanggang Abril 30, 2013
Unang Konseho Pagdinig ng Draft FY 2013-14 CAAP	Konseho ng Lunsod ng San José	San José City Hall 200 E. Santa Clara Street City Council Chambers	Abril 9, 2013 1:30 ng hapon (na onhinal na naka-iskedyul para sa April 2, 2013)
Pagsusuri at pag-apruba ng draft FY 2013-14 CAAP	Housing and Community Development Advisory Commission	San José City Hall 200 E. Santa Clara St. Room W - 116, 119 (Wing)	Abril 11, 2013 5:45 ng hapon
Ikalawang Konseho Pagdinig at pag-apruba ng FY 2013-14 CAAP	Konseho ng Lunsod ng San José	San José City Hall 200 E. Santa Clara Street City Council Chambers	Abril 30, 2013 1:30 ng hapon



## 關於 2013–2014 年聯邦政府房屋及都市發展部綜合年度計劃 (FY 2013–2014 CONSOLIDATED ANNUAL ACTION PLAN) 聽證會的修正公告

此公告是對聖荷西市內政部有關聯邦政府房屋及都市發展部綜合年度計劃公共聽證會 (FY 2013–2014 CONSOLIDATED ANNUAL ACTION PLAN) 時間表做調整的的說明

聖荷西市此前于 2012 年 12 月 21 日發佈了公共聽證會的時間表, 宣佈第一次市議會聽證會將會在 2013 年 4 月 2 日舉行。該天聽證會已被取消, 第一次聽證會日期修改到 2013 年 4 月 9 日, 其他聽證會日期不變。

聯邦政府房屋及都市發展部綜合年度計劃為期一年, 致力於滿足城市住房和社區發展需求, 該計劃資金計劃資金高達 1200 萬美元, 來源于聯邦政府, 將用于社區發展計劃、應急庇護所計劃、房地產投資計劃、艾滋病人居住機會計劃等。

以下為調整後的該計劃聽證會時間表。市民也可登陸內政部 (Housing Department) 的網站 [www.sjhousing.org](http://www.sjhousing.org) 查看此時間表。

有關聯邦政府房屋及都市發展部的綜合年度財政計劃問題, 請致電 408-793-4195 聯繫 Daniel murill 或發郵件至 [daniel.murillo@sanjoseca.gov](mailto:daniel.murillo@sanjoseca.gov)

### 調整後的聯邦政府房屋及都市發展部的綜合年度計劃聽證會時間表

內容	主辦方	地點	時間
發佈 2013–14CAAP 草案 (30 天公眾評論期開始)	進入 Housing Department 的網站 <a href="http://www.sjhousing.org/report/conplan.html">http://www.sjhousing.org/report/conplan.html</a> 提供電子版草案或致電 (408) 793-5542 或 (408) 294-9337 (TTY) 索取紙質草案	City of San José – Housing 200 E. Santa Clara St.–12th Floor, San José, CA 95113	2013 年 3 月 28 日到 4 月 30 日
第一次議會聽證會	聖荷西市議會	San José City Hall 200 E. Santa Clara Street <b>City Council Chambers</b>	2013 年 4 月 9 日 下午 1 點 30 分 (原時間為 4 月 2 日)
回顧及通過 2013–14CAAP 草案	城市及社區設計委員會 (Housing and Community Development Advisory Commission)	San José City Hall 200 E. Santa Clara St. <b>Room W – 118, 119 (Wing)</b>	2013 年 4 月 11 日 下午 5 點 45 分
第二次議會聽證會 (通過 2013–14CAAP)	荷西市議會	San José City Hall 200 E. Santa Clara Street <b>City Council Chambers</b>	2013 年 4 月 30 日 下午 1 點 30 分

## **Section 9: Appendices**

### 3. Schedule for an Additional Public Hearing Published on March 29, 2013

# Section 9: Appendices

## San Jose Mercury News

750 RIDDER PARK DRIVE  
SAN JOSE, CALIFORNIA 95190  
408-920-5332

### PROOF OF PUBLICATION

IN THE  
CITY OF SAN JOSE  
STATE OF CALIFORNIA  
COUNTY OF SANTA CLARA

SAN JOSE, CITY OF  
OFFICE THE CITY GROUP/BRENDA CHARLES, 200 E  
SANTA CLARA STREET, 2N  
SAN JOSE CA 95113  
**FILE NO. S.Guzzetta**

In the matter of

The San Jose Mercury News

The undersigned, being first duly sworn, deposes and says: That at all times hereinafter mentioned affiant was and still is a citizen of the United States, over the age of eighteen years, and not a party to or interested in the above entitled proceedings; and was at and during all said times and still is the principal clerk of the printer and publisher of the San Jose Mercury News, a newspaper of general circulation printed and published daily in the city of San Jose in said County of Santa Clara, State of California as determined by the court's decree dated June 27, 1952, case numbers 84096 and 84097, and that said San Jose Mercury News is and was at all times herein mentioned a newspaper of general circulation as that term is defined by Sections 6000 and following, of the Government Code of the State of California and, as provided by said sections, is published for the dissemination of local or telegraphic news and intelligence of a general character, having a bona fide subscription list of paying subscribers, and is not devoted to the interests or published for the entertainment or instruction of a particular class, professional, trade, calling, race or denomination, or for the entertainment and instruction of any number of such classes, professionals, trades, callings, races or denominations; that at all times said newspaper has been established, printed and published in the said city of San Jose in said County and State at regular intervals for more than one year preceding the first publication of the notice herein mentioned. Said decree has not been revoked, vacated or set aside.

I declare that the notice, of which the annexed is a true printed copy, has been published in each regular or entire issue of said newspaper and not in any supplement thereof on the following dates, to wit:

3/29/2013

Dated at San Jose, California  
03/29/13

I declare under penalty of perjury that the foregoing is true and correct.

Signed   
Principal clerk of the printer and publisher of the San Jose Mercury News.

Legal No. 0004809619

RECEIVED  
San Jose City Clerk

2013 APR -2 PM 2:40



**NOTICE OF PUBLIC MEETING ON IMPACTS OF FEDERAL SEQUESTRATION FOR THE CITY OF SAN JOSE  
FY 2013-2014 FEDERAL ANNUAL ACTION PLAN**

This notice announces the addition of one (1) public hearing for the City's FY 2013-14 Federal Annual Action Plan process in order to seek public input on alternatives in responding to the federal sequestration. The City previously published a schedule of public hearings for the Plan. The full schedule - including this special meeting - is provided below and on the City's website at [www.sjhousing.org](http://www.sjhousing.org).

On March 1, the federal sequester took effect as a result of Congress's inability to reach agreement on deficit reduction. The sequester triggered automatic spending cuts on military and domestic discretionary spending. Staff is currently assessing the potential impacts of these cuts and what they may mean for San Jose's housing and community development programs as it prepares the draft Plan for release for public comment. A public hearing will be held to seek public input at a special meeting of the City's Housing & Community Development Advisory Commission at the following time and location:

April 2, 2013 @ 5:45pm  
Roosevelt Community Center, Classroom 1  
901 East Santa Clara Street  
San Jose, CA 95116

Public input regarding sequestration will be considered in the draft Plan, which will be released March 28, 2013 for public comment and will close on April 30, 2013. See the schedule below for additional opportunities for public comment.

For questions regarding the FY 2013-14 CAAP, please contact Daniel Murillo at (408) 793-4195 or [daniel.murillo@sanjoseca.gov](mailto:daniel.murillo@sanjoseca.gov). Public comments can be submitted in the form of statements made at the above listed meetings, in writing to the City of San Jose Housing Department, 200 E. Santa Clara Street, 12th Floor, San Jose, California 95113, or submitted via electronic mail to the email address listed here. To request an accommodation for any of the meetings or an alternative format for any related printed materials, please call (408) 294-9337 (TTY) as soon as possible, but at least three business days before the meeting. All public meetings are accessible to those with mobility impairments.

**Schedule of Public Hearings for the FY 2013-14 Annual Action Plan:**

- January 10, 2013 - HCDAC
- February 14, 2013 - HCDAC
- March 14, 2013 - HCDAC
- March 28, 2013 - April 30, 2013 - Public Comment Period
- April 2, 2013 - HCDAC (Special meeting)
- April 5, 2013 - City Council
- April 11, 2013 - HCDAC
- April 30, 2013 - City Council

5" x 3 1/2"



which adults, and especially kids, should stay away from are soft drinks (which I call liquid candies) of any kind, and other sugary foods, like cakes, candies, cookies, ice cream, corn and rice cereals, sweets in general).

Not visiting fast food restaurants is healthier for the

(food supplement?) are both high in calories and saturated fats. They are both advertised to be good in preventing Alzheimer's, among other diseases. There is no credible evidence either of them do, and those consuming them are wasting their money. Some

*Is it normal not to empty the bowels daily?*

Daily bowel movement, once or twice a day, is normal for most people. Doing it once every two days, regularly, is still acceptable. If the movement is down to once or twice a week, the body system losses



**PAUNAWA NG PAMPUBLIKONG  
PAGPULONG SA EPEKTO NG  
FEDERAL NA PAGSAMSAM PARA  
SA LUNSOD NG SAN JOSE  
FY 2013-2014 FEDERAL ANNUAL  
ACTION PLAN**

IPINAAALAM NG PAUNAWANG ITO ang karagdagan isang (1) pampublikong pagdinig para sa FY ng Lunsod 2013-14 Federal Annual Action Plan upang humingi ng pampublikong input sa mga alternatibong pagtugon sa mga pederal na pagsamsam. Nai-publish ng nakaraan ng Lunsod ang isang iskedyul ng mga pampublikong pagdinig para sa Plan. Ang buong iskedyul - kabilang itong espesyal na pulong - ay nakalaan sa ibaba at sa website ng Lunsod sa [www.sjhousing.org](http://www.sjhousing.org).

Sa Marso 1, ang pederal na pagsamsam ay naging epektibo bilang isang resulta ng kawalan ng kakayahan ng Kongreso upang maabot ang kasunduan sa pagbabawas ng depisit. Napagsimulan ng pagsamsam ang awtomatikong mga cut ng paggastos sa militar at domestic discretionary paggastos. Ang mga kawani ay kasalukuyang nagtatasa sa mga potensyal na mga epekto ng mga cut at kung ano ang maaaring epekto sa pabahay at pag-unlad ng development program ng komunidad ng San Jose habang naghahanda ito ang draft Plan para sa release para sa pampublikong komento. Ang pampublikong pagdinig ay gaganapin upang humingi ng pampublikong input sa isang espesyal na pulong ng Housing & Community Development Advisory Commission ng Lunsod sa mga sumusunod na oras at lokasyon:

**Abril 2, 2013 @ 5:45 ng hapon  
Roosevelt Community Center, Classroom 1  
901 East Santa Clara Street  
San Jose, CA 95116**

Ang mga pampublikong input tungkol pagsamsam ay isasaalang-alang sa draft Plan, na ilalabas sa Marso 28, 2013 para sa mga pampublikong komento at magtatapos sa Abril 30, 2013. Tingnan ang iskedyul sa ibaba para sa karagdagang mga pagkakataon para sa mga pampublikong komento.

Para sa mga katanungan tungkol sa FY 2013-14 CAAP, mangyaring makipag-ugnay sa Daniel Murillo sa 408-793-4195 o [daniel.murillo@sanjoseca.gov](mailto:daniel.murillo@sanjoseca.gov). Ang mga pampublikong komento ay maaring isumite sa pamaraan ng mga pahayag na ginawa sa nakalintang pagpupulong sa itaas, sa pagsulat sa Housing Department ng Lunsod ng San José, 200 E. Santa Clara Street, 12th Floor, San José, California 95113, o isinumite sa pamamagitan ng electronic mail sa email address na nakalista dito. Upang humiling ng tulong para sa anumang pulong o alternatibong format para sa anumang kaugnay na mga naka-print na materyales, mangyaring tumawag sa (408) 294-9337 (TTY) sa lalong madaling panahon, ngunit hindi bababa sa tatlong araw ng negosyo bago ang pulong. Ang lahat ng mga pampublikong pagpupulong ay naa-access sa mga may kapansanan sa pagkilos.

Iskedyul ng mga Pampublikong Pagdinig para sa FY 2013-14 Taunang Action Plan:

- Enero 10, 2013 - HCDAC
- Pebrero 14, 2013 - HCDAC
- Marso 14, 2013 - HCDAC
- Marso 28, 2013 - Abril 30, 2013 - Panahon ng Pampublikong Komento
- Abril 2, 2013 - HCDAC (Espesyal na pulong)
- Abril 9, 2013 - Konseho ng Lunsod
- Abril 11, 2013 - HCDAC
- Abril 30, 2013 - Konseho ng Lunsod

## Section 9: Appendices

có lợi ích riêng. Việc lực lượng vũ trang trung thành với đảng, với nhân dân là thống nhất với nhau.”

Nghe ông Thông nói mà lòng bùng cả lối tai, chóng cả mặt. Quan đi quần lại vẫn “Đảng ta không có lợi ích riêng,” nhưng dân đâu muốn đảng lấy “cái chung của đất nước” làm ‘của riêng cho đảng’ đâu”? Có giỏi thì đảng “nhả” cái điều 4 ra coi?

Ông Thông đã trả lời cho thách đố này rằng: “Về cơ bản, điều 4 trong dự thảo sửa đổi hiến pháp đã kế thừa và giữ nguyên nhiều nội dung đã được quy định tại điều 4 của hiến pháp hiện hành. Việc hiến pháp khẳng định vai trò lãnh đạo của đảng là nội dung mang tính nguyên tắc. Đó là sự lựa chọn của lịch sử và của dân tộc ta. Máy chục năm qua, sự lãnh đạo của đảng cộng sản Việt Nam đối với tiến trình cách mạng nước ta đã và đang là một tất yếu lịch sử, tất

vừa có cơ sở đạo lý, vừa có cơ sở pháp lý. Hiến pháp năm 1992 và hiến pháp năm 1980 trước đây của nhà nước ta đều khẳng định đảng cộng sản Việt Nam là lực lượng lãnh đạo nhà nước và xã hội. Điều này cho thấy sự phù hợp với lịch sử đấu tranh giành độc lập dân tộc, thống nhất đất nước cũng như thực tiễn đổi mới, xây dựng và bảo vệ tổ quốc. Tổng kết 20 năm thực hiện hiến pháp năm 1992 cho thấy, quy định về vai trò và sự lãnh đạo của đảng đối với nhà nước và xã hội vẫn giữ nguyên giá trị.”

Cái “vớ vẩn” của lý luận quyết bám cho bằng được ghế lãnh đạo độc quyền của đảng vẫn cứ quanh quẩn ở cái mỏ con vẹt hót mãi “Đó là sự lựa chọn của lịch sử và của dân tộc ta,” nhưng “lịch sử nào” và “dân tộc” nào mới được chứ, hay đảng đã “tự biên tự diễn” rồi nhét chữ vào tai dân bắt họ phải nghe?

đảng bao lâu nữa, nay trên 7 triệu người Công giáo, 20 triệu tín đồ Phật giáo của Giáo Hội Việt Nam Thống Nhất, 3 triệu môn đồ của Giáo Hội Phật Giáo Hòa Hảo thuần túy (cụ Lê Quang Liêm) và hàng chục ngàn “công dân tự do” và trí thức, đảng viên, cựu đảng viên, cán bộ và công nhân viên đã dứt khoát bỏ đảng qua các tuyên bố tẩy chay đảng và bác bỏ hiến pháp của nhà nước đang lan rộng trên cả nước?

Như thế thì dù đảng có thắng trong “cuộc cờ chính trị hiến pháp,” nhưng lỡ khi Trung cộng chiếm mất biển Đông như quân Trung cộng đang tự do hoành hành ở đó từ mấy tháng qua thì ai là người sẽ khóc?

E rằng đến lúc đó sẽ có “một bộ phận không nhỏ” người dân sẽ lối đảng ra mà lột xác chứ chẳng nói đùa đâu.

PHẠM TRẦN  
(03, 2013)



### THÔNG BÁO VỀ HỘI CÔNG VỀ TÁC ĐỘNG HẤP THỤ LIÊN BANG CHO THÀNH PHỐ SAN JOSE NĂM TÀI CHÍNH 2013-2014 LIÊN BANG THƯỜNG NIÊN KẾ HOẠCH HÀNH ĐỘNG

Thông báo này công bố việc bổ sung thêm một buổi điều trần công khai (1) của Thành phố năm tài chính 2013-14 Liên bang quá trình hàng năm Kế hoạch hành động để tìm hiểu ý kiến của công chúng về các lựa chọn thay thế trong việc đáp ứng việc có lập liên bang. Thành phố công bố trước đây một lịch trình điều trần công khai của Kế hoạch. Lịch trình đầy đủ - bao gồm cả cuộc họp đặc biệt này được cung cấp dưới đây và trên trang web của thành phố tại [www.sjhousing.org](http://www.sjhousing.org). Ngày 01 tháng ba, có lập liên bang có hiệu lực như là một kết quả của việc không có khả năng đạt được thỏa thuận về giảm thâm hụt ngân sách của Quốc hội. Có lập kích hoạt tự động cắt giảm chi tiêu quân sự trong nước và chi tiêu tùy ý. Nhân viên đang đánh giá các tác động tiềm năng của những vết cắt và những gì họ có thể có nghĩa là nhà ở San Jose và các chương trình phát triển cộng đồng như nó chuẩn bị dự thảo Kế hoạch phát hành để lấy ý kiến công chúng. Một buổi điều trần công khai sẽ được tổ chức để tìm hiểu ý kiến của công chúng tại một cuộc họp đặc biệt của nhà ở của Thành phố và Ủy ban Phát triển Cộng đồng tư vấn tại thời gian và địa điểm sau đây:

02 tháng 4 năm 2013 @ 17:45  
Roosevelt Community Center, lớp học 1  
901 East Santa Clara Street  
San Jose, CA 95116

Có lập về đầu vào công cộng sẽ được xem xét trong dự thảo Kế hoạch, sẽ được phát hành ngày 28 tháng 3 năm 2013 để lấy ý kiến công chúng và sẽ kết thúc vào ngày 30 tháng 4 năm 2013. Xem lịch trình dưới đây để thêm cơ hội để lấy ý kiến công chúng.

Đối với các câu hỏi liên quan đến năm tài chính 2013-14 CAAP, xin vui lòng liên hệ với Daniel Murillo tại 408-793-4195 hoặc [daniel.murillo@sanjoseca.gov](mailto:daniel.murillo@sanjoseca.gov). Ý kiến công chúng có thể được nộp dưới hình thức báo cáo được thực hiện tại các cuộc họp được liệt kê ở trên, trong văn bản cho thành phố của Cục Nhà ở San José, 200 E. Santa Clara Street, Tầng 12, San José, California 95113, hoặc gửi qua thư điện tử cho địa chỉ email được liệt kê ở đây. Để yêu cầu chỗ ở cho bất kỳ của các cuộc họp hoặc định dạng một sự thay thế cho bất kỳ tài liệu liên quan được in, xin gọi (408) 294-9337 (TTY) càng sớm càng tốt, nhưng ít nhất ba ngày làm việc trước cuộc họp. Tất cả các cuộc họp công cộng đều có cho những người khuyết tật về văn.

Thời khóa biểu điều trần công khai cho năm tài chính Kế hoạch hành động hàng năm 2013-14:

- 10 tháng 1 năm 2013 - HCDAC
- 14 tháng 2 năm 2013 - HCDAC
- 14 tháng 3 năm 2013 - HCDAC
- Tháng Tư 2, 2013 - HCDAC (đặc biệt các cuộc họp)
- Ngày 09 tháng 4 năm 2013 - Hội đồng thành phố
- Tháng 4 11, 2013 - HCDAC
- Tháng Tư 30, 2013 - Hội đồng thành phố
- March 28, 2013 - Tháng Tư 30, 2013 - Thời gian lấy ý kiến công chúng

结束了才秋后算账。  
 廖钦和的支持者王竹青面同市议会，为什么在上届的选举中没人质疑廖的住址问题，在本届选举登记阶段也没人就住址问题提出任何疑义，而偏在廖连任后才提出住址是否在圣盖博的疑义？对此，圣市外聘律师瑞斯曼 (Gary S. Reisman) 解释：法律没有规定提出异议的时间，它可以在选举之前，也可以在选举之后提出。

了圣盖博市。廖表示，根据加州选举法，只要在选票申请前就已经住在本市的居民，就有资格竞选本市的议员。而爱迪生、电报、加州煤气公司账单显示，从去年 10 月起，廖钦和在圣盖博市 Live Oak 街 101 号的住址每个月都要缴费用记录。  
 不仅如此，该住址监控系统安装在发票上显示的日期为去年 11 月 13 日，车管所驾照更名到该住址的收据显示的日期为去年 11 月 16 日。



廖钦和委员卫广大选民神圣选举的法律尊严。(侨报记者高睿图)

得本次选举的最后胜利。  
 廖表示，如果圣盖博市议会不顾“利益冲突”的法律质疑，仍一意孤行，千方百计地阻止他辞职市议员的话，他将通过律师采取法律行动，状告圣盖博市政府滥用法律，践踏选举法。  
 鉴于廖钦和就取典礼撤换，市议会出现一个空位，有市议员提出用更名或补选的方式来填补空位的建议。但鉴于廖钦和就取典礼撤换

廖钦和 28 日表示，迫于广大选民的舆论压力，圣盖博市政府已经表态，会尽快举行听证会，就廖的长期住址是否在圣盖博的问题进行核实，并责成专人就此展开独立调查。如果事实证明廖的住址如洛杉矶县检察官办公室所说，不存在任何疑义的话，就将考虑择日补回廖的就取典礼。  
 从《侨报》获悉洛县检察官办公室已经表态，认为廖的“住址门”

# 有關討論預算削減對聖荷西市 2013 年到 2014 年聯邦年度行動計劃影響的公共會議的公告



該公告宣佈了新增一關於聖荷西市 2013 年到 2014 年聯邦年度行動計劃公共聽證會的決定。該聽證會將尋求公眾對應對聯邦預算削減的意見。此項聖荷西市已發佈了關於該計劃系列公共聽證會的時間表，包括新增聽證會的完整時間表在公告下方提供，市民也可登錄政府網站 [www.sjhousing.org](http://www.sjhousing.org) 查看。

由於該會未能達成減少赤字協議，聯邦政府自動減支計劃將於 3 月 1 日自動生效，預算減少的主要是國內可自由支配的開支 (domestic discretionary spending) 和軍費支出。目前工作人員正在評估這些預算削減造成的影響以及對聖荷西市住宅和社區發展項目的意義。有關該主題的公共聽證會將在聖荷西市城市及社區設計委員會特別會議上進行，會議的時間地址如下：

2013 年 4 月 2 日 下午 5 點 45 分  
 Roosevelt Community Center, Classroom 1  
 901 East Santa Clara Street  
 San Jose, CA 95116

聯邦政府房屋及都市發展部的綜合年度財政計劃 (CAAP) 的草案將會考慮公眾關於自動減支的意見，該草案將會在 2013 年 3 月 28 日發佈供公眾討論，討論期至 2013 年 4 月 30 日。

有關聯邦政府房屋及都市發展部的綜合年度財政計劃問題，請致電 408-793-4195 聯繫 Daniel Murillo 或發郵件至 [daniel.murillo@sanjoseca.gov](mailto:daniel.murillo@sanjoseca.gov)。任何公共意見可通過信件形式寄到以下地址：the City of San José Housing Department, 200 E. Santa Clara Street, 12th Floor, San José, California 95113，或者發郵件至上述郵箱地址。資訊參加聽證會或索取有關材料請儘快聯繫 (408) 294-9337 (TTY)；如參與聽證會請提前 3 天聯繫。所有會議將為行動障礙者提供便利通道。

2013 年到 2014 年年度計劃 (FY 2013-14 Annual Action Plan) 公共聽證會時間表

- 2013 年 1 月 10 日 - 城市及社區設計委員會
- 2013 年 2 月 14 日 - 城市及社區設計委員會
- 2013 年 3 月 14 日 - 城市及社區設計委員會
- 2013 年 3 月 28 日 - 2013 年 4 月 30 日 公共評論期
- 2013 年 4 月 2 日 - 城市及社區設計委員會 (特別會議)
- 2013 年 4 月 9 日 - 市議會
- 2013 年 4 月 11 日 - 城市及社區設計委員會
- 2013 年 4 月 30 日 - 市議會

## Section 9: Appendices

### 4. Public Testimonies

- **The Housing and Community Development Advisory Commission Meeting – January 10, 2013**

Public Comment	Staff Response
Commissioner McLaughlin asked if the Housing Department has any recommendations on how to address uncertainty of the federal budget.	Staff indicated that, should federal funding be cut, the potential response would be to reduce funding to organizations. A scenario in which there is more limited funding would trigger a need to re-evaluate strategies and priorities.
Chair Darrow asked how effective currently funded projects are performing.	Staff indicated that it is too early in cycle to tell, and that one rationale for extending the contracts is to allow time for the funded projects to be implemented and be measured.
Commissioner Tom asked about the kindergarten readiness/third grade literacy services and when the program was added as a funded activity.	Staff indicated that the current FY 2012-13 CAAP contains the program.
Vice Chair Tom asked in which round kindergarten readiness was funded.	Staff indicated that funding was not allocated by rounds. Kindergarten readiness was a goal that had funding allocated to it. Additionally, the concept of kindergarten readiness was discussed at the December 2011 public hearing on funding priorities.
Chair Darrow asked if there will be additional opportunities for comment.	Staff indicated that there will be additional public hearings on the CAAP.
Commissioner Ngo asked where the current proposal can be viewed.	Staff indicated that the report is available online at the Housing Department's website.
Martha O'Connell, chair of the Senior Commission asked that the needs of senior be taken into consideration while developing the Housing strategies. The senior population over 65 is expected to grow significantly in the coming years. Rents are escalating quickly. A lot of senior programs are being cut. Programs should also be based on the Elder Economic Security Standards Index that takes into account geographic changes in income.	The Annual Plan identifies housing for seniors as a priority.
Angelica Cortez from the Silicon Valley Council of non-profits asked that the City Council consider the extension of the existing contracts for CBOs. This will give them adequate time for the provision of services and their delivery and also benefit the planning and prioritization activities of the City.	Comment received.
A member of the public thanked the Commission for their time and stressed that the City Council relies upon them to make the right recommendations which can affect thousands of residents of the City.	Comment received.

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- **The Housing and Community Development Advisory Commission Meeting – February 14, 2013**

Public Comment	Staff Response
No public comments were received	N/A

- **The Housing and Community Development Advisory Commission Meeting – March 14, 2013**

Public Comment	Staff Response
No public comments were received	N/A

- **Housing and Community Development Commission Meeting– April 2, 2013**

Public Comment	Staff Response
A letter dated April 2, 2013 from the Aging Services Collaborative of Santa Clara County expressed support for a “new ‘Option 4’ or ‘Option 1 Revised’, whereby: <ul style="list-style-type: none"> <li>• CDBG funding for foreclosure response services is reduced by 83.18%</li> <li>• CDBG funding for all remaining programs, including funding to senior programs, be reduced by 2.94%”</li> </ul>	Comments received. ForeclosureHelp will continue to be funded for FY 2013-14 but at a lower amount.
An email dated April 1, 2013 from Matt Huerta, Executive Director of Neighborhood Housing Services Silicon Valley, expressed support for the Foreclosure Help Center and requested that the department “allocate the projected \$50,000 in FHC savings from FY 2012-13 back to the FHC and recommend \$50,000 for FY 2013-14. At least \$100,000 is needed for the Foreclosure Help Center to offer basic referrals, tracking, and outreach services.”	Comments received. ForeclosureHelp will continue to be funded for FY 2013-14 but at a lower amount.
Commissioner McLaughlin asked why funding reductions are different in the various options presented.	Fair Housing is funded out of the Departments Administrative Budget and is not impacted by the proposed cuts.
Commissioner Darrow asked how much additional funds would be obtained if sequestration did not happen.	Staff responded that funding of up to \$58K would be made available if sequestration does not happen.
Commissioner Ellak requested data on homelessness.	Staff will provide updated data when the new homeless census has been completed.
Commissioner Ngo asked about the long-term solutions for addressing homelessness.	Staff responded that providing outreach services is key to developing relationships with the homeless and for providing referrals in addition to the longer term goal of providing housing options.
Sean Coffey from ForerclosureHelp provided statistics on foreclosures and highlighted the limited alternatives available to residents if the program is eliminated.	Comment received. ForeclosureHelp will continue to be funded for FY 2013-14 but at a lower amount.
Sharleen Kilgore from Project Sentinel mentioned that even though there may be ups and downs in foreclosure statistics, making homes affordable helped streamline the process. Eliminating funding may return things back to the old status.	Comment received. ForeclosureHelp will continue to be funded for FY 2013-14 but at a lower amount.
Andrea Moto from a HUD-certified financial agency commented that	Comment received. ForeclosureHelp will

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a lot of people lost trust in the system and the HUD counselors helped them find the way.	continue to be funded for FY 2013-14 but at a lower amount.
Kyra Kazantis from the Fair Housing Law Project explained the structure of ForeclosureHelp and how City funding seamlessly helped bring all the players together. She explained that this is also related to homelessness.	Comment received. ForeclosureHelp will continue to be funded for FY 2013-14 but at a lower amount.
Tammy Pitkin from Catholic Charities asked to maintain senior service programs and isolation services.	Comment received.
Matt Huerta from Neighborhood Services Silicon Valley highlighted the City's leadership in neighborhood stabilization. He asked to consider the effect of saving over 5,000 people from losing their home.	Comment received. ForeclosureHelp will continue to be funded for FY 2013-14 but at a lower amount.
Mary Vollinger from Health Trust, Meals on Wheels asked for support for option #4.	Comment received. ForeclosureHelp will continue to be funded for FY 2013-14 but at a lower amount.
Dr Gary Stemple from Alzheimer's Activity Center commented that senior services have been decimated and a \$10,000 cut would be significant and have ripple effects.	Comment received.
Colleen Wu from Asian Inc highlighted the importance of language services and asked that the grantees work together to share the cuts.	Comment received.
Sandra Murillo from the Housing Trust stressed that the program does not just provide loan modifications but also educates households on a wide variety of available services and resources.	Comment received. ForeclosureHelp will continue to be funded for FY 2013-14 but at a lower amount.
Crystal Nguyen from ForeclosureHelp advocated for maintaining the program.	Comment received. ForeclosureHelp will continue to be funded for FY 2013-14 but at a lower amount.
Commissioner Darrow asked what options were available to fund ForeclosureHelp if there was no sequestration.	Staff responded that if any funding is made available because there is no sequestration or via another strategy, then available funds may be used for the ForeclosureHelp program.
Commissioner McLaughlin asked about the feasibility of \$50,000 in savings.	Staff responded that the option is feasible but would require other funded organizations to realize cost-savings that could be redirected towards foreclosure prevention activities.
Commissioner McLaughlin asked if homelessness changes with fluctuations in the economy and if a 2% to 3% cut would significantly impact homeless reduction efforts.	Staff responded that many individuals are chronically homeless. Council direction is to add funding to homeless services and any cut would contradict that direction. This direction was most recently provided when staff returned with the Housing Investment Plan.
Commissioner Ngo asked how much of the funding goes to cleanup efforts. He added that cleanup efforts do not seem very effective.	Staff responded that all CDBG and ESG funding goes to outreach, beds and case management.
Brandon Davis from EHC LifeBuilders mentioned that they are funding six outreach workers, who are working in 125 encampments.	Comment received.
Robert Vernon from Innvision Shelter Network mentioned that they are trying to improve program design by conducting focus groups to better understand the resistance of homeless people to treatment options.	Comment received.

## Section 9: Appendices

Commissioner Ellak expressed that the homeless are concerned with the security of their possessions and this issue needs to be addressed.	Comment received.
Commissioner Darrow commended all the non-profits for their work. He asked that they work together to address the \$50,000 gap.	Comment received.

- **City Council Meeting– April 9, 2013**

Public Comment	City Response
Mayor Reed asked if the anticipated resources table included sequestered funds for the Section 8 program	Staff responded that this is a preliminary estimate and the funding amounts will be adjusted for sequestration in the final document.
Councilmember Liccardo asked if the final numbers from the recently completed homeless census count will be made available soon	Staff responded that the numbers have not yet been finalized and will be made available as soon as the process is completed.
Mr Trang Van mentioned that the HOPWA and SPNS grants fund housing opportunities and case management for 146 low-income households asked that the City continue to support the program.	Comment received.
Mr Matt Huerta thanked the City for continuing to allocate scare resources to the ForeclosureHelp Program. Though foreclosures have declined, there is a lot of work to be done in the areas of dual tracking and providing a single point of contact for clients.	Comment received.
Ms Sandra Murillo from the Housing Trust expressed thanks to the City for continuing to support ForeclosureHelp. She provided statistics on foreclosures and stressed the fact that even though foreclosures have tapered off, they still exist. There is a continued need to provide education, information, and guidance to distressed homeowners. Funding also supports advocacy, working with the DA to address fraud, language services and helping clients who may qualify for compensation under the new countrywide settlement with the Department of Justice.	Comment received.
Ms Annette Kirkham also thanked the City for supporting foreclosure assistance programs. Clients tend to get lost in the process and working with counselors helps them coordinate their efforts.	Comment received.

- **The Housing and Community Development Advisory Commission Meeting – April 11, 2013**

Public Comment	Staff Response
Commissioner Tom asked if the notices placed in ethnic newspapers are translated before being published. He also asked what other media the City uses to publicize its meetings	Staff confirmed that the notices are translated and published in ethnic papers. Other methods to publicize meetings include email, US mail and City website.
Commissioner Ellak noted a few errors and missing data in the Draft plan.	Staff responded that the errors will be corrected in the final version.
Commissioner Tom thanked the staff for coming up with resources to fund the public service activities.	Comment received.
Ms Sandra Murillo from the Housing Trust thanked the City for continuing to fund foreclosure assistance programs.	Comment received.
Martha O'Connell, chair of the Senior Commission, asked about the homeless encampment strategy and asked why the police cannot	Staff responded that the response to homeless encampments is now much

## Section 9: Appendices

<p>be called to take care of the encampments</p>	<p>broader and is looked at as having three facets – environmental issues, community and neighborhood issues and safety and sanitary issues. Response has been limited due to a lack of funding. Police can always be called if safety is compromised, but Staff is working on finding a more permanent solution.</p>
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- **City Council Meeting– April 30, 2013**

<b>Public Comment</b>	<b>City Response</b>
<p>Mayor Reed asked if the Section 8 vouchers estimate factors in the impacts of sequestration.</p>	<p>City staff estimates that the Housing Authority will administer 6,800 vouchers on behalf of the City of San José in 2013-14 based on information provided by the Housing Authority that includes sequester impacts.</p>

## Section 9: Appendices

### 5. Other Public Comments

#### *Letter from Aging Services Collaborative, dated April 2, 2013*



April 2, 2013

TO: Housing and Community Development Advisory Commission

FROM: The Aging Services Collaborative (ASC)

RE: **Public service funding options for the reduction of Community Development Block Grant (CDBG) due to Sequester Impacts**

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In response to the City of San Jose Housing Director's Memorandum dated March 26, 2013, we join others in **opposing the Option 2 recommendation** and would like to share the following for your consideration.

We ask that the Housing and Community Development Advisory Commission:

- **Recommend the approval of a new "Option 4" or "Option 1 Revised", whereby:**
  - **CDBG funding for foreclosure response services is reduced by 83.18%**
  - **CDBG funding for all remaining programs, including funding to senior programs, be reduced by 2.94%**

The Aging Services Collaborative recognizes that while an Option 4 or Option 1 Revised will reduce funding for Meals on Wheels and Senior Isolation programs by \$2,940 in FY13-14, foreclosure response services are an important need among senior populations and the broader community.

## Section 9: Appendices

We know that Housing and Community Development Advisory Commission will join the Aging Services Collaborative and others in drafting and recommending the approval of an Option 4 or Option 1 Revised for the good of all residents.

Aging Services Collaborative ~ 3180 Newberry Dr. #200, San Jose, CA 95118 ~ 408-513-8700

[www.agingservicescollaborative.org](http://www.agingservicescollaborative.org)

### ***Email from Matt Huerta, Executive Director of Neighborhood Housing Services Silicon Valley, dated April 1, 2013***

**From:** Matt Huerta [mailto:MHuerta@nhssv.org]  
**Sent:** Monday, April 01, 2013 6:50 PM  
**To:** Chen, Wayne  
**Subject:** Continue Support of the Foreclosure Help Center

Hi Wayne, please distribute this letter to the HCDAC for Tuesday evening's meeting.

Regards,

mh

Dear Housing and Community Development Advisory Commissioners:

On behalf of Neighborhood Housing Services Silicon Valley, I am writing regarding Leslye Corsiglia's Memorandum April 2, 2013 regarding Public Service Funding Options due to reductions in federal subsidies.

The City of San Jose has been a national leader in responding to the foreclosure crisis. From teaming with the Housing Trust of Santa Clara County and NHSSV in order to obtain \$25 Million in Neighborhood Stabilization Program funding to establishing a one stop Foreclosure Help Center to make it easier for distressed homeowners and tenants to find assistance, the City has provided critical resources during a critical time. Unfortunately, there remains a critical need to maintain at least the baseline infrastructure of foreclosure intervention support services. We request that the Commission consider supporting the following funding alternative: **Allocate the projected \$50,000 in FHC savings from FY 2012-13 back to the FHC and recommend \$50,000 for FY 2013-14. At least \$100,000 is needed for the Foreclosure Help Center to offer basic referrals, tracking, and outreach services.**

This funding alternative is supported by the leaders of the Place Based Initiatives also expecting to receive CDBG funding in FY 2013-14.

## Section 9: Appendices

Since July 2012, the FHC has served 198 homeowners, a majority of whom were able to successfully resolve their case, many others are ongoing. Cases ranged from families seeking short sale negotiation—28 of whom had City of San Jose loans, to small business owners and low income seniors and families seeking loan modification assistance to help keep their homes. Twenty five families were assisted from the FHC satellite office at Most Holy Trinity providing greater access for homeowners in East San Jose where the foreclosure crisis impact has been felt the most. Although the national foreclosure crisis is now in its sixth year, it is far from over—particularly for Santa Clara County. While foreclosures are down by over 60% from February 2012 levels, we are still over 500% of the February 2007 foreclosure rate.

Homeowners and advocates have had some important wins recently to help slow the rate of foreclosures including the National Mortgage Settlement with the five largest servicers, passage of the Home Ownership Bill of Rights (HOBR), extension of the Independent Foreclosure Review (IFR), and refinements to Keep Your Home California Program (KYHC) to name a few. A one stop center is needed to help ensure families have access to these programs. [The opportunities](#)

This basic investment in services is important for the entire City of San Jose, the Foreclosure Help Center is available to all distressed homeowners in every Council District. The FHC is one of the only alternatives to scam artists who continue to prey on seniors and underemployed low and moderate income homeowners who continue to be vulnerable.

Thank you for your consideration of our comments and recommendations.

Sincerely,

**Matt Huerta** Executive Director



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1156 N Fourth Street, San Jose, CA 95112

D: 408.579.6505 C: 831.809.4279 F: 408.279.4100

[mhuerta@nhssv.org](mailto:mhuerta@nhssv.org) | [www.nhssv.org](http://www.nhssv.org) | [www.nhssvproperty.org](http://www.nhssvproperty.org)

***Letter from Health Trust, dated April 4, 2013***

## Section 9: Appendices



City of San José Housing Department  
200 E. Santa Clara Street  
San José, CA 95113



Attn: Daniel Murillo

Dear Mr. Murillo:

On behalf of The Health Trust, the largest provider of non-medical AIDS services in Santa Clara County, I want to urge the city of San Jose to continue its support for housing some of our most vulnerable residents through the People With AIDS (HOPWA) grant program.

This year, through HOPWA funding supported by local fundraising efforts, The Health Trust is housing 146 low-income individuals living with HIV/AIDS. But the need is greater than that. Currently we have 163 people on our waiting list, and they are likely to wind up living on the street. Last year a survey by Housing 1000 identified 14 chronically homeless people living with HIV/AIDS.

We now know through established research the importance of stable housing in the treatment of HIV/AIDS. There are strong correlations between improved housing status and reduced HIV risk, improved access to medical care, and better health outcomes. Improved housing status consequently leads to significant cost savings.

That's why it is essential that the City's Consolidated Annual Action Plan includes continued support for federal HOPWA funding. Without this vital resource, The Health Trust cannot come close to meeting the needs of this medically fragile community.

Thank you,

A handwritten signature in cursive script, appearing to read "Frederick J. Ferrer".

Frederick J. Ferrer  
Chief Executive Office

3180 Newberry Drive • Suite 200 • San Jose, CA 95118 • (o) 408.513.8700 • (f) 408.448.4055  
[www.healthtrust.org](http://www.healthtrust.org)

## **Section 9: Appendices**

### **Appendix C: Homebuyer and TBRA Program Guidelines**

## Section 9: Appendices

### HOME BUYER PROGRAM GUIDELINES

All homes purchased with HOME funds, are subject to the requirements as set forth in 24 CFR 91 and 92 of the HOME regulations. The City of San José uses HOME funds to provide loans to qualified homebuyers. who want to buy a home in San José

These Homebuyer Guidelines have been developed in accordance with the U.S. Department of Housing and Urban Development (HUD) requirements for both programs and City policies indicated in its *Consolidated Plan*. The Homebuyer Guidelines are extensive and can be found on the Housing Department's website: <http://www.sanjoseca.gov/DocumentCenter/View/1169>

### TENANT BASED RENTAL ASSISTANCE PROGRAM GUIDELINES

#### 1) Eligibility and Preferences

##### a) Programmatic Requirements and Participant Selection Priorities

- Selection of program participants is outlined in the Addendum(s) to these Guidelines.
- Specific requirements for each project are outlined in the Addendum(s) to these Guidelines.
- Program participants must be willing to meet with their assigned case manager throughout their participation in the project. Frequency of case management meetings will be determined by the participant's case manager.

##### b) Housing Requirements

- Applicants must be willing to reside within the City of San José.
- At least 51% of total program participants must be residing in San José at the time that the initial eligibility forms are submitted (if the participant is literally homeless when eligibility forms are submitted, they will be considered a San José resident).
- Applicants must have a total annual income at or below 60% of the Area Median Income in accordance with current HUD income limits. HUD income limits are changed annually and applicant's income must adhere to the limits in place at the time of application and/or recertification.
- Program participants must allow the City's Program Administrator to conduct annual home inspections and make additional visits to their home as necessary.
- Applicants may not be currently residing in a federally subsidized housing program, including other HOME-funded programs.
- Applicants must not have been convicted of methamphetamine production and/or manufacturing within the last three years. Criminal activity will be verified through program participant self-declaration.

#### 2) Application and Selection Procedures

## Section 9: Appendices

- Selection of program participants is outlined in the Addendum(s) to these Guidelines.
- Case managers will attend training, facilitated by the City's Program Administrator, on lease-up procedures and expectations, and instructions for completing the housing application packet.

**Reference the Attached Forms:** *Case Manager Verification Statement of Applicant Eligibility. Housing application packet includes the following:*

- *TBRA Application Checklist,*
  - *TBRA Application Form,*
  - *HUD and Housing Authority Release of Information,*
  - *TBRA Release of Information,*
  - *Statement of Fact / Self-Declaration of Citizenship Status*
  - *Statement of Fact / Self-Declaration of Sex Offender Registrant Status*
  - *HUD Statistical Form*
- 
- The case manager will ensure that the housing application packet is complete and provide it to the City's Program Administrator for their review. If approved, the City's Program Administrator will calculate the applicant's portion of the rent. If the packet is not approved, the case manager will inform the applicant of any missing information and next steps.
  - The City's Program Administrator will coordinate the scheduling of the TBRA Coupon briefing appointment with the applicant and their assigned case manager. The TBRA Coupon briefing appointment will take place at the office of the case manager.
  - The City's Program Administrator will facilitate the TBRA Coupon briefing appointments, which include a discussion of the subsidy coupon and lease-up paperwork, the program participant's monthly rent payment, payment method and process, occupancy standards, utility allowance, inspections, recertification, fair housing rights, and other housing-related information.
  - Each project will use the Section 8 Certificate Program model for determining the program participant's monthly rent payment. These monthly rent payments are based on total household income, the HUD fair market rent, and the cost of housing and utilities for the selected unit.
  - The minimum program participant rent payment will be \$20.00 a month minus a utility allowance, as applicable. If the program participant's utility allowance exceeds the minimum rent there no utility reimbursements will be issued. Program participants may pay zero rent per month, but no utility or other reimbursement checks will be issued. For example, if the program participant's pre-utility allowance rent payment is \$30.00 and the utility allowance is \$40.00, the program participant will not be reimbursed the additional \$10.00. Instead, the program participant's monthly rent payment will be zero.
  - The HOME TBRA subsidy payment to the agent or landlord will **NOT** include the program participant's rent payment. Program participants are required to pay their monthly rent payment directly to the landlord or agent on the 1st of each month in order to retain housing. An exception to this is if a household receives General Assistance (GA). In this case GA will pay the program participant's rent payment directly to the agent or landlord. Therefore, the program participant's rent will be made in two payments totaling the agreed upon contract rent. An addendum to the lease with the specific rent payment amounts will be provided.
  - The Fair Market Rent (FMR) is determined by HUD based on local market conditions. The FMR is used to calculate the monthly HOME TBRA subsidy payment for the program participants. This is what will be used to determine if the rent for the selected unit is fair. These rents change annually and rents will be based on the FMRs in force at the time of application and/or recertification.

## Section 9: Appendices

- TBRA Occupancy Standards use HUD's Section 8 regulations with some exceptions:
  - The maximum number of persons allowed in a studio or zero bedroom unit is two (unless the landlord's provisions allow for only one person).
  - One bedroom units can have a maximum of four persons in the household if the living room qualifies as a sleeping area; more often, a one bedroom will sleep no more than three persons and this most often occurs with a couple and one child.
  - Two bedroom units can house more than four persons if the living room qualifies as a sleeping area. The same standard applies with three and four bedroom units.
  - Each project may assign a one bedroom unit to two people within the following guidelines:
    - The head of household (with a spouse or co-head, if any) will be allocated a separate bedroom from other household members.
    - Persons of different generations (such as grandparents to grandchildren), persons of the opposite sex over the age of five years old, and unrelated adults (other than spouses or significant others) will be allocated separate rooms.
    - A live-in aide will be provided a separate bedroom from other household members. The family of a live-in aide will not be provided any additional bedrooms. Please note that an aide and/or any family members of the eligible head of household or aide will not have any right to the subsidy if the eligible household member no longer receives the subsidy.
    - Single person households may be allocated one bedroom, studio/zero bedroom, or single room occupancy (SRO) unit or one bedroom in a shared housing situation.
  - Other housing scenarios will be discussed on a case-by-case basis and assigned after review and approval by the City's Program Administrator.
  
- The program participant will leave the TBRA Coupon briefing appointment with a TBRA Coupon, a TBRA Request for Unit Approval/Inspection Form to be completed by their prospective landlord, and sample forms to be used during their search for housing. The length of time a program participant has to locate appropriate housing depends on each project, which is specified in the Addendum(s) to these Guidelines.

**Reference the Attached Forms:** *TBRA Coupon (Sample), TBRA Request for Unit Approval/Inspection Form*

- The case manager will work with the program participant to complete the HMIS Standardized Intake, HMIS Release of Information form, and required eligibility documentation. All relevant program participant information must be entered into the Homeless Management Information System (HMIS).

**Reference the Attached Forms:** *HMIS Standardized Intake, HMIS Release of Information*

### 3) Housing Search & Property Standards

- The program participant, with assistance from their assigned case manager, will assess their housing needs and begin searching for an appropriate unit based on the terms and details of their TBRA Coupon.
- Program participants on the Section 8 waiting list must remain on the Section 8 waiting list while receiving TBRA assistance.
- Program participants are free to select the unit of their choice (public-owned or private-owned) within the City of San José and within the guidelines outlined on the program participant's TBRA Coupon.

## Section 9: Appendices

- Case managers will outreach to potential housing agents and landlords and the affordable housing lists maintained by the County of Santa Clara Housing Authority and the City of San José Housing Department to obtain housing for their clients.
- Once a desired and appropriate unit is selected, the TBRA Request for Unit Approval/Inspection Form will be provided to the agent or landlord. The potential agent or landlord will complete and submit the form to the case manager who will submit the form to the City's Program Administrator. Only one TBRA Request for Unit Approval/Inspection Form may be submitted at a time.
- The City's Program Administrator will work with the agent or landlord to conduct a property inspection using HUD Housing Quality Standards (HQS).
- After the unit passes HQS inspection, the City's Program Administrator will work with the agent or landlord to ensure that the property rent is reasonable and comparable to neighboring units; if necessary, rents will be negotiated.
- Once a unit passes inspection and the rent is approved, the agent or landlord will review and sign a TBRA Landlord Contract with the City's Program Administrator. At this time, relevant information about each project will be explained to the agent/landlord, including the payment schedule and methods, case management component, and inspection schedule.

### **Reference the Attached Form:** *TBRA Landlord Contract (Sample)*

- If the unit is not approved, the program participant must continue their search for an appropriate unit. The program participant will be provided another TBRA Request for Unit Approval/Inspection Form.
- If a unit fails the initial inspection due to needed repair(s), the unit can be approved after the repairs are made and a re-inspection is conducted. Other circumstances may result in a unit not being approved or a failed inspection; the City's Program Administrator will keep the case managers informed of each unit's status and the case manager will communicate with the potential landlord.
- If a program participant does not locate housing the specified time limit, the TBRA Coupon will expire. A program participant may have the opportunity to request an extension depending on each project, which is specified in the Addendum(s) to these Guidelines. If the program participant does not locate housing after the specified time limit, the housing search will conclude, the program participant will be terminated, and the next name on the waiting list will be considered.
- The program participant and the renting agent or landlord will sign a lease and a TBRA Lease Addendum, which outlines relevant HOME regulations. The TBRA Lease Addendum runs until the termination of the subsidy. No rent subsidy payments may be made after termination of the lease unless the program participant enters into a new lease and the subsidy is determined to be continued. The TBRA Lease Addendum will provide the standards for termination or refusal to renew.

### **Reference the Attached Form:** *TBRA Lease Addendum (Sample)*

- Program participants may request security deposit assistance from the City's Program Administrator, if necessary. If the assistance is approved the program participant's security

## Section 9: Appendices

deposit will be paid by the TBRA Program. There is no guarantee that the deposit assistance will be approved.

- Program participants may be able to keep any remaining TBRA Program provided security deposit when they leave depending on the specifications of each project, which are outlined in the Addendum(s) to these Guidelines.
- Once a participant selects a unit, it is approved by the City's Program Administrator, and all move-in paperwork is signed, their subsidy begins. The program participant's calculated subsidy will be sent to the agent or landlord by the City's Program Administrator. The program participant will pay their share of the rent directly to the agent or landlord.
- The program participant must alert the landlord and their case manager if there is a need for a reasonable accommodation to the unit. The agent or landlord must make and pay for structural changes to facilities, if needed, as a reasonable accommodation for program participants with disabilities, unless doing so poses an undue financial and administrative burden.

### 4) Move-In and Program Maintenance

#### a) Programmatic Requirements

- The case managers will be responsible for assisting the program participants with obtaining furnishings, as needed. Program participants may utilize other resources from Destination: Home depending on the specifications of each project, which are outlined in the Addendum(s) to these Guidelines.
- The case managers will communicate the move-in status of all program participants to the City's Program Administrator. The case managers should perform a cosmetic inspection with the program participant upon move-in and record any existing damages to ensure that at exit the program participant is not inappropriately charged for repairs. If the case manager finds issues with a unit during their inspection, they must notify the City's Program Administrator and landlord in writing. A written summary of the issue must be stored in the program participant's case file.
- Program participants are expected to maintain contact and meet regularly with their assigned case manager.
- The case managers must communicate with the applicable landlord if they know a program participant is going to be delinquent on their rent payment. The case manager will follow up accordingly with the City's Program Administrator and the participant who is not paying their payment. If necessary, the case manager can seek out the guidance or mediation help of the City's Program Administrator.
- If the program participant is unable to pay their portion of their rent the case manager will provide them with referrals to potential resources. If it is determined that the program participant is unwilling to pay the rent payment, the case manager will confer with the City's Program Administrator to determine the next steps.
- If a program participant has a serious health crisis, violates TBRA program regulations, or commits a criminal act, the case manager will complete an Incident Report. The case manager will provide a copy of the Incident Report to the City's Program Administrator. The report will be discussed between the case manager and the program participant and a copy will be stored in the program participant's case file.

## Section 9: Appendices

- Should the seriousness of the incident warrant exiting the program participant from the project, all relevant information must be obtained from the case manager, program participant, and landlord. The case manager and the landlord will determine if the program participant will be given a 30-day notice to leave the premises or if they may remain in the project.
- No additional tenants will be added to the household without the approval of the case manager and the City's Program Administrator.

### b) Housing Requirements

- The program participant's calculated portion of the rent must be paid in full to the agent or landlord on the first of every month. Failure to pay their rent may result in the program participant's termination from the program. Rent is to be paid by check or money order (no cash) and mailed to the agent or landlord. The program participant's name and address must be clearly written on the payment.
- The TBRA balance/subsidy of each participant's monthly rental amount will be paid directly to the landlord and/or agent by the City's Program Administrator (with the exception of those receiving GA).
- Only electricity, gas, water and garbage bills will affect the program participant's rental portion via household allowances. Payment of all other bills will be the responsibility of the program participant and will not affect the amount or status of the program participant's monthly portion of the rent.
- The lease between the landlord and program participants will state who pays the utilities. If the program participant is responsible for any utilities, the bill must be paid on time. Failure to do so will jeopardize the housing subsidy, as a unit without adequate utility service violates the Housing Quality Standards (HQS).
- The City's Program Administrator will review and make a recommendation on any proposed rent increase by a program participant's landlord renting to participants; owners may adjust rents, as appropriate, as leases are renewed (generally annually).
- The City's Program Administrator will inspect the program participant's units at move-in and do an annual re-inspection, plus any special inspections as needed. Participating landlords will be advised of any HQS violations. Copies of the inspection results will be provided to the landlord, the case manager, and/or the client upon request. The landlord may schedule and conduct his/her own inspections of the unit.
- The program participant's income and household size and composition will be re-examined by the City's Program Administrator annually through a recertification process. At that time their portion of the rent will be adjusted as needed. If the program participant's income goes above the Section 8 income limit at re-examination, the TBRA subsidy assistance will be terminated after reasonable notice is provided to the program participant and landlord.
- If a program participant's income changes, they must alert their case manager. The case manager must report the change in writing to the City's Program Administrator within 10 days. The written notification must include verification of the new income amount. The City's Program Administrator will determine the date to recertify the program participant's income. There will be no retroactive rents processed older than 30 days. Any questions about program participant rent shares should be directed to the City's Program Administrator.

## Section 9: Appendices

- Failure to report increases in income when they occur may result in the program participant paying back the overage in subsidy payments back to the City's Program Administrator. Failure to pay back the rent difference will result in the participant's termination from the Program, resulting in the loss of TBRA assistance. For example, if the program participant's rent subsidy is \$100 and they neglect to report an income increase that occurred 5 months prior which would have resulted in a \$10 decrease in their subsidy, the program participant would need to repay the City's Program Administrator \$50 (\$10 difference X 5 months).
- All program participants must follow the maintenance-related procedures outlined in the lease with their agent or landlord. Program participants must notify their case manager and landlord in writing of any maintenance issues (including the nature of the repair and the date the issue was noticed). If the landlord does not respond to the request or otherwise offer a satisfactory solution within 10 days or determined a reasonable amount of time, the participant must notify the case manager. The case manager will notify the City's Program Administrator in the event of any violation of HUD's Housing Quality Standards.

### 5) TBRA Program Exit Policy

#### a) Programmatic Requirements

- If the program participant request to move from their unit and the reason is determined by the case manager and the City's Program Administrator as acceptable, the TBRA subsidy will move with the program participant.
- The case manager will work with the program participant to ensure compliance.
- An eviction by the landlord for cause may result in the participant's termination of the TBRA subsidy.
- When the participant's eviction from their unit is questionable, the case manager can present a case to the City's Program Administrator to advocate for the continuance of the TBRA subsidy in an alternative unit.
- Program participants' TBRA subsidy may terminate if they participate in any of the following:
  - Violence towards neighbors or program staff
  - General threats to neighbors, program staff, or landlord
  - Allow unauthorized persons to live in the unit
  - Abandon the unit
  - Cause destruction to the unit
  - Create HQS violations or sub-standard housing conditions, such as allowing the utilities to be turned off
  - Stalking
  - Additional issues that may result in termination, depending on each project, are outlined in the Addendum(s) in these Guidelines
- The case manager must provide a written notification to the City's Program Administrator if any program participant's behavior or actions may result in the termination of their TBRA subsidy. An e-mail will satisfy the written notification requirement.
- The case manager may not be involved with the serving or receiving of any legal unit notices from the landlord to the program participant; they may, however, serve TBRA assistance termination notices/letters to the program participant as advised by the City's Program Administrator.

## Section 9: Appendices

- The landlord, not the case manager, must legally address any abandoned items after the program participant leaves their rental unit.
- Program participants that exit the TBRA Program voluntarily may re-apply for assistance after six months, if the waiting list re-opens.
- If a program participant loses their TBRA subsidy and housing due to being hospitalized or institutionalized for an extended period of time (the unit may be held for up to three months), they may re-apply for assistance after six months, if the waiting list re-opens.
- If the program participant is exited for violent behavior or non-payment of rent, he/she may not be able to re-apply to the program.
- If the program participant caused significant damage to a unit (at the judgment of the case manager) resulting in their being evicted from the unit, he/she may not be able to re-apply for assistance.

### b) Housing Requirements

- The City's Program Administrator will mail a letter to the agent or landlord of all program participants whose TBRA subsidy is scheduled to terminate or expire within 90 days. A copy of this letter will also be mailed directly to each program participant, case manager, and the case manager's supervisor.
- The agent or landlord must be notified in writing of a program participant's termination from the TBRA Program.
- If an inspection occurs prior to a program participant's exit of the unit, the agent or landlord will complete a form with a list of all costs of repairs and damages. The original form will be placed in the program participant's case file and copies will be provided to the program participant and the City's Program Administrator. The cost of the damage will be subtracted from the program participant's security deposit. The landlord has the option of considering other means to collect any funds due in excess of the deposit amount. The City's Program Administrator will not bill the program participant for damages.
- The City's Program Administrator will pay a program participant's rent through the end of the month following his/her death.
- Once the case manager has determined that a unit is abandoned by a program participant, the landlord will be given written notification and to the extent not covered by the security deposit, rent will be paid to the end of the month following the abandonment.
- In the event a program participant needs a temporary extension of the TBRA subsidy and funds exist, an extension may be granted upon the recommendation of program participant's case manager. It will be granted provided all of the following criteria are met:
  - The program participant must have located housing (either new housing or their exiting unit) and has either a lease agreement for a future move in date or a letter of intent to rent from the landlord/agent (the lease or letter must be provided to the City's Program Administrator).
  - The case manager has verified that the program participant will be able to afford the housing on a long-term basis either through their own income, including benefits, or a housing subsidy (for example Section 8 or other housing subsidy program).

## Section 9: Appendices

- The case manager has verified with the current landlord or agent that they are willing to accept the extension (verification documentation from the case manager must be provided to the City's Program Administrator).
- The extension process will go as follows:
  - The case manager contacts the City's Program Administrator with documentation (see above) to support an extension recommendation.
  - The City's Program Administrator informs the agent or landlord if the upcoming scheduled exit date is secure or needs adjusting based on the recommendation.
  - Extensions will only be granted for the time period between the scheduled exit date and when their new unit or subsidy is available.
  - Extensions may be granted in monthly increments.
  - If the program participant's TBRA subsidy is extended, the case manager will continue to work with the program participant throughout the extension.

### 6) Grievance Process

- Program participants who have a complaint about a service and/or procedure of the project, or overall TBRA Program, may file a grievance. In order to file a grievance the program participant must adhere to the following process:
  - The program participant is encouraged to first discuss the matter with their case manager. If an informal resolution is not achieved, or the program participant does not feel that they may discuss the matter with their case manager, they may file a written grievance with the case manager's supervisor.
  - A grievance must be filed within 30 calendar days of the incident.
  - The grievance must include a detailed written statement explaining the specific incident or experience, their complaint, and the desired outcome; the statement must be signed and dated.
  - If the grievance is not filed within 30 days of the incident for which it refers, the grievance shall be considered invalid.
  - The case manager's supervisor must set up a meeting with the case manager, and the program participant must be held within 10 business days of the supervisor's receipt of the grievance to resolve the issue. If the program participant fails to attend the meeting will continue based on complaint.
  - To formalize what was discussed and decided in the meeting, the case manager's supervisor will provide a written letter to the program participant within 5 business days of the final decision to document the outcome of the meeting. This decision shall be final.

### 7) Appeals Process

- All program participants shall have the opportunity to appeal termination decisions.
  - Within 30 calendar days of the decision, the program participant must complete a detailed written statement explaining why they should not be terminated; the statement must be signed and dated.
  - If the appeal is not filed within 30 days of the decision with the program participant's case manager, the appeal shall be considered invalid.
  - The case manager must set up a meeting to give the program participant a chance to discuss their appeal. This appeal meeting must be held within 10 business days of the case manager's receipt of the appeal. If the program participant fails to attend the meeting the appeal will be considered waived.
  - To formalize the decision after this meeting, the case manager will provide a written letter to the program participant within 5 business days regarding the outcome of the meeting. This decision shall be final.

## Section 9: Appendices

- The case manager will evaluate the appeal based on the following considerations:
  - If the termination is based on a lease violation, the program participant may seek assistance from their case manager; however, the termination decision lies solely with the landlord or agent.
  - If a participant is being terminated from the program because they will have been in the TBRA Program for the maximum amount of time of two years, they must meet with their case manager to discuss their efforts in making progress on their Exit Plan. Based on the program participant's situation, the case manager can:
    1. Submit a request to the City's Program Administrator to extend the TBRA subsidy beyond the program participant's identified end date if they have secured an alternative sustainable housing option with a defined move-in date or date that the subsidy/income will begin. The alternative sustainable housing options may include a permanent affordable housing unit, ongoing housing subsidy such as a Section 8 Voucher, or the guarantee of sufficient additional income to make the designated unit affordable. The City's Program Administrator can automatically extend the program participant's subsidy end date through the start date of their new housing situation.
    2. Submit a request to the City's Program Administrator recommending that the participant's program end date be extended by 6 months if the participant has made significant efforts to locate a sustainable permanent housing situation during their tenure in the pilot program and have been provided with no more than 3 sustainable housing options. The City's Program Administrator may approve up to 2 extensions of six months each (for a combined total of one year).
    3. If the program participant has made no effort to locate alternative housing during their tenure in the pilot program, the case manager can submit a written report to the City's Administrator describing this situation with a recommendation that they not be provided with a TBRA subsidy extension.
    4. All documentation in response to an Appeal must be stored in the case files.

### 8) Fair Housing and Non-Discriminatory Policy

- TBRA Program staff may not discriminate against any person(s) in the terms, conditions or privileges of rental of an apartment or otherwise make unavailable or deny the provisions of services or facilities in connection therewith, because of race, color, religion, national origin, age, familial status, disability, or sex. They will obey all applicable federal, State, and local fair housing laws and promote affirmative fair housing.
- TBRA Program staff shall adhere to the provisions of Title VI of the Civil Rights Act of 1964 (42 USC 2000d et seq.), Title VIII of the Civil Rights Act of 1968 (42 USC 3601 et seq.), and the provisions of the Rumford Act and Unruh Act in California Law.
- Housing providers that receive federal financial assistance are subject to the requirements of Section 504 of the Rehabilitation Act of 1973. 29 U.S.C. § 794. Section 504, and its implementing regulations in 24 C.F.R. Part 8, prohibit discrimination based on disability, and obligate housing providers to make and pay for structural changes to facilities, if needed as a reasonable accommodation for applicants and tenants with disabilities, unless doing so poses an undue financial and administrative burden.
- All advertising shall conform to Section 804 (c) of Title VIII of the Civil Rights Act of 1968 (42 USC 3604 (c)), as amended, which makes it unlawful to make, print or publish, or cause to be made, printed, or published any notice, statement or advertisement, with respect to the sale or rental of a dwelling, that indicates any preference limitation, or discrimination based on race, color,

## Section 9: Appendices

religion, ancestry, sex, national origin, age, sexual orientation, marital status, families with children, medical condition, source of income, and physical or mental disability, or an intention to make such preference, limitation or discrimination.

- All radio, TV, or newspaper advertising, pamphlets, or brochures used will identify the project's disability accessibility and contain the appropriate fair housing logotype or the equal housing opportunity slogan. In all space advertising, the equal housing opportunity logotype statement or slogan shall be of a size, which conforms to the standards of Fair Housing Advertising. Any human models used in photographs, drawings or other graphic techniques shall portray persons in an equal social setting and shall indicate that the housing is open to all without regard to race, color, religion, ancestry, sex, national origin, age, sexual orientation, marital status, families with children, medical condition, source of income, and physical or mental disability (must possess capacity to enter into legal contract) and is not for exclusive use of one such group.
- It should be noted that persons applying as a result of special outreach may be ill at ease and may appear unwilling to cooperate with staff in the eligibility process. Staff will take the time to explain the TBRA Program, or specific project, and how the different requirements work and how they may benefit the applicant. TBRA Program staff shall make a positive effort to provide all applicants with all the assistance and information they need.

### 9) Partner Roles

- Duties of partner agencies for each project are outlined in the Addendum(s) to these Guidelines.

## **Section 9: Appendices**

### **Appendix D: Applications for Federal Assistance (SF-424)**

# Section 9: Appendices



## APPLICATION FOR FEDERAL ASSISTANCE

OMB Approved No. 3076-0006

Version 7/03

<b>1. TYPE OF SUBMISSION:</b> Application		<b>2. DATE SUBMITTED</b> 5/13/2013	Applicant Identifier
<input type="checkbox"/> Construction	<input type="checkbox"/> Pre-application	<b>3. DATE RECEIVED BY STATE</b>	State Application Identifier
<input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Construction	<b>4. DATE RECEIVED BY FEDERAL AGENCY</b>	Federal Identifier B-12-MC-06-0021
<input type="checkbox"/> Non-Construction	<input type="checkbox"/> Non-Construction		
<b>5. APPLICANT INFORMATION</b>			
Legal Name: City of San José		Organizational Unit: Department: Housing Department	
Organizational DUNS: 063541874		Division: N/A	
Address: Street: 200 East Santa Clara Street		Name and telephone number of person to be contacted on matters involving this application (give area code)	
City: San José		Prefix: Ms	First Name: Leslye
County: Santa Clara		Middle Name	
State: CA	Zip Code 95113	Last Name Corsiglia	
Country: USA		Suffix:	
<b>6. EMPLOYER IDENTIFICATION NUMBER (EIN):</b> 94-6000419		Phone Number (give area code) (408) 535-3851	Fax Number (give area code) (408) 292-6203
<b>8. TYPE OF APPLICATION:</b> <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify) <input type="checkbox"/> <input type="checkbox"/>		<b>7. TYPE OF APPLICANT:</b> (See back of form for Application Types) C. Municipal Other (specify)	
<b>10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:</b> TITLE (Name of Program): COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) 14-218		<b>9. NAME OF FEDERAL AGENCY:</b> U.S. Department of Housing and Urban Development	
<b>12. AREAS AFFECTED BY PROJECT</b> (Cities, Counties, States, etc.): City of San José, California		<b>11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:</b> Community Development Initiatives; Public Service Projects; Fair Housing Projects; Section 108 Debt Service; Planning and Administration;	
<b>13. PROPOSED PROJECT</b> Start Date: 07/01/2013 Ending Date: 06/30/2014		<b>14. CONGRESSIONAL DISTRICTS OF:</b> a. Applicant 11,13,14,15,16 b. Project	
<b>15. ESTIMATED FUNDING:</b>		<b>16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</b>	
a. Federal	\$ 7,448,270 <sup>00</sup>	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE:	
b. Applicant	\$ <sup>00</sup>	b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372	
c. State	\$ <sup>00</sup>	<input checked="" type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
d. Local	\$ <sup>00</sup>	<b>17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?</b>	
e. Other	\$ <sup>00</sup>	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No	
f. Program Income	\$ 700,000 <sup>00</sup>		
g. TOTAL	\$ 8,148,270 <sup>00</sup>		
<b>18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.</b>			
a. Authorized Representative			
Prefix Ms	First Name Leslye	Middle Name	
Last Name Corsiglia			Suffix
b. Title Director	c. Telephone Number (give area code) (408) 535-3851		
d. Signature of Authorized Representative	e. Date Signed 5/9/13		

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Standard Form 424 (Rev.9-2003)  
Prescribed by OMB Circular A-102

# Section 9: Appendices



## INSTRUCTIONS FOR THE SF-424

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

This is a standard form used by applicants as a required face sheet for pre-applications and applications submitted for Federal assistance. It will be used by Federal agencies to obtain applicant certification that States which have established a review and comment procedure in response to Executive Order 12372 and have selected the program to be included in their process, have been given an opportunity to review the applicant's submission.

Item:	Entry:	Item:	Entry:																
1.	Select Type of Submission.	11.	Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project.																
2.	Date application submitted to Federal agency (or State if applicable) and applicant's control number (if applicable).	12.	List only the largest political entities affected (e.g., State, counties, cities).																
3.	State use only (if applicable).	13.	Enter the proposed start date and end date of the project.																
4.	Enter Date Received by Federal Agency Federal identifier number: If this application is a continuation or revision to an existing award, enter the present Federal Identifier number. If for a new project, leave blank.	14.	List the applicant's Congressional District and any District(s) affected by the program or project																
5.	Enter legal name of applicant, name of primary organizational unit (including division, if applicable), which will undertake the assistance activity, enter the organization's DUNS number (received from Dun and Bradstreet), enter the complete address of the applicant (including country), and name, telephone number, e-mail and fax of the person to contact on matters related to this application.	15.	Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 15.																
6.	Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service.	16.	Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process.																
7.	Select the appropriate letter in the space provided. <table style="display: inline-table; vertical-align: top; margin-left: 20px;"> <tr> <td>A. State</td> <td>I. State Controlled Institution of Higher Learning</td> </tr> <tr> <td>B. County</td> <td>J. Private University</td> </tr> <tr> <td>C. Municipal</td> <td>K. Indian Tribe</td> </tr> <tr> <td>D. Township</td> <td>L. Individual</td> </tr> <tr> <td>E. Interstate</td> <td>M. Profit Organization</td> </tr> <tr> <td>F. Intermunicipal</td> <td>N. Other (Specify)</td> </tr> <tr> <td>G. Special District</td> <td>O. Not for Profit Organization</td> </tr> <tr> <td>H. Independent School District</td> <td></td> </tr> </table>	A. State	I. State Controlled Institution of Higher Learning	B. County	J. Private University	C. Municipal	K. Indian Tribe	D. Township	L. Individual	E. Interstate	M. Profit Organization	F. Intermunicipal	N. Other (Specify)	G. Special District	O. Not for Profit Organization	H. Independent School District		17.	This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.
A. State	I. State Controlled Institution of Higher Learning																		
B. County	J. Private University																		
C. Municipal	K. Indian Tribe																		
D. Township	L. Individual																		
E. Interstate	M. Profit Organization																		
F. Intermunicipal	N. Other (Specify)																		
G. Special District	O. Not for Profit Organization																		
H. Independent School District																			
8.	Select the type from the following list: <ul style="list-style-type: none"> <li>• "New" means a new assistance award.</li> <li>• "Continuation" means an extension for an additional funding/budget period for a project with a projected completion date.</li> <li>• "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision enter the appropriate letter:  <table style="display: inline-table; vertical-align: top; margin-left: 20px;"> <tr> <td>A. Increase Award</td> <td>B. Decrease Award</td> </tr> <tr> <td>C. Increase Duration</td> <td>D. Decrease Duration</td> </tr> </table> </li> </ul>	A. Increase Award	B. Decrease Award	C. Increase Duration	D. Decrease Duration	18.	To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)												
A. Increase Award	B. Decrease Award																		
C. Increase Duration	D. Decrease Duration																		
9.	Name of Federal agency from which assistance is being requested with this application.																		
10.	Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested.																		

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APPLICATION FOR FEDERAL ASSISTANCE		OMB Approved No. 3076-0006		Version 7/03	
1. TYPE OF SUBMISSION: Application		2. DATE SUBMITTED 5/13/2013		Applicant Identifier	
<input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction		3. DATE RECEIVED BY STATE		State Application Identifier	
<input type="checkbox"/> Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		4. DATE RECEIVED BY FEDERAL AGENCY		Federal Identifier M-12-MC-06-0215	
5. APPLICANT INFORMATION					
Legal Name: City of San José			Organizational Unit: Department: Housing Department		
Organizational DUNS: 063541874			Division: N/A		
Address: Street: 200 East Santa Clara Street			Name and telephone number of person to be contacted on matters involving this application (give area code)		
City: San José			Prefix: Ms	First Name: Leslye	
County: Santa Clara			Middle Name		
State: CA			Last Name Corsiglia		
Zip Code 95113			Suffix:		
Country: USA			Email: leslye.corsiglia@sanjoseca.gov		
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 94-6000419			Phone Number (give area code) (408) 535-3851		Fax Number (give area code) (408) 292-6203
8. TYPE OF APPLICATION: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify)			7. TYPE OF APPLICANT: (See back of form for Application Types) C. Municipal Other (specify)		
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): HOME INVESTMENTS PARTNERSHIP PROGRAM (HOME) 14-239			9. NAME OF FEDERAL AGENCY: U.S. Department of Housing and Urban Development		
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City of San José, California			11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: New Construction of rental units for ELI, VLI, large families; Home-ownership assistance programs; CHDO expenses; Planning & Administration; TBRA, Rehabilitation		
13. PROPOSED PROJECT Start Date: 07/01/2013 Ending Date: 06/30/2014			14. CONGRESSIONAL DISTRICTS OF: a. Applicant 11,13,14,15,16 b. Project		
15. ESTIMATED FUNDING:			16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?		
a. Federal \$ 2,335,136 <sup>00</sup>			a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON		
b. Applicant \$			DATE:		
c. State \$			b. No. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372		
d. Local \$			<input checked="" type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW		
e. Other \$			17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?		
f. Program Income \$ 700,000 <sup>00</sup>			<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No		
g. TOTAL \$ 3,035,136 <sup>00</sup>			18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.		
a. Authorized Representative					
Prefix Ms		First Name Leslye		Middle Name	
Last Name Corsiglia		Suffix			
b. Title Director		c. Telephone Number (give area code) (408) 535-3851			
d. Signature of Authorized Representative		e. Date Signed 5/9/13			

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# Section 9: Appendices



## INSTRUCTIONS FOR THE SF-424

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Item:	Entry:	Item:	Entry:																
1.	Select Type of Submission.	11.	Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project.																
2.	Date application submitted to Federal agency (or State if applicable) and applicant's control number (if applicable).	12.	List only the largest political entities affected (e.g., State, counties, cities).																
3.	State use only (if applicable).	13.	Enter the proposed start date and end date of the project.																
4.	Enter Date Received by Federal Agency Federal identifier number: If this application is a continuation or revision to an existing award, enter the present Federal Identifier number. If for a new project, leave blank.	14.	List the applicant's Congressional District and any District(s) affected by the program or project																
5.	Enter legal name of applicant, name of primary organizational unit (including division, if applicable), which will undertake the assistance activity, enter the organization's DUNS number (received from Dun and Bradstreet), enter the complete address of the applicant (including country), and name, telephone number, e-mail and fax of the person to contact on matters related to this application.	15.	Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 15.																
6.	Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service.	16.	Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process.																
7.	Select the appropriate letter in the space provided. <table style="display: inline-table; vertical-align: top; margin-left: 20px;"> <tr><td>A. State</td><td>I. State Controlled Institution of Higher Learning</td></tr> <tr><td>B. County</td><td>J. Private University</td></tr> <tr><td>C. Municipal</td><td>K. Indian Tribe</td></tr> <tr><td>D. Township</td><td>L. Individual</td></tr> <tr><td>E. Interstate</td><td>M. Profit Organization</td></tr> <tr><td>F. Intermunicipal</td><td>N. Other (Specify)</td></tr> <tr><td>G. Special District</td><td>O. Not for Profit Organization</td></tr> <tr><td>H. Independent School District</td><td></td></tr> </table>	A. State	I. State Controlled Institution of Higher Learning	B. County	J. Private University	C. Municipal	K. Indian Tribe	D. Township	L. Individual	E. Interstate	M. Profit Organization	F. Intermunicipal	N. Other (Specify)	G. Special District	O. Not for Profit Organization	H. Independent School District		17.	This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.
A. State	I. State Controlled Institution of Higher Learning																		
B. County	J. Private University																		
C. Municipal	K. Indian Tribe																		
D. Township	L. Individual																		
E. Interstate	M. Profit Organization																		
F. Intermunicipal	N. Other (Specify)																		
G. Special District	O. Not for Profit Organization																		
H. Independent School District																			
8.	Select the type from the following list: <ul style="list-style-type: none"> <li>• "New" means a new assistance award.</li> <li>• "Continuation" means an extension for an additional funding/budget period for a project with a projected completion date.</li> <li>• "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision enter the appropriate letter:  <table style="display: inline-table; vertical-align: top; margin-left: 20px;"> <tr><td>A. Increase Award</td><td>B. Decrease Award</td></tr> <tr><td>C. Increase Duration</td><td>D. Decrease Duration</td></tr> </table> </li> </ul>	A. Increase Award	B. Decrease Award	C. Increase Duration	D. Decrease Duration	18.	To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)												
A. Increase Award	B. Decrease Award																		
C. Increase Duration	D. Decrease Duration																		
9.	Name of Federal agency from which assistance is being requested with this application.																		
10.	Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested.																		

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# Section 9: Appendices



APPLICATION FOR FEDERAL ASSISTANCE		OMB Approved No. 3076-0006		Version 7/03	
1. TYPE OF SUBMISSION: Application		2. DATE SUBMITTED 5/13/2013		Applicant Identifier	
<input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction		3. DATE RECEIVED BY STATE		State Application Identifier	
<input type="checkbox"/> Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		4. DATE RECEIVED BY FEDERAL AGENCY		Federal Identifier B-12-MC-06-021	
5. APPLICANT INFORMATION					
Legal Name: City of San José			Organizational Unit: Department: Housing Department		
Organizational DUNS: 063541874			Division: N/A		
Address: Street: 200 East Santa Clara Street			Name and telephone number of person to be contacted on matters involving this application (give area code)		
City: San José			Prefix: Ms	First Name: Leslye	
County: Santa Clara			Middle Name		
State: CA			Last Name Corsiglia		
Zip Code 95113			Suffix:		
Country: USA			Email: leslye.corsiglia@sanjoseca.gov		
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 94-6000419			Phone Number (give area code) (408) 535-3851		Fax Number (give area code) (408) 292-6203
8. TYPE OF APPLICATION: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.)			7. TYPE OF APPLICANT: (See back of form for Application Types) C. Municipal Other (specify)		
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): EMERGENCY SOLUTIONS GRANT (ESG) 14-231			9. NAME OF FEDERAL AGENCY: U.S. Department of Housing and Urban Development		
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City of San José, California			11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Full Service, Multi-disciplinary Street Outreach Programs; Full Service Programs for Homeless Youth and Families; Homeless Management Information System; Planning and Administration;		
13. PROPOSED PROJECT Start Date: 07/01/2013 Ending Date: 06/30/2014			14. CONGRESSIONAL DISTRICTS OF: a. Applicant 11,13,14,15,16 b. Project		
15. ESTIMATED FUNDING:			16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?		
a. Federal \$ 752,833 <sup>00</sup>			a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE:		
b. Applicant \$ <sup>00</sup>			b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372		
c. State \$ <sup>00</sup>			<input checked="" type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW		
d. Local \$ <sup>00</sup>			17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?		
e. Other \$ <sup>00</sup>			<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No		
f. Program Income \$ <sup>00</sup>					
g. TOTAL \$ 752,833 <sup>00</sup>					
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.					
a. Authorized Representative					
Prefix Ms		First Name Leslye		Middle Name	
Last Name Corsiglia		Suffix			
b. Title Director		c. Telephone Number (give area code) (408) 535-3851			
d. Signature of Authorized Representative		e. Date Signed 5/9/13			
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# Section 9: Appendices



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This is a standard form used by applicants as a required face sheet for pre-applications and applications submitted for Federal assistance. It will be used by Federal agencies to obtain applicant certification that States which have established a review and comment procedure in response to Executive Order 12372 and have selected the program to be included in their process, have been given an opportunity to review the applicant's submission.

Item:	Entry:	Item:	Entry:																
1.	Select Type of Submission.	11.	Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project.																
2.	Date application submitted to Federal agency (or State if applicable) and applicant's control number (if applicable).	12.	List only the largest political entities affected (e.g., State, counties, cities).																
3.	State use only (if applicable).	13.	Enter the proposed start date and end date of the project.																
4.	Enter Date Received by Federal Agency Federal identifier number: If this application is a continuation or revision to an existing award, enter the present Federal Identifier number. If for a new project, leave blank.	14.	List the applicant's Congressional District and any District(s) affected by the program or project																
5.	Enter legal name of applicant, name of primary organizational unit (including division, if applicable), which will undertake the assistance activity, enter the organization's DUNS number (received from Dun and Bradstreet), enter the complete address of the applicant (including country), and name, telephone number, e-mail and fax of the person to contact on matters related to this application.	15.	Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 15.																
6.	Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service.	16.	Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process.																
7.	Select the appropriate letter in the space provided. <table border="0" style="width: 100%;"> <tr> <td style="width: 50%;">A. State</td> <td style="width: 50%;">I. State Controlled Institution of Higher Learning</td> </tr> <tr> <td>B. County</td> <td>J. Private University</td> </tr> <tr> <td>C. Municipal</td> <td>K. Indian Tribe</td> </tr> <tr> <td>D. Township</td> <td>L. Individual</td> </tr> <tr> <td>E. Interstate</td> <td>M. Profit Organization</td> </tr> <tr> <td>F. Intermunicipal</td> <td>N. Other (Specify)</td> </tr> <tr> <td>G. Special District</td> <td>O. Not for Profit Organization</td> </tr> <tr> <td>H. Independent School District</td> <td></td> </tr> </table>	A. State	I. State Controlled Institution of Higher Learning	B. County	J. Private University	C. Municipal	K. Indian Tribe	D. Township	L. Individual	E. Interstate	M. Profit Organization	F. Intermunicipal	N. Other (Specify)	G. Special District	O. Not for Profit Organization	H. Independent School District		17.	This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.
A. State	I. State Controlled Institution of Higher Learning																		
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8.	Select the type from the following list: <ul style="list-style-type: none"> <li>• "New" means a new assistance award.</li> <li>• "Continuation" means an extension for an additional funding/budget period for a project with a projected completion date.</li> <li>• "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision enter the appropriate letter:  <table border="0" style="margin-left: 20px;"> <tr> <td>A. Increase Award</td> <td>B. Decrease Award</td> </tr> <tr> <td>C. Increase Duration</td> <td>D. Decrease Duration</td> </tr> </table> </li> </ul>	A. Increase Award	B. Decrease Award	C. Increase Duration	D. Decrease Duration	18.	To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)												
A. Increase Award	B. Decrease Award																		
C. Increase Duration	D. Decrease Duration																		
9.	Name of Federal agency from which assistance is being requested with this application.																		
10.	Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested.																		

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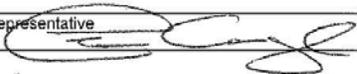
# Section 9: Appendices



## APPLICATION FOR FEDERAL ASSISTANCE

OMB Approved No. 3076-0006

Version 7/03

<b>1. TYPE OF SUBMISSION:</b> Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction		<b>2. DATE SUBMITTED</b> 5/13/2013 Applicant Identifier
<input type="checkbox"/> Pre-application <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	<b>3. DATE RECEIVED BY STATE</b> State Application Identifier	<b>4. DATE RECEIVED BY FEDERAL AGENCY</b> Federal Identifier CAH-12-P-06-004
<b>5. APPLICANT INFORMATION</b>		
<b>Legal Name:</b> City of San José		<b>Organizational Unit:</b> Department: Housing Department
<b>Organizational DUNS:</b> 063541874		<b>Division:</b> N/A
<b>Address:</b> Street: 200 East Santa Clara Street		<b>Name and telephone number of person to be contacted on matters involving this application (give area code)</b> Prefix: Ms First Name: Leslye
City: San José County: Santa Clara State: CA Zip Code: 95113		Middle Name: Last Name: Corsiglia Suffix:
<b>6. EMPLOYER IDENTIFICATION NUMBER (EIN):</b> 94-6000419		<b>Email:</b> leslye.corsiglia@sanjoseca.gov <b>Phone Number (give area code)</b> (408) 535-3851 <b>Fax Number (give area code)</b> (408) 292-6203
<b>8. TYPE OF APPLICATION:</b> <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify)		<b>7. TYPE OF APPLICANT:</b> (See back of form for Application Types) C. Municipal Other (specify)
<b>10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:</b> TITLE (Name of Program): HOUSING OPPORTUNITIES FOR PEOPLE WITH AIDS (HOPWA)		<b>9. NAME OF FEDERAL AGENCY:</b> U.S. Department of Housing and Urban Development <b>11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:</b> AIDS Services; Planning and Administration;
<b>12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):</b> Santa Clara County, San Benito County		<b>13. PROPOSED PROJECT</b> Start Date: 07/01/2013 Ending Date: 06/30/2014
<b>15. ESTIMATED FUNDING:</b>		<b>14. CONGRESSIONAL DISTRICTS OF:</b> a. Applicant 11,13,14,15,16,17 b. Project
a. Federal \$ 834,287 <sup>00</sup> b. Applicant \$ <sup>00</sup> c. State \$ <sup>00</sup> d. Local \$ 0 <sup>00</sup> e. Other \$ <sup>00</sup> f. Program Income \$ <sup>00</sup> g. TOTAL \$ 834,287 <sup>00</sup>		<b>16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</b> a. Yes <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE: b. No <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input checked="" type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
<b>18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.</b>		<b>17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?</b> <input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No
<b>a. Authorized Representative</b> Prefix: Ms First Name: Leslye Middle Name: Last Name: Corsiglia Suffix:		<b>c. Telephone Number (give area code)</b> (408) 535-3851
<b>b. Title</b> Director		<b>e. Date Signed</b> 5/9/13
<b>d. Signature of Authorized Representative</b> 		

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# Section 9: Appendices



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1.	Select Type of Submission.	11.	Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project.																		
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7.	Select the appropriate letter in the space provided. <table style="width: 100%; border: none;"> <tr> <td style="width: 50%;">A. State</td> <td style="width: 50%;">I. State Controlled</td> </tr> <tr> <td>B. County</td> <td>Institution of Higher</td> </tr> <tr> <td>C. Municipal</td> <td>Learning</td> </tr> <tr> <td>D. Township</td> <td>J. Private University</td> </tr> <tr> <td>E. Interstate</td> <td>K. Indian Tribe</td> </tr> <tr> <td>F. Intermunicipal</td> <td>L. Individual</td> </tr> <tr> <td>G. Special District</td> <td>M. Profit Organization</td> </tr> <tr> <td>H. Independent School District</td> <td>N. Other (Specify)</td> </tr> <tr> <td></td> <td>O. Not for Profit Organization</td> </tr> </table>	A. State	I. State Controlled	B. County	Institution of Higher	C. Municipal	Learning	D. Township	J. Private University	E. Interstate	K. Indian Tribe	F. Intermunicipal	L. Individual	G. Special District	M. Profit Organization	H. Independent School District	N. Other (Specify)		O. Not for Profit Organization	17.	This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.
A. State	I. State Controlled																				
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C. Municipal	Learning																				
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8.	Select the type from the following list: <ul style="list-style-type: none"> <li>• "New" means a new assistance award.</li> <li>• "Continuation" means an extension for an additional funding/budget period for a project with a projected completion date.</li> <li>• "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision enter the appropriate letter:  <table style="width: 100%; border: none;"> <tr> <td style="width: 50%;">A. Increase Award</td> <td style="width: 50%;">B. Decrease Award</td> </tr> <tr> <td>C. Increase Duration</td> <td>D. Decrease Duration</td> </tr> </table> </li> </ul>	A. Increase Award	B. Decrease Award	C. Increase Duration	D. Decrease Duration	18.	To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)														
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9.	Name of Federal agency from which assistance is being requested with this application.																				
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## **Section 9: Appendices**

### **Appendix E: Certifications**

## Section 9: Appendices

### CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace** -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

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6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

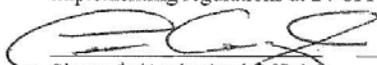
**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

 5/13/13  
Signature/Authorized Official Date

Director

Title

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### Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2013 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

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jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

**Compliance with Laws** -- It will comply with applicable laws.

  
Signature/Authorized Official

5/9/13  
Date

Director  
Title

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### Specific HOME Certifications

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

  
Signature/Authorized Official

5/9/13  
Date

Director  
Title

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### ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

**Major rehabilitation/conversion** – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services ( including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

**Matching Funds** – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction’s consolidated plan.

**Discharge Policy** – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

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publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

  
\_\_\_\_\_  
Signature/Authorized Official

5/9/13  
\_\_\_\_\_  
Date

Director  
\_\_\_\_\_  
Title

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### HOPWA Certifications

The HOPWA grantee certifies that:

**Activities** -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

 5/9/13  
Signature/Authorized Official Date

Director  
Title

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### APPENDIX TO CERTIFICATIONS

#### INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

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Place of Performance (Street address, city, county, state, zip code)

City of San José, 200 East Santa Clara Street, San Jose,  
CA 95113

Check  if there are workplaces on file that are not identified here.

This information with regard to the drug-free workplace is required by 24 CFR part 21.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).